# PROJECT MANUAL FOR: STUDENT CENTER FIRE ALARM UPGRADES

# PROJECT NUMBER: CP210861

AT UNIVERSITY OF MISSOURI - COLUMBIA COLUMBIA, MISSOURI

FOR:

# THE CURATORS OF THE UNIVERSITY OF MISSOURI

PREPARED BY:

SSC Engineering Mark Jones 18207 Edison Ave., Chesterfield MO. 63005 (636)-530-7770

DATE: 07/20/21

I hereby certify that these Drawings and/or Specifications have been prepared by me, or under my supervision. I further certify that to the best of my knowledge these Drawings and/or Specifications are as required by and in compliance with Building Codes of the University of Missouri.

Signature:



# PROJECT MANUAL FOR: STUDENT CENTER FIRE ALARM UPGRADES

# PROJECT NUMBER: CP210861

# TABLE OF CONTENTS

TITLE		PAGE
DIVISION 1	<u>GENERAL REQUIREMENTS</u>	
	Advertisement for Bids	
1.A	Bid for Lump Sum Contract	1.A 1-5
1.B	Bidder's Statement of Qualifications	BSQ/1-2
1.B.1	Bidder's Statement of Qualifications for Asb	estos Abatement BSQAA/1-2
1.B.2 1.B.3 1.B.4 1.B.5 1.B.6 1.B.7	Supplier Diversity Compliance Evaluation Application for Waiver Affidavit for Affirmative Action Certifying Supplier Diversity Agencies Newspapers for Outreach to Diverse Supplier Affidavit of Supplier Diversity Participation	SD 1-2 SD 3-4 SD 5-6 SD 7 SD 8 SD 9
1.C	Information for Bidders	IFB/1-5
1.D	General Conditions	GC/1-38
1.E	Special Conditions	SC 1-10
1.E.1	Scheduling Specification	SS 1- 3
1.E.4 1.E.5 1.E.6 1.E.7	Shop Drawing and Submittal Log Operating Instructions and Service Manual L Closeout Log Commissioning Plan	og SDSL 1 OMML 1 CLOSL 1 COM 1-2
1.F	Index of Drawings	INDEX 1
1.G	Prevailing Wage Rates	PW 1-5
DIVISION 26	ELECTRICAL	

**END OF SECTION** 

General Services Bldg. Columbia, Missouri 65211 Telephone: (573) 882-3091

# ADVERTISEMENT FOR BIDS

Sealed bids for:

# MU STUDENT CENTER – FIRE ALARM UPGRADES UNIVERSITY OF MISSOURI COLUMBIA, MISSOURI PROJECT NUMBER: CP210861

## CONSTRUCTION ESTIMATE \$45,000 - \$55,000

will be received by the Curators of the University of Missouri, Owner, at Campus Facilities, Planning, Design & Construction, Room L100 (Front Reception Desk), General Services Building, University of Missouri, Columbia, Missouri 65211, until 1:30 p.m., C.T., August 17, 2021 and then immediately opened and publicly read aloud.

Drawings, specifications, and other related contract information may be obtained at <u>http://operations-</u> webapps.missouri.edu/pdc/adsite/ad.html. Electronic bid sets are available at no cost and may be printed as desired by the plan holders. No paper copies will be issued. If paper copies are desired, it is the responsibility of the user to print the files or have them printed.

Questions regarding the scope of work should be directed to Jeremy Schuette with SSC Engineering at (636) 530-7770 or jschuette@sscengineering.com. Questions regarding commercial conditions should be directed to Kenneth Keane at (573) 882-5382 or keaneke@missouri.edu.

A prebid meeting will be held at 10:00 a.m., C.T., July 27, 2021 in the General Services Bldg., Rm 194B, University of Missouri, Columbia, Missouri, followed by a walk-through at the site. All interested bidders are invited to attend this meeting. A walk-through of the project may be scheduled by contacting the Prebid Inspection Guide at (573) 882-2228 or <u>mucfpmprebidinspectionguides@missouri.edu</u>. A 24 – 48 hour advance notice is required for all walk-through request.

Information regarding bid results will be available the day following the bid opening by calling (573) 882-1133

A Diversity Participation goal of 3% SDVE has been established for this contract.

The Owner reserves the right to waive informalities in bids and to reject any and all bids.

Individuals with special needs as addressed by the Americans with Disabilities Act may contact (573) 882-1133.

Advertisement Date: July 20, 2021

Gary L. Ward Vice Chancellor for Operations and Chief Operating Officer University of Missouri

# SECTION 1.A

# BID FOR LUMP SUM CONTRACT

Date:\_\_\_\_\_

#### BID OF

(hereinafter called "Bidder") a corporation\* organized and existing under laws of the State of \_\_\_\_\_

a partnership* consisting of	
an individual* trading as	
a joint venture* consisting of	

\*Insert Corporation(s), partnership or individual, as applicable.

TO: Curators of the University of Missouri c/o Assistant Vice Chancellor – Facilities Room L100, General Services Building University of Missouri – Columbia Columbia, MO 65211

1. Bidder, in compliance with invitation for bids for construction work in accordance with Drawings and Specifications prepared by SSC Engineering, entitled "STUDENT CENTER FIRE ALARM UPGRADES", project number CP210861, dated 07/15/21 having examined Contract Documents and site of proposed work, and being familiar with all conditions pertaining to construction of proposed project, including availability of materials and labor, hereby proposes to furnish all labor, materials and supplies to construct project in accordance with Contract Documents, within time set forth herein at prices stated below. Prices shall cover all expenses, including taxes not covered by the University of Missouri's tax exemption status, incurred in performing work required under Contract documents, of which this Bid is a part.

Bidder acknowledges receipt of following addenda:

Addendum No.	Dated
Addendum No.	Dated
Addendum No.	Dated
Addendum No.	Dated

2. In following Bid(s), amount(s) shall be written in both words and figures. In case of discrepancy between words and figures, words shall govern.

### 3. BID PRICING

a. Base Bid:

The Bidder agrees to furnish all labor, materials, tools, and equipment required to install new speaker and speaker strobes for general improvements to the voice evacuation system; all as indicated on the Drawings and described in these Specifications for sum of:

\_DOLLARS (\$\_\_\_\_\_).

# 4. PROJECT COMPLETION

a. Contract Period - Contract period begins on the day the Contractor receives unsigned Contract, Performance Bond, Payment Bond, and "Instructions for Execution of Contract, Bonds, and Insurance Certificates." Bidder agrees to complete project within one hundred fifty (150) calendar

days from receipt of aforementioned documents. Fifteen (15) calendar days have been allocated in construction schedule for receiving aforementioned documents from Bidder.

b. Commencement - Contractor agrees to commence work on this project after the "Notice to Proceed" is issued by the Owner. "Notice to Proceed" will be issued within seven (7) calendar days after Owner receives properly prepared and executed Contract documents listed in paragraph 4.a. above.

d. Special scheduling requirements:

Refer to the Special Scheduling Requirements identified in the Special Conditions.

### 5. SUBCONTRACTOR LIST:

Bidder hereby certifies that the following subcontractors will be used in performance of Work:

NOTE: Failure to list subcontractors for each category of work identified on this form or listing more than one subcontractor for any category of work without designating the portion of work performed by each shall be grounds for rejection of bid. List name, city, and state of designated subcontractor, for each category of work listed in Bid For Lump Sum Contract. If work within a category will be performed by more than one subcontractor, Bidder shall provide name, city, and state of each subcontractor and specify exact portion of work to be performed by each. If acceptance/non-acceptance of Alternates will affect designation of a subcontractor, Bidder shall provide information, for each affected category, with this bid form. If Bidder intends to perform any designated subcontract work by using Bidder's own employees, then Bidder shall list their own name, city, and state. The bidder may petition the Owner to change a listed subcontractor only within 48 hours of the bid opening. See Information For Bidders Section 16 List of Subcontractors for requirements.

Work to be performed	Subcontractor Name,	City, State	
Electrical Contractor			
Fire Alarm Vendor			

### 6. SUPPLIER DIVERSITY PARTICIPATION GOALS

a. The Contractor shall have as a combined goal subcontracting with Service Disabled Veteran Owned Business (SDVE) of **THREE PERCENT** (3%) of awarded contract price for work to be performed.

b. Requests for waiver of this goal shall be submitted on the attached Application For Waiver form. A determination by the Director of Facilities Planning & Development, UM, that a good faith effort has not been made by Contractor to achieve above stated goal may result in rejection of bid.

c. The Undersigned proposes to perform work with following Supplier Diversity participation level:

### SDVE PERCENTAGE PARTICIPATION: \_\_\_\_\_ percent (\_\_\_\_%)

d. A Supplier Diversity Compliance Evaluation form shall be submitted with this bid for each diverse subcontractor to be used on this project.

e. A Supplier Diversity Compliance Evaluation form shall be submitted with this bid for each diverse subcontractor to be used on this project.

## 7. BIDDER'S ACKNOWLEDGMENTS

a. Bidder declares that he has had an opportunity to examine the site of the work and he has examined Contract Documents therefore; that he has carefully prepared his bid upon the basis thereof; that he has carefully examined and checked bid, materials, equipment and labor required thereunder, cost thereof, and his figures therefore. Bidder hereby states that amount, or amounts, set forth in bid is, or are, correct and that no mistake or error has occurred in bid or in Bidder's computations upon which this bid is based. Bidder agrees that he will make no claim for reformation, modifications, revisions or correction of bid after scheduled closing time for receipt of bids.

b. Bidder agrees that bid shall not be withdrawn for a period of  $\underline{sixty}$  (60) days after scheduled closing time for receipt of bids.

c. Bidder understands that Owner reserves right to reject any or all bids and to waive any informalities in bidding.

d. Accompanying the bid is a bid bond, or a certified check, or an irrevocable letter of credit, or a cashier's check payable without condition to "The Curators of the University of Missouri" which is an amount at least equal to five percent (5%) of amount of largest possible total bid herein submitted, including consideration of Alternates.

e. Accompanying the bid is a Bidder's Statement of Qualifications. Failure of Bidder to submit the Bidder's Statement of Qualifications with the bid may cause the bid to be rejected. Owner does not maintain Bidder's Statements of Qualifications on file.

f. It is understood and agreed that bid security of two (2) lowest and responsive Bidders will be retained until Contract has been executed and an acceptable Performance Bond and Payment Bond has been furnished. It is understood and agreed that if the bid is accepted and the undersigned fails to execute the Contract and furnish acceptable Performance/Payment Bond as required by Contract Documents, accompanying bid security will be realized upon or retained by Owner. Otherwise, the bid security will be returned to the undersigned.

## 8. BIDDER'S CERTIFICATE

Bidder hereby certifies:

a. His bid is genuine and is not made in interest of or on behalf of any undisclosed person, firm or corporation, and is not submitted in conformity with any agreement or rules of any group, association or corporation.

b. He has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid.

c. He has not solicited or induced any person, firm or corporation to refrain from bidding.

d. He has not sought by collusion or otherwise to obtain for himself any advantage over any

d. He has not sought by collusion or otherwise to obtain for himself any advantage over any other Bidder or over Owner.

e. He will not discriminate against any employee or applicant for employment because of race, color, religion, sex or national origin in connection with performance of work.

f. By virtue of policy of the Board of Curators, and by virtue of statutory authority, a preference will be given to materials, products, supplies, provisions and all other articles produced, manufactured, mined or grown within the State of Missouri. By virtue of policy of the Board of Curators, preference will also be given to all Missouri firms, corporations, or individuals, all as more fully set forth in "Information For Bidders."

# 9. BIDDER'S SIGNATURE

Note: All signatures shall be original; not copies, photocopies, stamped, etc.

Authorized Signature	Date	
Printed Name	Title	
Company Name	1	
Mailing Address		
City, State, Zip		
Phone No.	Federal Employer ID No.	
Fax No.	E-Mail Address	
Circle one: Individual Partnership Corporation Joint Venture		
If a corporation, incorporated under the laws of the State of		
Licensed to do business in the State of Missouri?yesno		

(Each Bidder shall complete bid form by manually signing on the proper signature line above and supplying required information called for in connection with the signature. Information is necessary for proper preparation of the Contract, Performance Bond and Payment Bond. Each Bidder shall supply information called for in accompanying "Bidder's Statement of Qualifications.")

### END OF SECTION

THIS PAGE LEFT BLANK INTENTIONALLY

# UNIVERSITY OF MISSOURI BIDDER'S STATEMENT OF QUALIFICATIONS

Submit with Bid for Lump Sum Contract in separate envelope appropriately labeled. Attach additional sheet if necessary.

£s		Fax #:			
s					
er of years in busi f organization.	ness If not unde	er present firi	m name, list p	revious firm na	mes and
	complete the following s Owner/Owner's Representative	chedule, incl Phone Number	lude telephone Architect	e number). Amount of your Contract	Percent Completed
l character of wo	rk performed by your co	mpany perso	onnel.		
ng approximate c	ost and telephone numb Owner/Owner's	er. Phone	a type simila Architect		
experience qualify	ying you for the work no	w bid.			
umber of contrac	ts on which default was	made			
	ect & Address	ect & Address Owner/Owner's Representative	ect & Address Owner/Owner's Phone Representative Number I character of work performed by your company person portant projects completed in the last five (5) years or ng approximate cost and telephone number. ect & Address Owner/Owner's Phone Representative Number experience qualifying you for the work now bid.	ect & Address       Owner/Owner's       Phone       Architect         Representative       Number         I character of work performed by your company personnel.         I character of work performed by your company personnel.         portant projects completed in the last five (5) years on a type simila         ng approximate cost and telephone number.         ect & Address       Owner/Owner's         Phone       Architect         Representative       Number         experience qualifying you for the work now bid.         ault has been made in any contract complete or incomplete except a	Representative       Number       your Contract         I character of work performed by your company personnel.       I character of work performed by your company personnel.         I character of work performed by your company personnel.       I character of work performed by your company personnel.         portant projects completed in the last five (5) years on a type similar to the work non ng approximate cost and telephone number.       I character Amount of yo Representative         ect & Address       Owner/Owner's       Phone       Architect       Amount of yo Contract         experience qualifying you for the work now bid.       I contract       I contract       I contract         experience qualifying you for the work now bid.       I contract complete or incomplete except as noted below:       I contract complete or incomplete except as noted below:

	(c) Is fifty percent or more of your	r company owned by a minority?
	• • •	r company owned by a woman?
		r company owned by a service disabled veteran?
	(f) Is fifty percent or more of your	r company owned by a veteran?
	Yes <u>No</u> (g) Is your company a Disadvanta	ged Business Enterprise?
	Yes No	
9.		spended or debarred from working at any University of Missouri
	campus? Yes No	(If the answer is "yes", give details.)
10.		oceedings been started against you or your company alleging violation
	of any wage and hour regulations or Yes No	laws? (If the answer is "yes", give details.)
11.	Workers Compensation Experience	Modification Rates (last 3 yrs): /
	Incidence Rates (last 3 years):	
10		
12.	List banking references.	
13.	(a) Do you have a current confider Yes No	ntial financial statement on file with Owner? (If not, and if desired, Bidder may submit such statement with bid, in
		a separate sealed and labeled envelope.)
	(b) If not, upon request will you fi Yes No	le a detailed confidential financial statement within three (3) days?
Dated a	at	this day of 20
		Name of Organization
		Signature
		Printed Name
		Title of Person Signing
		END OF SECTION

# UNIVERSITY OF MISSOURI BIDDER'S STATEMENT OF QUALIFICATIONS FOR ASBESTOS ABATEMENT

Submit with Bid for Lump Sum Contract in separate envelope appropriately labeled. Attach additional sheet if necessary.

	Address					
2.	State of Missouri Registrat	tion number				
3.	Number of years in busine types of organization.	ss If not unde	er present firm	n name, list p	revious firm na	mes and
4.	List contracts on hand (con Project & Address	nplete the following s Owner/Owner's Representative	Phone		e number). Amount of your Contract	Percent Completed
5.	General character of work	performed by your co	mpany perso	nnel.		
6.	List important projects cor including approximate cos Project & Address		er. Phone		r to the work no Amount of yo Contract	
7.	Other experience qualifyin	ng you for the work no	w bid.			
8.	No default has been made (a) Number of contracts (b) Description of defaul	on which default was	made	plete except a		
9.	(b) Have you filed all rec	neral Conditions? No		subject to an	equal opportuni	ty clause similar

	(c) Is fifty percent or more of your company owned by a minority?
	Yes <u>No</u> (d) Is fifty percent or more of your company owned by a woman?
	Yes <u>No</u> (e) Is fifty percent or more of your company owned by a service disabled veteran?
	(f) Is fifty percent or more of your company owned by a veteran?
	Yes No (g) Is your company a Disadvantaged Business Enterprise?
	Yes No
10.	Have you or your company been suspended or debarred from working at any University of Missouri campus?
	Yes No (If the answer is "yes", give details.)
11.	Have any administrative or legal proceedings been started against you or your company alleging violation
	of any wage and hour regulations or laws? Yes No (If the answer is "yes", give details.)
12.	Workers Compensation Experience Modification Rates (last 3 yrs):/ /
	Incidence Rates (last 3 years): / _/
13.	List banking references.
15.	
14.	<ul> <li>(a) Do you have a current confidential financial statement on file with Owner?</li> <li>Yes No (If not, and if desired, Bidder may submit such statement with bid, in a separate sealed and labeled envelope.)</li> </ul>
	(b) If not, upon request will you file a detailed confidential financial statement within three (3) days?
	Yes <u>No</u>
Dated a	t this day of 20
	Name of Organization
	Signature
	Printed Name
	Title of Person Signing
	END OF SECTION

# SUPPLIER DIVERSITY COMPLIANCE EVALUATION FORM

This form shall be completed by Bidders and submitted with the Bidder's Statement of Qualifications form for <u>each</u> diverse firm who will function as a subcontractor on the contract.

The undersigned submits the following data with respect to this firm's assurance to meet the goal for Supplier Diversity participation.

I.	Project:
II.	Name of General Contractor:
III.	Name of Diverse Firm:
	Address:
	Phone No.: Fax No.:
	Status (check one) MBE WBE Veteran Service Disabled Veteran DBE
IV.	Describe the subcontract work to be performed. (List Base Bid work and any Alternate work separately):
	Base Bid:
V.	Dollar amount of contract to be subcontracted to the Diverse firm:
	Base Bid:
	Alternate(s), (Identify separately):
VI.	Is the proposed subcontractor listed in the Directory of M/W/DBE Vendors, Directory of Serviced Disabled Veterans and/or the Directory of Veterans maintained by the State of Missouri?
	Yes No

	Is the proposed subcontractor certified as a diverse supplier by any of the following: federal government agencies, state agencies, State of Missouri city or county government agencies, Minority and/or WBE certifying agencies?				
	Yes	No	If yes, please provide details and attach a copy of the certification.		
		ctor have a signed document fi 6 owned and committed require	rom their attorney certifying the Supplier as a ement?		
	Yes	No	If yes, please attach letter.		
Signature:					
Name:					
Title:			_		
Date:					

#### **APPLICATION FOR WAIVER**

This form shall be completed and submitted with the Bidder's Statement of Qualifications. Firms wishing to be considered for award are required to demonstrate that a good faith effort has been made to include diverse suppliers. This form will be used to evaluate the extent to which a good faith effort has been made. The undersigned submits the following data with respect to the firm's efforts to meet the goal for Supplier Diversity Participation.

- 1. List pre-bid conferences your firm attended where Supplier Diversity requirements were discussed.
- 2. Identify advertising efforts undertaken by your firm which were intended to recruit potential diverse subcontractors for various aspects of this project. Provide names of newspapers, dates of advertisements and copies of ads that were run.
- 3. Note specific efforts to contact in writing those diverse suppliers capable of and likely to participate as subcontractors for this project.
- 4. Describe steps taken by your firm to divide work into areas in which diverse suppliers/contractors would be capable of performing.
- 5. What efforts were taken to negotiate with prospective diverse suppliers/contractors for specific sub-bids? Include the names, addresses, and telephone numbers of diverse suppliers/contractors contacted, a description of the information given to diverse suppliers/contractors regarding plans and specifications for the assigned work, and a statement as to why additional agreements were not made with diverse suppliers/contractors.
- 6. List reasons for rejecting a diverse supplier/contractor which has been contacted.

8. Describe the follow-up contacts with diverse suppliers/contractors made by your firm after the initial solicitation.

9. Describe the efforts made by your firm to provide interested diverse suppliers/contractors with sufficiently detailed information about the plans, specifications and requirements of the contract.

10. Describe your firm's efforts to locate diverse suppliers/contractors.

Based on the above stated good faith efforts made to include supplier diversity, the bidder hereby requests that the original supplier diversity percentage goal be waived and that the percentage goal for this project be set at \_\_\_\_\_\_ percent.

The undersigned hereby certifies, having read the answers contained in the foregoing Application for Waiver, that they are true and correct to the best of his/her knowledge, information and belief.

Signature\_\_\_\_\_\_Name\_\_\_\_\_\_Title\_\_\_\_\_\_Company\_\_\_\_\_

Date\_\_\_\_\_

#### AFFIDAVIT

"The undersigned swears that the foregoing statements are true and correct and include all material information necessary to identify and explain the operation of

(name of firm) as well as the ownership thereof. Further, the undersigned agrees to provide through the prime contractor or directly to the Contracting Officer current, complete and accurate information regarding actual work performed on the project, the payment therefore and any proposed changes, if any, of the project, the foregoing arrangements and to permit the audit and examination of books, records and files of the named firm. Any material misrepresentation will be grounds for terminating any contract which may be awarded and for initiating action under federal or state laws concerning false statements."

Note - If, after filing this information and before the work of this firm is completed on the contract covered by this regulation, there is any significant change in the information submitted, you must inform the Director of Facilities Planning and Development of the change either through the prime contractor or directly.

Signature
Name
Title
Date
Corporate Seal (where appropriate)
Date
State of
County of
On this, 19_,
before me appeared (name) to me personally known, who, being
duly sworn, did execute the foregoing affidavit, and did state that he or she was properly authorized by (name of firm)
to execute the affidavit and did so as his or her own free act and deed.
(Seal)
Notary Public
Commission expires

## AFFIDAVIT FOR AFFIRMATIVE ACTION

State of Missouri	)			
County of		) )	SS.	
				first being duly sworn on his/her oath
states: that he/she is the (sole	e proprie	etor, partner,	, or officer) of	
	a (sole p	proprietorsh	ip, partnership, corporation	n), and as such (sole proprietor, partner, or officer) is
duly authorized to make this	affidavit	t on behalf c	of said (sole proprietorship	, partnership, corporation); that under the contract
known as "				"
Project No.	less	than 50 pers	sons in the aggregate will b	be employed and therefore, the applicable Affirmative
Action requirements as set for	orth in th	e "Nondiscr	rimination in Employment	Equal Opportunity," Supplemental Special
Conditions, and Article 13 in				

Subscribed and sworn before me this \_\_\_\_\_\_ day of \_\_\_\_\_\_, 19\_\_\_\_\_.

My commission expires \_\_\_\_\_\_, 19\_\_\_\_\_.

#### **CERTIFYING SUPPLIER DIVERSITYAGENCIES**

Diverse firms are defined in General Conditions Articles 1.1.7 and those businesses must be certified as disadvantaged by an approved agency. The Bidder is responsible for obtaining information regarding the certification status of a firm. A list of certified firms may be obtained by contacting the agencies listed below. Any firm listed as disadvantaged by any of the following agencies will be classified as a diverse firm by the Owner.

St. Louis Development Corporation 1520 Market St., Ste. 2000 St. Louis, MO 63103 P: 314.982.1400 W: www.stlouis-mo.gov/sldc/

Bi-State Development 211 N. Broadway, Ste. 700 St. Louis, MO 63102 P: 314.982.1400 W: www.metrostlouis.dbesystem.com

St. Louis Minority Business Council 211 N. Broadway, Ste. 1300 St. Louis, MO 63102 P: 314.231.5555 W: www.slmbc.org

U.S. Small Business Administration - St. Louis, MO 8(a) Contractors, Minority Small Business 1222 Spruce Street, Suite 10.103 St. Louis, MO 63101 P: 314.539.6600 W: www.sba.gov

Lambert St. Louis International Airport Business Diversity Development Office 11495 Navaid Bridgeton, MO 63044 P: 314-426-8111 W: www.flystl.com/business/business-diversitydevelopment-1/directories

City of Kansas City, Missouri Human Relations Department, MBE/WBE Division 4th Floor, City Hall 414 E. 12<sup>th</sup> Street Kansas City, MO 64106 P: 816.513.1836 W: kcmohrd.mwdbe.com/?TN=kcmohrd

Mid-States Minority Supplier Development Council 505 N. 7<sup>th</sup> Street, Ste. 1820 St. Louis, MO 63101 P: 314.278.5616 W: midstatesdc.org U.S. Small Business Administration - Kansas City, MO 8(a) Contractors, Minority Small Business 1000 Walnut, Suite 500 Kansas City, MO 64106 P: 816.426.4900 W: kcmohrd.mwdbe.com/?TN=kcmohrd

Missouri Department of Transportation Division of Construction 1617 Missouri Blvd. P.O. Box 270 Jefferson City, MO 65102 P: 573.526.2978 W: www.modot.org/mrcc-directory

Illinois Department of Transportation MBE/WBE Certification Section 2300 Dirksen Parkway Springfield, IL 62764 217/782-5490; 217/785-1524 (Fax) W: webapps.dot.illinois.gov/UCP/ExternalSearch

State of Missouri OA Office of Equal Opportunity 301 W. High St. HSC Rm 870-B Jefferson City, MO 65101 P: 877.259.2963 W: oa.mo.gov/sites/default/files/sdvelisting.pdf W: oeo.mo.gov/

#### **Minority Newspapers**

Dos Mundos Bilingual Newspaper 902A Southwest Blvd. Kansas City, MO 64108 816-221-4747 www.dosmundos.com

Kansas City Hispanic News 2918 Southwest Blvd. Kansas City, MO 64108 816/472-5246 www.kchispanicnews.com

The Kansas City Globe 615 E. 29th Street Kansas City, MO 64109 816-531-5253 www.thekcglobe.com/about\_us.php

St. Louis American 4144 Lindell St. Louis, MO 63108 314-533-8000 www.stlamerican.com

St. Louis Chinese American News 1766 Burns Ave, Suite 201 St. Louis, MO 63132 314-432-3858 www.scannews.com

St. Louis Business Journal 815 Olive St., Suite 100 St. Louis, MO 63101 314-421-6200 www.bizjournal.com/stlouis

Kansas City Business Journal 1100 Main Street, Suite 210 Kansas City, MO 64105 816-421-5900 www.bizjournals.com/kansascity

# **AFFIDAVIT OF SUPPLIER DIVERSITY PARTICIPATION**

The apparent low Bidder shall complete and submit this form within 48 hours of bid opening for each Diverse firm that will participate on the contract.

1. Diverse Firm:

Contact Name:

Address:

Phone No.:\_\_\_\_\_E-Mail:\_\_\_\_\_

Status (check one) MBE WBE Service Disabled Veteran DBE HIMBE, Certified as (circle one): 1) Black American 2) Hispanic American 3) Native American 4) Asian American

2. Is the proposed diverse firm certified by an approved agency [see IFB article 15]? Yes  $\Box$  No  $\Box$ 

Agency: \_\_\_\_\_ [attach copy of certification authorization from agency]

Certification Number:

3. Diverse firm scope work and bid/contract dollar amount of participation (List Base Bid and Alternate work separately). The final Dollar amount will be determined at substantial completion:

	Scope of Work	Bid/Contract Amount	Final Dollar Amount
Base Bid			
Alternate #1			
Alternate #2			
Alternate #3			
Alternate #4			
Alternate #5			
Alternate #6			

The undersigned certifies that the information contained herein (i.e. Scope of Work and Bid/Contract Amount) is true and correct to the best of their knowledge, information and belief.

General Contractor:	Diverse Firm:
Signature:	Signature:
Name:	Name:
Title:	Title:
Date:	Date:

The undersigned certifies that the information contained herein (i.e. Scope of Work and Final Dollar Amount) is true and correct to the best of their knowledge, information and belief. If the Final Dollar Amount is different than the Bid/Contract Amount, then attach justification for the difference.

Contractor:	Diverse Firm:
Signature:	Signature:
Name:	Name:
Title:	Title:
Date:	Date:

THIS PAGE LEFT BLANK INTENTIONALLY

#### University of Missouri

#### **INFORMATION FOR BIDDERS**

Page No.

Contract Documents	IFB/1
Bidder's Obligation	IFB/1
Interpretation of Documents	IFB/1
Modification and Withdrawal of Bids	IFB/2
Signing of Bids	IFB/2
Bid Security	IFB/2
Bidder's Statement of Qualifications	IFB/2
Award of Contract	IFB/2
Contract Execution	IFB/2
Contract Security	IFB/3
Time of Completion	IFB/3
Number of Contract Documents	IFB/3
Missouri Products and Missouri Firms	IFB/3
Supplier Diversity	IFB/3
List of Subcontractors	IFB/5
	Bidder's Obligation Interpretation of Documents Bids Modification and Withdrawal of Bids Signing of Bids Bid Security Bidder's Statement of Qualifications

#### 1. Contract Documents

**1.1** Drawings, specifications, and other contract documents, pursuant to work which is to be done, may be obtained shown in the Advertisement for Bids and Special Conditions.

#### 2. Bidder Obligations

**2.1** Before submitting bids each bidder shall carefully examine the drawings and specifications and related contract documents, visit site of work and fully inform themselves as to all existing conditions, facilities, restrictions and other matters which can affect the work or the cost thereof.

**2.2** Each bidder shall include in their bid the cost of all work and materials required to complete the contract in a first-class manner as hereinafter specified.

**2.3** Failure or omission of any bidder to receive or examine any form, instrument, addendum, or other document, or to visit the site and acquaint themselves with existing conditions, shall in no way relieve them from any obligation with respect to their bid or contract, and no extra compensation will be allowed by reason of any thing or matter concerning which bidder should have fully informed themselves prior to bidding.

**2.4** Submission of bids shall be deemed acceptance of the above obligations and each and every obligation required to be performed by all of the contract documents in the event the bid is accepted.

#### 3. Interpretation of Documents

**3.1** If any prospective bidder is in doubt as to the true meaning of any part of the drawings and specifications or contract documents, they shall submit a written request to the Architect for an interpretation.

**3.2** Requests for such interpretations shall be delivered to the Architect at least one (1) week prior to time for receipt of bids.

**3.3** Bids shall be based only on interpretations issued in the form of addenda mailed to each person who is on the

Architect's record as having received a set of the contract documents.

#### 4. Bids

**4.1** Bids shall be received separately or in combination as shown in and required by the Bid for Lump Sum contract. Bids will be completed so as to include insertion of amounts for alternate bids, unit prices and cost accounting data.

**4.2** Bidders shall apportion each base bid between various phases of the work, as stipulated in the Bid for Lump Sum contract. All work shall be done as defined in the specifications and as indicated on the drawings.

**4.3** Bids shall be presented in sealed envelopes which shall be plainly marked "Bids for (indicate name of project from cover sheet)", and mailed or delivered to the building and room number specified in the Advertisement for Bids. Bidders shall be responsible for actual delivery of bids during business hours, and it shall not be sufficient to show that a bid was mailed in time to be received before scheduled closing time for receipt of bids, nor shall it be sufficient to show that a bid was somewhere in a university facility.

**4.4** The bidder's price shall include all federal sales, excise, and similar taxes, which may be lawfully assessed in connection with their performance of work and purchase of materials to be incorporated in the work. City & State taxes shall not be included as defined within Article 3.16 of the General Conditions for Construction Contract included in the contract documents.

**4.5** Bids shall be submitted on a single bid form, furnished by the Owner or Architect. Do not remove the bid form from the specifications.

**4.6** No bidder shall stipulate in their bid any conditions not contained in the bid form.

**4.7** The Owner reserves the right to waive informalities in bids and to reject any or all bids.

#### 5. Modification and Withdrawal of Bids

**5.1** The bidder may withdraw their bid at any time before the scheduled closing time for receipt of bids, but no bidder may withdraw their bid after the scheduled closing time for receipt of bids.

**5.2** Only telegrams, letters and other written requests for modifications or correction of previously submitted bids, contained in a sealed envelope which is plainly marked "Modification of Bid on (name of project on cover sheet)," which are addressed in the same manner as bids, and are received by Owner before the scheduled closing time for receipt of bids will be accepted and bids corrected in accordance with such written requests.

#### 6. Signing of Bids

**6.1** Bids which are signed for a partnership shall be **manually** signed in the firm name by at least one partner, or in the firm name by Attorney-in-Fact. If signed by Attorney-in-Fact there should be attached to the bid, a Power of Attorney evidencing authority to sign the bid dated the same date as the bid and executed by all partners of the firm.

**6.2** Bids that are signed for a corporation shall have the correct corporate name thereon and the signature of an authorized officer of the corporation manually written below corporate name. Title of office held by the person signing for the corporation shall appear below the signature of the officer.

**6.3** Bids that are signed by an individual doing business under a firm name, shall be manually signed in the name of the individual doing business under the proper firm name and style.

**6.4** Bids that are signed under joint venture shall be manually signed by officers of the firms having authority to sign for their firm.

#### 7. Bid Security

**7.1** Each bid shall be accompanied by a bid bond, certified check, or cashier's check, acceptable to and payable without condition to The Curators of the University of Missouri, in an amount at least equal to five percent (5%) of bidder's bid including additive alternates.

**7.2** Bid security is required as a guarantee that bidder will enter into a written contract and furnish a performance bond within the time and in form as specified in these specifications; and if successful bidder fails to do so, the bid security will be realized upon or retained by the Owner. The apparent low bidder shall notify the Owner in writing within 48 hours (2 work days) of the bid opening of any circumstance that may affect the bid security including, but not limited to, a bidding error. This notification will not guarantee release of the bidder's security and/or the bidder from the Bidder's Obligations.

**7.3** If a bid bond is given as a bid security, the amount of the bond may be stated as an amount equal to at least five percent (5%) of the bid, including additive alternates, described in the bid. The bid bond shall be executed by the bidder and a responsible surety licensed in the State of Missouri with a Best's rating of no less than A-/XI.

7.4 It is specifically understood that the bid security is a guarantee and shall not be considered as liquidated damages for failure of bidder to execute and deliver their contract and performance bond, nor limit or fix bidder's liability to Owner for any damages sustained because of failure to execute and deliver the required contract and performance bond.

7.5 Bid security of the two (2) lowest and responsive Bidders will be retained by the Owner until a contract has been executed and an acceptable bond has been furnished, as required hereby, when such bid security will be returned. Surety bid bonds of all other bidders will be destroyed and all other alternative forms of bid bonds will be returned to them within ten (10) days after Owner has determined the two (2) lowest and responsive bids.

#### 8. Bidder's Statement of Qualifications

**8.1** Each bidder submitting a bid shall present evidence of their experience, qualifications, financial responsibility and ability to carry out the terms of the contract by completing and submitting with their bid the schedule of information set forth in the form furnished in the bid form.

**8.2** Such information, a single copy required in a separate sealed envelope, will be treated as confidential information by the Owner, within the meaning of Missouri Statue 610.010.

**8.3** Bids not accompanied with current Bidder's Statement of Qualifications may be rejected.

#### 9. Award of Contract

**9.1** The Owner reserves the right to let other contracts in connection with the work, including, but not by way of limitation, contracts for furnishing and installation of furniture, equipment, machines, appliances, and other apparatus.

9.2 In awarding the contract, the Owner may take into consideration the bidder's, and their subcontractor's, ability to handle promptly the additional work, skill, facilities, capacity, experience, ability, responsibility, previous work, financial standing of bidder, and the bidder's ability to provide the required bonds and insurance; quality, efficiency and construction of equipment proposed to be furnished; period of time within which equipment is proposed to be furnished and delivered; success in achieving the specified Supplier Diversity goal, or demonstrating a good faith effort as described in Article 15; necessity of prompt and efficient completion of work herein described, and the bidder's status as suspended or debarred. Inability of any bidder to meet the requirements mentioned above may be cause for rejection of their bid.

#### 10. Contract Execution

**10.1** The Contractor shall submit within fifteen (15) days from receipt of notice, the documents required in Article 9 of the General Conditions for Construction Contract included in the contract documents.

**10.2** No bids will be considered binding upon the Owner until the documents listed above have been furnished. Failure of Contractor to execute and submit these documents within the time period specified will be treated, at the option of the

Owner, as a breach of the bidder's bid security under Article 7 and the Owner shall be under no further obligation to Bidder.

#### 11. Contract Security

11.1 When the Contract sum exceeds \$50,000, the Contractor shall procure and furnish a Performance bond and a Payment bond in the form prepared by Owner. Each bond shall be in the amount equal to one hundred percent (100%) of the contract sum, as well as adjustments to the Contract Sum. The Performance Bond shall secure and guarantee Contractor's faithful performance of this Contract, including but not limited to Contractor's obligation to correct defects after final payment has been made as required by the Contract Documents. The Payment Bond shall secure and guarantee payment of all persons performing labor on the Project under this Contract and furnishing materials in connection with this Contract. These Bonds shall be in effect through the duration of the Contract plus the Guaranty Period as required by the Contract Documents.

**11.2** The bonds required hereunder shall be meet all requirements of Article 11 of the General Conditions for Construction Contract included in the contract documents.

**11.3** If the surety of any bond furnished by Contractor is declared bankrupt or becomes insolvent or its right to conduct business in the State of Missouri is terminated, or it ceases to meet the requirements of this Article 11, Contractor shall within ten (10) days substitute another bond and surety, both of which must be acceptable to Owner. If Contractor fails to make such substitution, Owner may procure such required bonds on behalf of Contractor at Contractor's expense.

#### 12. Time of Completion

**12.1** Contractors shall agree to commence work within five (5) days of the date "Notice to Proceed" is received from the Owner, and the entire work shall be completed by the completion date specified or within the number of consecutive calendar days stated in the Special Conditions. The duration of the construction period, when specified in consecutive calendar days, shall begin when the contractor receives notice requesting the documents required in Article 9 of the General Conditions for Construction Contract included in the contract documents.

### 13. Number of Contract Documents

**13.1** The Owner will furnish the Contractor a copy of the executed contract and performance bond.

**13.2** The Owner will furnish the Contractor the number of copies of complete sets of drawings and specifications for the work, as well as, clarification and change order drawings pertaining to change orders required during construction as set forth in the Special Conditions.

### 14. Missouri Products and Missouri Firms

**14.1** The Curators of the University of Missouri have adopted a policy which is binding upon all employees and departments of the University of Missouri, and which by contract, shall be binding upon independent contractors and subcontractors with the University of Missouri whereby all other things being equal, and when the same can be secured without additional cost over foreign products, or products of other states, a preference shall be granted in all construction, repair and purchase contracts, to all products, commodities,

materials, supplies and articles mined, grown, produced and manufactured in marketable quantity and quality in the State of Missouri, and to all firms, corporations or individuals doing business as Missouri firms, corporations or individuals. Each bidder submitting a bid agrees to comply with, and be bound by the foregoing policy.

### 15. SUPPLIER DIVERSITY

#### 15.1 Award of Contract

The Supplier Diversity participation goal for this project is stated on the Bid for Lump Sum Contract Form, and the Owner will take into consideration the bidder's success in achieving the Supplier Diversity participation goal in awarding the contract. Inability of any bidder to meet this requirement may be cause for rejection of their bid.

The University will grant a three (3) point bonus preference to a Missouri based, certified Service Disabled Veteran Enterprise (SDVE) bidder as defined in Article 1 – (Supplier Diversity Definitions) of the General Conditions of the Contract for Construction included in the contract documents. The three percent (3%) goal can be met, and the bonus points obtained, by a qualified SDVE vendor and/or through the use of qualified SDVE subcontractors or suppliers that provide at least three percent (3%) of the total contract value. The submitted bid form must include a minimum of 3% SDVE participation to obtain the three (3) point bonus.

#### 15.2 List of Supplier Diversity Firms

**15.2.1** The bidder shall submit as part of their bid a list of diverse firms performing as contractor, subcontractors, and/or suppliers. The list shall specify the single designated diverse firm name and address. If acceptance or non-acceptance of alternates will affect the designation of a subcontractor, provide information for each affected category.

**15.2.2** Failure to include a complete list of diverse firms may be grounds for rejection of the bid.

**15.2.3** The list of diverse firms shall be submitted in addition to any other listing of subcontractors required in the Bid for Lump Sum Contract Form.

### 15.3 Supplier Diversity Percentage Goal

The bidder shall have a minimum goal of subcontracting with diverse contractors, subcontractors, and suppliers, the percent of contract price stated in the Supplier Diversity goal paragraph of the Bid for Lump Sum Contract Form.

### 15.4 Supplier Diversity Percent Goal Computation

**15.4.1** The total dollar value of the work granted to the diverse firms by the successful bidder is counted towards the applicable goal of the entire contract, unless otherwise noted below.

**15.4.2** The bidder may count toward the Supplier Diversity goal only expenditures to diverse firms that perform a commercially useful function in the work of a contract. A diverse firm is considered to perform a commercially useful function when it is responsible for executing a distinct element of the work and carrying out its responsibilities by actually performing, managing and supervising the work involved. A bidder that is a certified diverse firm may count as 100% of the contract towards the Supplier Diversity goal. For projects with separate MBE, SDVE, and WBE/Veteran

/DBE goals, a MBE firm bidding as the prime bidder is expected to obtain the required SDVE, and WBE/Veteran/ DBE participation; a WBE or Veteran or DBE firm bidding as the prime bidder is expected to obtain the required MBE and SDVE participation and a SDVE firm bidding as the prime bidder is expected to obtain the required MBE, and WBE/Veteran/ DBE participation.

**15.4.3** When a MBE, WBE, Veteran Business Enterprise, DBE, or SDVE performs work as a participant in a joint venture, only the portion of the total dollar value of the contract equal to the distinct, clearly defined portion of the work of the contract that the MBE, WBE, Veteran Business Enterprise, DBE, or SDVE performs with its own forces shall count toward the MBE, WBE, Veteran Business Enterprise, DBE, or SDVE individual contract percentages.

**15.4.4** The bidder may count toward its Supplier Diversity goal expenditures for materials and supplies obtained from diverse suppliers and manufacturers, provided the diverse firm assumes the actual and contractual responsibility for the provision of the materials and supplies.

**15.4.4.1** The bidder may count its entire expenditure to a diverse manufacturer. A manufacturer shall be defined as an individual or firm that produces goods from raw materials or substantially alters them before resale.

**15.4.4.2** The bidder may count its entire expenditure to diverse suppliers that are not manufacturers provided the diverse supplier performs a commercially useful function as defined above in the supply process.

**15.4.4.3** The bidder may count 25% of its entire expenditures to diverse firms that do not meet the definition of a subcontractor, a manufacturer, nor a supplier. Such diverse firms may arrange for, expedite, or procure portions of the work but are not actively engaged in the business of performing, manufacturing, or supplying that work.

**15.4.5** The bidder may count toward the Supplier Diversity goal that portion of the total dollar value of the work awarded to a certified joint venture equal to the percentage of the ownership and control of the diverse partner in the joint venture.

#### 15.5 Certification by Bidder of Diverse Firms

**15.5.1** The bidder shall submit with its bid the information requested in the "Supplier Diversity Compliance Evaluation Form" for every diverse firm the bidder intends to award work to on the contract.

**15.5.2** Diverse firms are defined in Article 1 – (Supplier Diversity Definitions) of the General Conditions of the Contract for Construction included in the contract documents, and as those businesses certified as disadvantaged by an approved agency. The bidder is responsible for obtaining information regarding the certification status of a firm. A list of certified firms may be obtained by contacting the agencies listed in the proposal form document "Supplier Diversity Certifying Agencies". Any firm listed as disadvantaged by any of the identified agencies will be classified as a diverse firm by the Owner.

**15.5.3** Bidders are urged to encourage their prospective diverse contractors, subcontractors, joint venture participants, team partners, and suppliers who are not currently certified to obtain certification from one of the approved agencies.

#### 15.6 Supplier Diversity Participation Waiver

15.6.1 The bidder is required to make a good faith effort to locate and contract with diverse firms. If a bidder has made a good faith effort to secure the required diverse firms and has failed, the bidder shall submit with the bid, the information requested in "Application for Supplier Diversity Participation Waiver." The Contracting Officer will review the bidder's actions as set forth in the bidder's "Application for Waiver" and any other factors deemed relevant by the Contracting Officer to determine if a good faith effort has been made to meet the applicable percentage goal. If the bidder is judged not to have made a good faith effort, the bid may be rejected. Bidder's who demonstrate that they have made a good faith effort to include Supplier Diversity participation may be awarded the contract regardless of the percent of Supplier Diversity participation, provided the bid is otherwise acceptable and is determined to be the best bid.

**15.6.2** To determine good faith effort of the bidder, the Contracting Officer may evaluate factors including, but not limited to, the following:

**15.6.2.1** The bidder's attendance at pre-proposal meetings scheduled to inform bidders and diverse firms of contracting and subcontracting opportunities and responsibilities associated with Supplier Diversity participation.

**15.6.2.2** The bidder's advertisements in general circulation trade association, and diverse (minority) focused media concerning subcontracting opportunities.

**15.6.2.3** The bidder's written notice to specific diverse firms that their services were being solicited in sufficient time to allow for their effective participation.

**15.6.2.4** The bidder's follow-up attempts to the initial solicitation(s) to determine with certainty whether diverse firms were interested.

**15.6.2.5** The bidder's efforts to divide the work into packages suitable for subcontracting to diverse firms.

**15.6.2.6** The bidder's efforts to provide interested diverse firms with sufficiently detailed information about the drawings, specific actions and requirements of the contract, and clear scopes of work for the firms to bid on.

**15.6.2.7** The bidder's efforts to solicit for specific subbids from diverse firms in good faith. Documentation should include names, addresses, and telephone numbers of firms contacted a description of all information provided the diverse firms, and an explanation as to why agreements were not reached.

**15.6.2.8** The bidder's efforts to locate diverse firms not on the directory list and assist diverse firms in becoming certified as such.

**15.6.2.9** The bidder's initiatives to encourage and develop participation by diverse firms.

**15.6.2.10** The bidder's efforts to help diverse firms overcome legal or other barriers impeding the participation of diverse firms in the construction contract.

**15.6.2.11** The availability of diverse firms and the adequacy of the bidder's efforts to increase the participation of such business provided by the persons and organizations consulted by the bidder.

#### 15.7 Submittal of Forms

**15.7.1** The bidder will include the Supplier Diversity Compliance Evaluation Form(s), or the Application for Waiver and other form(s) as required above in the envelope containing the "Bidder's Statement of Qualifications", see Article 8.

#### 15.8 Additional Bid/Proposer Information

**15.8.1** The Contracting Officer reserves the right to request additional information regarding Supplier Diversity participation and supporting documentation from the apparent low bidder. The bidder shall respond in writing to the Contracting Officer within 24–hours (1 work day) of a request.

**15.8.2** The Contracting Officer reserves the right to request additional information after the bidder has responded to prior 24 hour requests. This information may include follow up and/or clarification of the information previously submitted.

**15.8.3** The Owner reserves the right to consider additional diverse subcontractor and supplier participation submitted by the bidder after bids are opened under the provisions within these contract documents that describe the Owner's right to accept or reject subcontractors including, but not limited to, Article 16 below. The Owner may elect to waive the good faith effort requirement if such additional participation achieves the Supplier Diversity goal.

**15.8.4** The Bidder shall provide the Owner information related to the Supplier Diversity participation included in the bidder's proposal, including, but is not limited to, the complete Application for Waiver, evidence of diverse certification of participating firms, dollar amount of participation of diverse firms, information supporting a good faith effort as described in Article 15.6 above, and a list of all diverse firms that submitted bids to the Bidder with the diverse firm's price and the name and the price of the firm awarded the scope of work bid by the diverse firm.

#### 16. List of Subcontractors

**16.1** If a list of subcontractors is required on the Bid for Lump Sum Contract Form, the bidders shall list the name, city and state of the firm(s) which will accomplish that portion of the contract requested in the space provided. This list is separate from both the list of diverse firms required in Article 15.2, and the complete list of subcontractors required in Article 10.1 of this document. Should the bidder choose to perform any of the listed portions of the work with its own forces, the bidder shall enter its own name, city and state in the space provided. If acceptance or non-acceptance of alternates will affect the designation of a subcontractor, the bidder shall provide that information on the bid form.

Failure of the bidder to supply the list of 16.2 subcontractors required or the listing of more than one subcontractor for any category without designating the portion of the work to be performed by each, shall be grounds for the rejection of the bid. The bidder can petition the Owner to change a listed subcontractor within 48 hours of the bid opening. The Owner reserves the right to make the final determination on a petition to change a subcontractor. The Owner will consider factors such as clerical and mathematical bidding errors, listed subcontractor's inability to perform the work for the bid used, etc. Any request to change a listed subcontractor shall include at a minimum, contractor's bid sheet showing tabulation of the bid; all subcontractor bids with documentation of the time they were received by the contractor: and a letter from the listed subcontractor on their letterhead stating why they cannot perform the work if applicable. The Owner reserves the right to ask for additional information.

**16.3** Upon award of the contract, the requirements of Article 10 of this document and Article 5 of the General Conditions of the Contract for Construction included in the contract documents will apply.

THIS PAGE LEFT BLANK INTENTIONALLY

University of Missouri

# **General Conditions**

of the

# Contract

for

# Construction

August 2020 Edition

THIS PAGE LEFT BLANK INTENTIONALLY

# TABLE OF ARTICLES

		PAGE
1.	GENERAL PROVISIONS	
1 1		
	Basic Definitions	
	Specifications and Drawings Required Provisions Deemed Inserted	
1.3	Required Provisions Deemed inserted	
2.	OWNER	
2.1	Information and Services Required of the Owner	GC/4
	Owner's Right to Stop the Work	
	Owner's Right to Carry Out the Work	
2.4	Extent of Owner Rights	
3.	CONTRACTOR	GC/5
2 1	Contractor's Warranty	CC/5
	Compliance with Laws, Permits, Regulations and Inspections	
3.2	Anti-Kickback	GC/6
	Supervision and Construction Procedures	
	Use of Site	
	Review of Contract Documents and Field Conditions by Contractor	
	Cleaning and Removal	
	Cutting and Patching	
	Indemnification.	
	0Patents	
	1 Materials, Labor, and Workmanship	
	2Approved Equal	
	3Shop Drawings, Product Data and Samples	
	4Record Drawings.	
	50perating Instructions and Service Manual	
	6Taxes	
	7Contractor's Construction Schedules	
4.	ADMINISTRATION OF THE CONTRACT	GC/14
	Rights of the Owner	
	Rights of the Architect	
4.3	Review of the Work	
	Claims	
	Claims for Concealed or Unknown Conditions	
	Claim for Additional Cost	
	Claims for Additional Time	
	Resolution of Claims and Disputes	
4.9	Administrative Review	
5.	SUBCONTRACTORS	
51	Award of Subcontracts	GC/17
	Subcontractual Relations	
	Contingent Assignment of Subcontract	
6.	SEPARATE CONTRACTS AND COOPERATION	
7.	CHANGES IN THE WORK	
	7.1 Change Orders	
	7.2 Construction Change Directive	
	7.3 Overhead and Profit	
	7.4 Extended General Conditions	
	7.5 Emergency Work	

8.	TIME	GC/21
8.1	Progress and Completion	
	Delay in Completion	
	Liquidated Damages	
9.	PAYMENTS AND COMPLETION	GC/22
9.1	Commencement, Prosecution and Completion	
	Contract Sum	
9.3	Schedule of Values	
9.4	Applications for Payment	
	Approval for Payment	
	Decisions to Withhold Approval	
	Progress Payments	
	Failure of Payment	
	Substantial Completion	
	0Partial Occupancy or Use	
	1 Final Completion and Final Payment	
10.	PROTECTION OF PERSONS AND PROPERTY	GC/27
	1 Safety Precautions and Programs	
10.2	2Safety of Persons and Property	
11.	INSURANCE & BONDS	
	1 Insurance	
	2Commercial General Liability	
	3Licensed for Use Vehicle Liability	
	4Workers' Compensation Insurance	
11.:	5Liability Insurance General Requirements	
	6Builder's Risk Insurance	
	7Bonds	
12.	UNCOVERING AND CORRECTION OF THE WORK	GC/31
12.	1Uncovering of the Work	
12.2	2Correction of the Work	
12.	3Acceptance of Nonconforming Work	
13.	MISCELLANEOUS PROVISIONS	
	1 Written Notice	
	2Rights and Remedies	
	3Tests and Inspections	
	4Nondiscrimination in Employment Equal Opportunity	
	5Supplier Diversity Goal Program	
	6Wage Rates	
	7Records	
	8Codes and Standards	
	9General Provisions	
13.	10Certification	
14.	TERMINATION OR SUSPENSION OF THE CONTRACT	
	1 Termination by Owner for Cause	
	2Suspension by the Owner for Convenience	
14.	30wner's Termination for Convenience	

#### ARTICLE 1 GENERAL PROVISIONS

## **1.1 Basic Definitions**

As used in the Contract Documents, the following terms shall have the meanings and refer to the parties designated in these definitions.

# 1.1.1 Owner

The Curators of the University of Missouri. The Owner may act through its Board of Curators or any duly authorized committee or representative thereof.

## 1.1.2 Contracting Officer

The Contracting Officer is the duly authorized representative of the Owner with the authority to execute contracts. Communications to the Contracting Officer shall be forwarded via the Owner's Representative.

## 1.1.3 Owner's Representative

The Owner's Representative is authorized by the Owner as the administrator of the Contract and will represent the Owner during the progress of the Work. Communications from the Architect to the Contractor and from the Contractor to the Architect shall be through the Owner's Representative, unless otherwise indicated in the Contract Documents.

# 1.1.4 Architect

When the term "Architect" is used herein, it shall refer to the Architect or the Engineer specified and defined in the Contract for Construction or its duly authorized representative. Communications to the Architect shall be forwarded to the address shown in the Contract for Construction.

# 1.1.5 Contractor

The Contractor is the person or entity with whom the Owner has entered into the Contract for Construction. The term "Contractor" means the Contractor or the Contractor's authorized representative.

### 1.1.6 Subcontractor and Lower-tier Subcontractor

A Subcontractor is a person or organization who has a contract with the Contractor to perform any of the Work. The term "Subcontractor" is referred to throughout the Contract Documents as if singular in number and means a Subcontractor or its authorized representative. The term "Subcontractor" also is applicable to those furnishing materials to be incorporated in the Work whether work performed is at the Owner's site or off site, or both. A lower-tier Subcontractor is a person or organization who has a contract with a Subcontractor or another lower-tier Subcontractor to perform any of the Work at the site. Nothing contained in the Contract Documents shall create contractual relationships between the Owner or the Architect and any Subcontractor or lower-tier Subcontractor of any tier.

## 1.1.7 Supplier Diversity Definitions

Businesses that fall into the Supplier Diversity classification shall mean an approved certified business concern which is at least fifty-one percent (51%) owned and controlled by one (1) or more diverse suppliers as described below.

# .1 Minority Business Enterprises (MBE)

Minority Business Enterprise [MBE] shall mean an approved certified business concern which is at least fiftyone percent (51%) owned and controlled by one (1) or more minorities as defined below or, in the case of any publiclyowned business, in which at least fifty-one percent (51%) of the stock of which is owned by one (1) or more minorities as defined below, and whose management and daily business operations are controlled by one (1) or more minorities as defined herein.

- .1.1 "African Americans", which includes persons having origins in any of the black racial groups of Africa.
- .1.2 "Hispanic Americans", which includes persons of Mexican, Puerto Rican, Cuban, Central or South American, or other Spanish culture or origin, regardless of race.
- .1.3 "Native Americans", which includes persons of American Indian, Eskimo, Aleut, or Native Hawaiian origin.
- .1.4 "Asian-Pacific Americans", which includes persons whose origins are from Japan, China, Taiwan, Korea, Vietnam, Laos, Cambodia, the Philippines, Samoa, Guam, the U.S. Trust Territories of the Pacific, or the Northern Marinas.
- .1.5 "Asian-Indian Americans", which includes persons whose origins are from India, Pakistan, or Bangladesh.

# .2 Women Business Enterprise (WBE)

Women Business Enterprise [WBE] shall mean an approved certified business concern which is at least fifty-one percent (51%) owned and controlled by one (1) or more women or, in the case of any publicly-owned business, in which at least fifty-one percent (51%) of the stock of which is owned by one (1) or more women, and whose management and daily business operations are controlled by one (1) or more women.

# .3 Veteran Owned Business

Veteran Owned Business shall mean an approved certified business concern which is at least fifty-one percent (51%) owned and controlled by one (1) or more Veterans or, in the case of any publicly-owned business, in which at least fiftyone percent (51%) of the stock of which is owned by one (1) or more Veterans, and whose management and daily business operations are controlled by one (1) or more Veterans. Veterans must be certified by the appropriate federal agency responsible for veterans' affairs.

### .4 Service Disabled Veteran Enterprise (SDVE)

Service Disabled Veteran Enterprise (SDVE) shall mean a business certified by the State of Missouri Office of Administration as a Service Disabled Veteran Enterprise, which is at least fifty-one percent (51%) owned and controlled by one (1) or more Serviced Disabled Veterans or, in the case of any publicly-owned business, in which at least

GC/1 08/18 fifty-one percent (51%) of the stock of which is owned by one (1) or more Service Disabled Veterans, and whose management and daily business operations are controlled by one (1) or more Serviced Disabled Veterans.

### .5 Disadvantaged Business Enterprise (DBE)

A Disadvantaged Business Enterprise (DBE) is a forprofit small business concern where a socially and economically disadvantaged individual owns at least 51% interest and also controls management and daily business operations. These firms can and also be referred to as Small Disadvantaged Businesses (SDB). Eligibility requirements for certification are stated in 49 CFR (Code of Federal Regulations), part 26, Subpart D.

U.S. citizens that are African-Americans, Hispanics, Native Americans, Asian-Pacific and Subcontinent Asian Americans, and women are presumed to be socially and economically disadvantaged. Also recognized as DBE's are Historically Black Colleges and Universities (HBCU) and small businesses located in Federal HUB Zones.

To be regarded as economically disadvantaged, an individual must have a personal net worth that does not exceed \$1.32 million. To be seen as a small business, a firm must meet Small Business Administration (SBA) size criteria (500 employees or less) and have average annual gross receipts not to exceed \$22.41 million. To be considered a DBE/SDB, a small business owned and controlled by socially and/or economically disadvantaged individuals must receive DBE certification from one of the recognized Missouri state agencies to be recognized in this classification.

### 1.1.9 Work

Work shall mean supervision, labor, equipment, tools, material, supplies, incidentals operations and activities required by the Contract Documents or reasonably inferable by Contractor therefrom as necessary to produce the results intended by the Contract Documents in a safe, expeditious, orderly, and workmanlike manner, and in the best manner known to each respective trade.

### 1.1.10 Approved

The terms "approved", "equal to", "directed", "required", "ordered", "designated", "acceptable", "satisfactory", and similar words or phrases will be understood to have reference to action on the part of the Architect and/or the Owner's Representative.

### 1.1.11 Contract Documents

The Contract Documents consist of (1) the executed Contract for Construction, (2) these General Conditions of the Contract for Construction, (3) any Supplemental Conditions or Special Conditions identified in the Contract for Construction, (4) the Specifications identified in the Contract for Construction, (5) the Drawings identified in the Contract for Construction, (6) Addenda issued prior to the receipt of bids, (7) Contractor's bid addressed to Owner, including Contractor's completed Qualification Statement, (8) Contractor's Performance Bond and Contractor's Payment Bond, (9) Notice to Proceed, (10) and any other exhibits and/or post bid adjustments identified in the Contract for Construction, (11) Advertisement for Bid, (12) Information for Bidders, and (13) Change Orders issued after execution of the Contract. All other documents and technical reports and information are not Contract Documents, including without limitation, Shop Drawings, and Submittals.

# 1.1.12 Contract

The Contract Documents form the Contract and are the exclusive statement of agreement between the parties. The Contract represents the entire and integrated agreement between the parties hereto and supersedes prior representations or agreements, either written or oral. The Contract Documents shall not be construed to create a contractual relationship of any kind between the Owner and a Subcontractor or any lower-tier Subcontractor.

### 1.1.13 Change Order

The Contract may be amended or modified without invalidating the Contract, only by a Change Order, subject to the limitations in Article 7 and elsewhere in the Contract Documents. A Change Order is a written instrument signed by the Owner and the Contractor stating their agreement to a change in the Work, the amount of the adjustment to the Contract Sum, if any, and the extent of the adjustment to the Contract Time, if any. Agreement to any Change Order shall constitute a final settlement of all matters relating to the change in the work which is the subject of the Change Order, including, but not limited to, all direct and indirect costs associated with such change and any and all adjustments of the Contract sum, time and schedule.

### 1.1.14 Substantial Completion

The terms "Substantial Completion" or "substantially complete" as used herein shall be construed to mean the completion of the entire Work, including all submittals required under the Contract Documents, except minor items which in the opinion of the Architect, and/or the Owner's Representative will not interfere with the complete and satisfactory use of the facilities for the purposes intended.

### 1.1.15 Final Completion

The date when all punch list items are completed, including all closeout submittals and approval by the Architect is given to the Owner in writing.

### 1.1.16 Supplemental and Special Conditions

The terms "Supplemental Conditions" or "Special Conditions" shall mean the part of the Contract Documents which amend, supplement, delete from, or add to these General Conditions.

### 1.1.17 Day

The term "day" as used in the Contract Documents shall mean calendar day unless otherwise specifically defined.

# 1.1.18 Knowledge.

GC/2 08/2020 The terms "knowledge," "recognize" and "discover," their respective derivatives and similar terms in the Contract Documents, as used in reference to the Contractor, shall be interpreted to mean that which the Contractor knows or should know, recognizes or should recognize and discovers or should discover in exercising the care, skill, and diligence of a diligent and prudent contractor familiar with the work. Analogously, the expression "reasonably inferable" and similar terms in the Contract Documents shall be interpreted to mean reasonably inferable by a diligent and prudent contractor familiar with the work.

## 1.1.19 Punch List

"Punch List" means the list of items, prepared in connection with the inspection of the Project by the Owner's Representative or Architect in connection with Substantial Completion of the Work or a portion of the Work, which the Owner's Representative or Architect has designated as remaining to be performed, completed or corrected before the Work will be accepted by the Owner.

### 1.1.20 Public Works Contracting Minimum Wage

The public works contracting minimum wage shall be equal to one hundred twenty percent of the average hourly wage in a particular locality, as determined by the Missouri economic research and information center within the department of economic development, or any successor agency.

## 1.1.21 Force Majeure

An event or circumstance that could not have been reasonably anticipated and is out of the control of both the Owner and the Contractor.

# **1.2** Specifications and Drawings

**1.2.1** The Specifications are that portion of the Contract Documents consisting of the written requirements for materials, equipment, construction system, standards and workmanship and performance of related services for the Work identified in the Contract for Construction. Specifications are separated into titled divisions for convenience of reference only. Organization of the Specifications into divisions, sections and articles, and arrangement of Drawings shall not control the Contractor in dividing the Work among Subcontractors or in establishing the extent of Work to be performed by any trade. Such separation will not operate to make the Owner or the Architect an arbiter of labor disputes or work agreements.

**1.2.2** The drawings herein referred to, consist of drawings prepared by the Architect and are enumerated in the Contract Documents.

**1.2.3** Drawings are intended to show general arrangements, design, and dimensions of work and are partly diagrammatic. Dimensions shall not be determined by scale or rule. If figured dimensions are lacking, they

shall be supplied by the Architect on the Contractor's written request to the Owner's Representative.

**1.2.4** The intent of the Contract Documents is to include all items necessary for the proper execution and completion of the Work by the Contractor. The Contract Documents are complimentary, and what is required by one shall be as binding as if required by all; performance by the Contractor shall by required only to the extent consistent with the Contract Documents and reasonably inferable from them as being necessary to produce the intended results.

1.2.5 In the event of inconsistencies within or between parts of the Contract Documents, or between the Contract Documents and applicable standards, codes and ordinances. the Contractor shall (1) provide the better quality or greater quantity of Work or (2) comply with the more stringent requirement; either or both in accordance with the Owner's Representative's interpretation. On the Drawings, given dimensions shall take precedence over scaled measurements and large scale drawings over small scale drawings. Before ordering any materials or doing any Work, the Contractor and each Subcontractor shall verify measurements at the Work site and shall be responsible for the correctness of such measurements. Any difference which may be found shall be submitted to the Owner's Representative and Architect for resolution before proceeding with the Work. If a minor change in the Work is found necessary due to actual field conditions, the Contractor shall submit detailed drawings of such departure for the approval by the Owner's Representative and Architect before making the change.

**1.2.6** Data in the Contract Documents concerning lot size, ground elevations, present obstructions on or near the site, locations and depths of sewers, conduits, pipes, wires, etc., position of sidewalks, curbs, pavements, etc., and nature of ground and subsurface conditions have been obtained from sources the Architect believes reliable, but the Architect and Owner do not represent or warrant that this information is accurate or complete. The Contractor shall verify such data to the extent possible through normal construction procedures, including but not limited to contacting utility owners and by prospecting.

**1.2.7** Only work included in the Contract Documents is authorized, and the Contractor shall do no work other than that described therein.

**1.2.8** Execution of the Contract by the Contractor is a representation that the Contractor has visited the site, become familiar with local conditions under which the Work is to be performed and correlated personal observations with requirements of the Contract Documents. Contractor represents that it has performed its own investigation and examination of the Work site and its surroundings and satisfied itself before entering into this Contract as to:

.1 conditions bearing upon transportation, disposal, handling, and storage of materials;

- .2 the availability of labor, materials, equipment, water, electrical power, utilities and roads;
- .3 uncertainties of weather, river stages, flooding and similar characteristics of the site;
- .4 conditions bearing upon security and protection of material, equipment, and Work in progress;
- .5 the form and nature of the Work site, including the surface and sub-surface conditions;
- .6 the extent and nature of Work and materials necessary for the execution of the Work and the remedying of any defects therein; and
- .7 the means of access to the site and the accommodations it may require and, in general, shall be deemed to have obtained all information as to risks, contingencies and other circumstances.
- .8 the ability to complete work without disruption to normal campus activities, except as specifically allowed in the contract documents.

The Owner assumes no responsibility or liability for the physical condition or safety of the Work site or any improvements located on the Work site. The Contractor shall be solely responsible for providing a safe place for the performance of the Work. The Owner shall not be required to make any adjustment in either the Contract Sum or Contract Time concerning any failure by the Contractor or any Subcontractor to comply with the requirements of this Paragraph.

**1.2.9** Drawings, specifications, and copies thereof furnished by the Owner are and shall remain the Owner's property. They are not to be used on another project and, with the exception of one contract set for each party to the Contract, shall be returned to the Owner's Representative on request, at the completion of the Work.

## 1.3 Required Provisions Deemed Inserted

Each and every provision of law and clause required by law to be inserted in this Contract shall be deemed to be inserted herein, and the Contract shall be read and enforced as though it were included herein; and if through mistake or otherwise any such provision is not inserted, or is not correctly inserted, then upon the written application of either party the Contract shall forthwith be physically amended to make such insertion or correction.

#### ARTICLE 2 OWNER

# 2.1 Information and Services Required of the Owner

**2.1.1** Permits and fees are the responsibility of the Contractor under the Contract Documents, unless specifically stated in the contract documents that the Owner will secure and pay for specific necessary approvals, easements, assessments, and charges required for construction, use or occupancy of permanent

structures, or for permanent changes in existing facilities.

**2.1.2** When requested in writing by the Contractor, information or services under the Owner's control, which are reasonably necessary to perform the Work, will be furnished by the Owner with reasonable promptness to avoid delay in the orderly progress of the Work.

## 2.2 Owner's Right to Stop the Work

**2.2.1** If the Contractor fails to correct Work which is not in strict accordance with the requirements of the Contract Documents or fails to carry out Work in strict accordance with the Contract Documents, the Owner's Representative may order the Contractor to stop the Work, or any portion thereof, until the cause for such order has been eliminated; however, the right of the Owner to stop the Work will not give rise to a duty on the part of the Owner to exercise this right for the benefit of the Contractor or any other person or entity. Owner's lifting of Stop Work Order shall not prejudice Owner's right to enforce any provision of this Contract.

## 2.3 Owner's Right to Carry Out the Work

If the Contractor defaults or neglects to carry out the 2.3.1 Work in accordance with the Contract Documents, and fails within a seven (7) day period after receipt of a written notice from the Owner to correct such default or neglect, the Owner may, without prejudice to other remedies the Owner may have, correct such default or neglect. In such case, an appropriate Change Order shall be issued deducting from payments then or thereafter due the Contractor the cost of correcting such deficiencies, including compensation for the Architect's additional services and expenses made necessary by such default or neglect. If payments then or thereafter due the Contractor are not sufficient to cover such amounts, the Contractor shall pay the difference to Owner. However, such notice shall be waived in the event of an emergency with the potential for property damage or the endangerment of students, faculty, staff, the public or construction personnel, at the sole discretion of the Owner.

**2.3.2** In the event the Contractor has not satisfactorily completed all items on the Punch List within thirty (30) days of its receipt, the Owner reserves the right to complete the Punch List without further notice to the Contractor or its surety. In such case, Owner shall be entitled to deduct from payments then or thereafter due the Contractor the cost of completing the Punch List items, including compensation for the Architect's additional services. If payments then or thereafter due Contractor such amounts, the Contractor shall pay the difference to Owner.

## 2.4 Extent of Owner Rights

**2.4.1** The rights stated in this Article 2 and elsewhere in the Contract Documents are cumulative and not in limitation of any rights of the Owner (1) granted in the Contract Documents, (2) at law or (3) in equity.

**2.4.2** In no event shall the Owner have control over, charge of, or any responsibility for construction means, methods, techniques, sequences or procedures or for safety precautions and programs in connection with the Work, notwithstanding any of the rights and authority granted the Owner in the Contract Documents.

## ARTICLE 3 CONTRACTOR

#### 3.1 Contractor's Warranty

The Contractor warrants all equipment and 3.1.1 materials furnished, and work performed, under this Contract, against defective materials and workmanship for a period of twelve months after acceptance as provided in this Contract, unless a longer period is specified, regardless of whether the same were furnished or performed by the Contractor or any Subcontractors of any tier. Upon written notice from the Owner of any breech of warranty during the applicable warranty period due to defective material or workmanship, the affected part or parts thereof shall be repaired or replaced by the Contractor at no cost to the Owner. Should the Contractor fail or refuse to make the necessary repairs, replacements, and tests when requested by the Owner, the Owner may perform, or cause the necessary work and tests to be performed, at the Contractor's expense, or exercise the Owner's rights under Article 14.

**3.1.2** Should one or more defects mentioned above appear within the specified period, the Owner shall have the right to continue to use or operate the defective part or apparatus until the Contractor makes repairs or replacements or until such time as it can be taken out of service without loss or inconvenience to the Owner.

**3.1.3** The above warranties are not intended as a limitation, but are in addition to all other express warranties set forth in this Contract and such other warranties as are implied by law, custom, and usage of trade. The Contractor, and its surety or sureties, if any, shall be liable for the satisfaction and full performance of the warranties set forth herein.

Neither the final payment nor any provision in 3.1.4 the Contract Documents nor partial or entire occupancy of the premises by the Owner, nor expiration of warranty stated herein, will constitute an acceptance of Work not done in accordance with the Contract Documents or relieve the Contractor of liability in respect to any responsibility for non-conforming work. The Contractor shall immediately remedy any defects in the Work and pay for any damage to other Work resulting therefrom upon written notice from the Owner. Should the Contractor fail or refuse to remedy the non-conforming work, the Owner may perform, or cause to be performed the work necessary to bring the work into conformance with the Contract Documents at the Contractor's expense.

3.1.5 The Contractor agrees to defend, indemnify, and save harmless The Curators of the University of Missouri, their Officers, Agents, Employees and Volunteers, from and against all loss or expense from any injury or damages to property of others suffered or incurred on account of any breech of the aforesaid obligations and covenants. The Contractor agrees to investigate, handle, respond to and provide defense for and defend against any such liability, claims, and demands at the sole expense of the Contractor, or at the option of the University, agrees to pay to or reimburse the University for the defense costs incurred by the University in connection with any such liability claims, or demands. The parties hereto understand and agree that the University is relying on, and does not waive or intend to waive by any provision of this Contract, any monetary limitations or any other rights, immunities, and protections provided by the State of Missouri, as from time to time amended, or otherwise available to the University, or its officers, employees, agents or volunteers.

# 3.2 Compliance with Laws, Permits, Regulations and Inspections

**3.2.1** The Contractor shall, without additional expense to the Owner, comply with all applicable laws, ordinances, rules, statutes, and regulations (collectively referred to as "Laws").

**3.2.2** Since the Owner is an instrumentality of the State of Missouri, municipal, or political subdivision, ordinances, zoning ordinances, and other like ordinances are not applicable to construction on the Owner's property, and the Contractor will not be required to submit plans and specifications to any municipal or political subdivision authority to obtain construction permits or any other licenses or permits from or submit to, inspection by any municipality or political subdivision relating to the construction on the Owner's property, unless required by the Owner in these Contract Documents or otherwise in writing.

**3.2.3** All fees, permits, inspections, or licenses required by municipality or political subdivision for operation on property not belonging to the Owner, shall be obtained by and paid for by the Contractor. The Contractor, of its own expense, is responsible to ensure that all inspections required by said permits or licenses on property, easements, or utilities not belonging to the Owner are conducted as required therein. All connection charges, assessments or transportation fees as may be imposed by any utility company or others are included in the Contract Sum and shall be the Contractor's responsibility, as stated in 2.1.1 above.

**3.2.4** If the Contractor has knowledge that any Contract Documents are at variance with any Laws, including Americans with Disabilities Act – Standards for Accessible Design, ordinances, rules, regulations or codes applying to the Work, Contractor shall promptly notify the Architect and the Owner's Representative, in writing, and any necessary changes will be adjusted as provided in Contract Documents.

However, it is not the Contractor's primary responsibility to ascertain that the Contract Documents are in accordance with applicable Laws, unless such Laws bear upon performance of the Work.

# 3.3 Anti-Kickback

**3.3.1** No member or delegate to Congress, or resident commissioner, shall be admitted to any share or part of this Contract or to any benefit that may arise therefrom, but this provision shall not be construed to extend to this Contract if made with a corporation for its general benefit.

**3.3.2** No official of the Owner who is authorized in such capacity and on behalf of the Owner to negotiate, make, accept or approve, or to take part in negotiating, making, accepting, or approving any architectural, engineering, inspection, construction, or material supply contract or any Subcontract of any tier in connection with the construction of the Work shall have a financial interest in this Contract or in any part thereof, any material supply contract, Subcontract of any tier, insurance contract, or any other contract pertaining to the Work.

# 3.4 Supervision and Construction Procedures

**3.4.1** The Contractor shall supervise and direct the Work, using the Contractor's best skill and attention. The Contractor shall be solely responsible for and have control over construction means, methods, techniques, sequences, and procedures and for coordinating all portions of the Work under the Contract. The Contractor shall supply sufficient and competent supervision and personnel, and sufficient material, plant, and equipment to prosecute the Work with diligence to ensure completion thereof within the time specified in the Contract Documents, and shall pay when due any laborer, Subcontractor of any tier, or supplier.

**3.4.2** The Contractor, if an individual, shall give the Work an adequate amount of personal supervision, and if a partnership or corporation or joint venture the Work shall be given an adequate amount of personal supervision by a partner or executive officer, as determined by the Owner's Representative.

**3.4.3** The Contractor and each of its Subcontractors of any tier shall submit to the Owner such schedules of quantities and costs, progress schedules in accordance with 3.17.2 of this document, payrolls, reports, estimates, records, and other data as the Owner may request concerning Work performed or to be performed under the Contract.

**3.4.4** The Contractor shall be represented at the site by a competent superintendent from the beginning of the Work until its final acceptance, whenever contract work is being performed, unless otherwise permitted in writing by the Owner's Representative. The superintendent for the Contractor shall exercise general supervision over the

Work and such superintendent shall have decision making authority of the Contractor. Communications given to the superintendent shall be binding as if given to the Contractor. The superintendent shall not be changed by the contractor without approval from the Owner's Representative.

**3.4.5** The Contractor shall establish and maintain a permanent bench mark to which access may be had during progress of the Work, and Contractor shall establish all lines and levels, and shall be responsible for the correctness of such. Contractor shall be fully responsible for all layout work for the proper location of Work in strict accordance with the Contract Documents.

**3.4.6** The Contractor shall establish and be responsible for wall and partition locations. If applicable, separate contractors shall be entitled to rely upon these locations and for setting their sleeves, openings, or chases.

**3.4.7** The Contractor's scheduled outage/tie-in plan, time, and date for any utilities is subject to approval by the Owner's Representative. Communication with the appropriate entity and planning for any scheduled outage/tie-in of utilities shall be the responsibility of the Contractor. Failure of Contractor to comply with the provisions of this Paragraph shall cause Contractor to forfeit any right to an adjustment of the Contract Sum or Contract Time for any postponement, rescheduling or other delays ordered by Owner in connection with such Work. The Contractor shall follow the following procedures for all utility outages/tie-ins or disruption of any building system:

- .1 All shutting of valves, switches, etc., shall be by the Owner's personnel.
- .2 Contractor shall submit its preliminary outage/tie-in schedule with its baseline schedule.
- .3 The Contractor shall request an outage/tie-in meeting at least two weeks before the outage/tie-in is required.
- .4 The Owner's Representative will schedule an outage/tie-in meeting at least one week prior to the outage/tie-in.

3.4.8 The Contractor shall coordinate all Work so there shall be no prolonged interruption of existing utilities, systems and equipment of Owner. Any existing plumbing, heating, ventilating, air conditioning, or electrical disconnection necessary, which affect portions of this construction or building or any other building, must be scheduled with the Owner's Representative to avoid any disruption of operation within the building under construction or other buildings or utilities. In no case shall utilities be left disconnected at the end of a work day or over a weekend. Any interruption of utilities, either intentionally or accidentally, shall not relieve the Contractor from repairing and restoring the utility to normal service. Repairs and restoration shall be made before the workers responsible for the repair and restoration leave the job.

**3.4.9** The Contractor shall be responsible for repair of damage to property on or off the project occurring during construction of project, and all such repairs shall be made to meet code requirements or to the satisfaction of the Owner's Representative if code is not applicable.

**3.4.10** The Contractor shall be responsible for all shoring required to protect its work or adjacent property and shall pay for any damage caused by failure to shore or by improper shoring or by failure to give proper notice. Shoring shall be removed only after completion of permanent supports.

**3.4.11** The Contractor shall maintain at his own cost and expense, adequate, safe and sufficient walkways, platforms, scaffolds, ladders, hoists and all necessary, proper, and adequate equipment, apparatus, and appliances useful in carrying on the Work and which are necessary to make the place of Work safe and free from avoidable danger for students, faculty, staff, the public and construction personnel, and as may be required by safety provisions of applicable laws, ordinances, rules regulations and building and construction codes.

**3.4.12** During the performance of the Work, the Contractor shall be responsible for providing and maintaining warning signs, lights, signal devices, barricades, guard rails, fences, and other devices appropriately located on site which shall give proper and understandable warning to all persons of danger of entry onto land, structure, or equipment, within the limits of the Contractor's work area.

**3.4.13** The Contractor shall pump, bail, or otherwise keep any general excavations free of water. The Contractor shall keep all areas free of water before, during and after concrete placement. The Contractor shall be responsible for protection, including weather protection, and proper maintenance of all equipment and materials installed, or to be installed by him.

**3.4.14** The Contractor shall be responsible for care of the Work and must protect same from damage of defacement until acceptance by the Owner. All damaged or defaced Work shall be repaired or replaced to the Owner's satisfaction, without cost to the Owner.

**3.4.15** When requested by the Owner's Representative, the Contractor, at no extra charge, shall provide scaffolds or ladders in place as may be required by the Architect or the Owner for examination of Work in progress or completed.

**3.4.16** The Contractor shall be responsible to the Owner for acts and omissions of the Contractor's employees, Subcontractors of any tier and their agents and employees, and any entity or other persons performing portions of the Work.

**3.4.17** The Contractor shall not be relieved of its obligations to perform the Work in accordance with the Contract Documents either by activities or duties of the Owner's Representative or Architect in their administration of the Contract, or by tests, inspections or approvals required or performed by persons other than the Contractor.

**3.4.18** The Contractor shall be responsible for inspection of portions of the Work already performed under this Contract to determine that such portions are in proper condition to receive subsequent Work.

## 3.5 Use of Site

**3.5.1** The Contractor shall limit operations and storage of material to the area within the Work limit lines shown on Drawings, except as necessary to connect to exiting utilities, shall not encroach on neighboring property, and shall exercise caution to prevent damage to existing structures.

**3.5.2** Only materials and equipment, which are to be used directly in the Work, shall be brought to and stored on the Work site by the Contractor. After equipment is no longer required for the Work, it shall be promptly removed from the Work site. Protection of construction materials and equipment stored at the Work site from weather, theft, damage and all other adversity is solely the responsibility of the Contractor.

**3.5.3** No project signs shall be erected without the written approval of the Owner's Representative.

The Contractor shall ensure that the Work is at all 3.5.4 times performed in a manner that affords reasonable access, both vehicular and pedestrian, to the site of the Work and all adjacent areas. Particular attention shall be paid to access for emergency vehicles, including fire trucks. Wherever there is the possibility of interfering with normal emergency vehicle operations, Contractor shall obtain permission from both campus and municipal emergency response entities prior to limiting any access. The Work shall be performed, to the fullest extent reasonably possible, in such a manner that public areas adjacent to the site of the Work shall be free from all debris, building materials and equipment likely to cause hazardous conditions. Without limitation of any other provision of the Contract Documents, Contractor shall not interfere with the occupancy or beneficial use of (1) any areas and buildings adjacent to the site of the Work or (2) the Work in the event of partial occupancy. Contractor shall assume full responsibility for any damage to the property comprising the Work or to the owner or occupant of any adjacent land or areas resulting from the performance of the Work.

**3.5.5** The Contractor shall not permit any workers to use any existing facilities at the Work site, including, without limitation, lavatories, toilets, entrances, and parking areas other than those designated by Owner. The Contractor, Subcontractors of any tier, suppliers and employees shall comply with instructions or regulations of the Owner's

Representative governing access to, operation of, and conduct while in or on the premises and shall perform all Work required under the Contract Documents in such a manner as not to unreasonably interrupt or interfere with the conduct of Owner's operations. Any request for Work, a suspension of Work or any other request or directive received by the Contractor from occupants of existing buildings shall be referred to the Owner's Representative for determination.

**3.5.6** The Contractor and the Subcontractor of any tier shall have its' name, acceptable abbreviation or recognizable logo and the name of the city and state of the mailing address of the principal office of the company, on each motor vehicle and motorized self-propelled piece of equipment which is used in connection with the project. The signs are required on such vehicles during the time the Contractor is working on the project.

## 3.6 Review of Contract Documents and Field Conditions by Contractor

**3.6.1** The Contractor shall carefully study and compare the Contract Documents with each other and with information furnished by the Architect and Owner and shall at once report in writing to the Architect and Owner's Representative any errors, inconsistencies or omissions discovered. If the Contractor performs any construction activity which it knows or should have known involves a recognized error, inconsistency or omission in the Contract Documents without such written notice to the Architect and Owner's Representative, the Contractor shall assume appropriate responsibility for such performance and shall bear an appropriate amount of the attributable costs for correction.

The Contractor shall take field measurements 3.6.2 and verify field conditions and shall carefully compare such field measurements and conditions and other information known to the Contractor with the Contract Documents before commencing activities. Errors. inconsistencies or omissions discovered shall be reported in writing to the Architect and Owner's Representative within twenty-four (24) hours. During the progress of work, Contractor shall verify all field measurements prior to fabrication of building components or equipment, and proceed with the fabrication to meet field conditions. Contractor shall consult all Contract Documents to determine the exact location of all work and verify spatial relationships of all work. Any question concerning said location or spatial relationships shall be submitted to the Specific locations for Owner's Representative. equipment, pipelines, ductwork and other such items of work, where not dimensioned on plans, shall be determined in consultation with Owner's Representative and Architect. Contractor shall be responsible for the proper fitting of the Work in place.

**3.6.3** The Contractor shall provide, at the proper time, such material as required for support of the Work. If

openings or chases are required, whether shown on Drawings or not, the Contractor shall see they are properly constructed. If required openings or chases are omitted, the Contractor shall cut them at the Contractors own expense, but only as directed by the Architect, through the Owner Representative.

**3.6.4** Should the Contract Documents fail to particularly describe materials or goods to be used, it shall be the duty of the Contractor to inquire of the Architect and the Owner's Representative what is to be used and to supply it at the Contractor's expense, or else thereafter replace it to the Owner's Representative's satisfaction. At a minimum, the Contractor shall provide the quality of materials as generally specified throughout the Contract Documents.

## 3.7 Cleaning and Removal

3.7.1 The Contractor shall keep the Work site and surrounding areas free from accumulation of waste materials, rubbish, debris, and dirt resulting from the Work and shall clean the Work site and surrounding areas as requested by the Architect and the Owner's Representative, including mowing of grass greater than 6 inches high. The Contractor shall be responsible for the cost of clean up and removal of debris from premises. The building and premises shall be kept clean, safe, in a workmanlike manner, and in compliance with OSHA standards at all times. At completion of the Work, the Contractor shall remove from and about the Work site tools, construction equipment, machinery, fencing, and surplus materials. Further, at the completion of the work, all dirt, stains, and smudges shall be removed from every part of the building, all glass in doors and windows shall be washed, and entire Work shall be left broom clean in a finished state ready for occupancy. The Contractor shall advise his Subcontractors of any tier of this provision, and the Contractor shall be fully responsible for leaving the premises in a finished state ready for use to the satisfaction of the Owner's Representative. If the Contractor fails to comply with the provisions of this paragraph, the Owner may do so and the cost thereof shall be charged to the Contractor.

# 3.8 Cutting and Patching

**3.8.1** The Contractor shall be responsible for cutting, fitting, or patching required to complete the Work or to make its parts fit together properly.

**3.8.2** The Contractor shall not damage or endanger a portion of the Work or fully or partially completed construction of the Owner or separate contractors by cutting, patching, or otherwise altering such construction, or by excavation. The Contractor shall not cut or otherwise alter such construction by the Owner or a separate contractor except with written consent of the Owner and of such separate contractor; such consent shall not unreasonably withheld. The Contractor shall not unreasonably withheld from the Owner or a separate contractor's consent to cutting or otherwise altering the Work.

**3.8.3** If the Work involves renovation and/or alteration of existing improvements, Contractor acknowledges that cutting

and patching of the Work is essential for the Work to be successfully completed. Contractor shall perform any cutting, altering, patching, and/or fitting of the Work necessary for the Work and the existing improvements to be fully integrated and to present the visual appearance of an entire, completed, and unified project. In performing any Work which requires cutting or patching, Contractor shall use its best efforts to protect and preserve the visual appearance and aesthetics of the Work to the reasonable satisfaction of both the Owner's Representative and Architect.

## 3.9 Indemnification

3.9.1 To the fullest extent permitted by law, the Contractor shall defend, indemnify, and hold harmless the Owner, the Architect, Architect's consultants, and the agents, employees, representatives, insurers and reinsurers of any of the foregoing (hereafter collectively referred to as the "Indemnitees") from and against claims, damages (including loss of use of the Work itself), punitive damages, penalties and civil fines unless expressly prohibited by law, losses and expenses, including, but not limited to, attorneys' fees, arising out of or resulting from performance of the Work to the extent caused in whole or in part by negligent acts or omissions or other fault of Contractor, a Subcontractor of any tier, or anyone directly or indirectly employed by them or anyone for whose acts they may be liable, regardless of whether or not such claim, damage, loss, or expense is caused in part by the negligent acts or omissions or other fault of a party indemnified hereunder. The Contractor's obligations hereunder are in addition to and shall not be construed to negate, abridge, or reduce other rights or obligations of indemnity that the Owner may possess. If one or more of the Indemnitees demand performance by the Contractor of obligations under this paragraph or other provisions of the Contract Documents and if Contractor refuses to assume or perform, or delays in assuming or performing Contractor's obligations, Contractor shall pay each Indemnitee who has made such demand its respective attorneys' fees, costs, and other expenses incurred in enforcing this provision. The defense and indemnity required herein shall be a binding obligation upon Contractor whether or not an Indemnitee has made such demand. Even if a defense is successful to a claim or demand for which Contractor is obligated to indemnify the Indemnitees from under this Paragraph, Contractor shall remain liable for all costs of defense.

**3.9.2** The indemnity obligations of Contractor under this Section 3.9 shall survive termination of this Contract or final payment thereunder. In the event of any claim or demand made against any party which is entitled to be indemnified hereunder, the Owner may in its sole discretion reserve, return or apply any monies due or to become due the Contractor under the Contract for the purpose of resolving such claims; provided, however, that the Owner may release such funds if the Contractor provides the Owner with reasonable assurance of

protection of the Owner's interests. The Owner shall in its sole discretion determine if such assurances are reasonable. Owner reserves the right to control the defense and settlement of any claim, action or proceeding which Contractor has an obligation to indemnify the Indemnitees against under Paragraph 3.9.1.

**3.9.3** In claims against any person or entity indemnified under this Section 3.9 by an employee of the Contractor, a Subcontractor of any tier, anyone directly or indirectly employed by them or anyone for whose acts they may be liable, the indemnification obligation under this Section 3.9 shall not be limited by a limitation on amount or type of damages, compensation or benefits payable by or for the Contractor or a Subcontractor of any tier under workers' or workmen's compensation acts, disability benefit acts or other employee benefit acts.

**3.9.4** The obligations of the Contractor under Paragraph 3.9.1 shall not extend to the liability of the Architect, his agents or employees, arising out of the preparation and approval of maps, drawings, opinions, reports, surveys, Change Orders, designs, or Specifications.

# 3.10 Patents

**3.10.1** The Contractor shall hold and save harmless the Owner and its officers, agents, servants, and employees from liability of any nature or kind, including cost and expense, for, or on account of, any patented or otherwise protected invention, process, article, or appliance manufactured or used in the performance of the Contract, including its use by the Owner, unless otherwise specifically stipulated in the Contract Documents.

**3.10.2** If the Contractor uses any design, device, or material covered by letters patent or copyright, he shall provide for such use by suitable agreement with the Owner of such patented or copyrighted design, device, or material. It is mutually agreed and understood, without exception, that the Contract Sum includes and the Contractor shall pay all royalties, license fees or costs arising from the use of such design, device, or material in any way involved in the Work. The Contractor and/or sureties shall indemnify and save harmless the Owner from any and all claims for infringement by reason of the use of such patented or copyrighted design, device, or material or any trademark or copyright in connection with Work agreed to be performed under this Contract and shall indemnify the Owner for any cost, expense, or damage it may be obligated to pay by reason of such infringement at any time during the prosecution of the Work or after completion of the Work.

# 3.11 Materials, Labor, and Workmanship

**3.11.1** Materials and equipment incorporated into the Work shall strictly conform to the Contract Documents and representations and approved Samples provided by Contractor and shall be of the most suitable grade of their respective kinds for their respective uses, and shall be fit and sufficient for the purpose intended, merchantable, of good

new material and workmanship, and free from defect. Workmanship shall be in accordance with the highest standard in the industry and free from defect in strict accordance with the Contract Documents.

**3.11.2** Materials and fixtures shall be new and of latest design unless otherwise specified, and shall provide the most efficient operating and maintenance costs to the Owner. All Work shall be performed by competent workers and shall be of best quality.

**3.11.3** The Contractor shall carefully examine the Contract Documents and shall be responsible for the proper fitting of his material, equipment, and apparatus into the building.

**3.11.4** The Contractor shall base his bid only on the Contract Documents.

**3.11.5** Materials and workmanship shall be subject to inspection, examination, and test by the Architect and the Owner's Representative at any and all times during manufacture, installation, and construction of any of them, at places where such manufacture, installation, or construction is performed.

**3.11.6** The Contractor shall enforce strict discipline and good order among the Contractor's employees and other persons carrying out the Contract. The Contractor shall not permit employment of unfit persons or persons not skilled in tasks assigned to them.

**3.11.7** Unless otherwise specifically noted, the Contractor shall provide and pay for supervision, labor, materials, equipment, tools, construction equipment and machinery, water, heat, utilities, transportation, and other facilities and services necessary for the proper execution and completion of the Work.

## 3.11.8 Substitutions

**3.11.8.1** A substitution is a Contractor proposal of an alternate product or method in lieu of has been specified or shown in the Contract Documents, which is not an "or equal" as set forth in Section 3.12.1.

**3.11.8.2** Contractor may make a proposal to the Architect and the Owner's Representative to use substitute products or methods as set forth herein, but the Architect's and the Owner's Representative's decision concerning acceptance of a substitute shall be final. The Contractor must do so in writing and setting forth the following:

- .1 Full explanation of the proposed substitution and submittal of all supporting data including technical information, catalog cuts, warranties, test results, installation instructions, operating procedures, and other like information necessary for a complete evaluation of the substitution.
- .2 Reasons the substitution is advantageous and necessary, including the benefits to the Owner

and the Work in the event the substitution is acceptable.

- .3 The adjustment, if any, in the Contract Sum, in the event the substitution is acceptable.
- .4 The adjustment, if any, in the time of completion of the Contract and the construction schedule in the event the substitution is acceptable.
- An affidavit stating that (a) the proposed .5 substitution conforms to and meets all of the Contract Documents, except as specifically disclosed and set forth in the affidavit and (b) the Contractor accepts the warranty and correction obligations in connection with the proposed substitution as if originally specified by the Proposals for substitutions shall be Architect. submitted to the Architect and Owner's Representative in sufficient time to allow the Architect and Owner's Representative no less than ten (10) working days for review. No substitution will be considered or allowed without the Contractor's submittal of complete substantiating data and information as stated herein.

**3.11.8.3** Substitutions may be rejected without explanation in Owner's sole discretion and will be considered only under one or more of the following conditions:

- .1 Required for compliance with interpretation of code requirements or insurance regulations then existing;
- .2 Unavailability of specified products, through no fault of the Contractor;
- .3 Material delivered fails to comply with the Contract Documents;
- .4 Subsequent information discloses inability of specified products to perform properly or to fit in designated space;
- .5 Manufacturer/fabricator refuses to certify or guarantee performance of specified product as required; or
- .6 When in the judgment of the Owner or the Architect, a substitution would be substantially to the Owner's best interests, in terms of cost, time, or other considerations.

**3.11.8.4** Whether or not any proposed substitution is accepted by the Owner or the Architect, the Contractor shall reimburse the Owner for any fees charged by the Architect or other consultants for evaluating each proposed substitute.

## 3.12 Approved Equal

**3.12.1** Whenever in the Contract Documents any article, appliance, device, or material is designated by the name of a manufacturer, vendor, or by any proprietary or trade name, the words "or approved equal," shall automatically follow and shall be implied unless specifically indicated otherwise. The standard products of manufacturers other than those specified will be accepted when, prior to the ordering or use thereof, it is proven to the satisfaction of the Owner's Representative and the Architect they are equal in design,

appearance, spare parts availability, strength, durability,  $\mathrm{GC}/\mathrm{10}$ 

usefulness, serviceability, operation cost, maintenance cost, and convenience for the purpose intended. Any general listings of approved manufacturers in any Contract Document shall be for informational purposes only and it shall be the Contractor's sole responsibility to ensure that any proposed "or equal" complies with the requirements of the Contract Documents.

3.12.2 The Contractor shall submit to Architect and Owner's Representative a written and full description of the proposed "or equal" including all supporting data, including technical information, catalog cuts, warranties, test results, installation instructions, operating procedures, and similar information demonstrating that the proposed "or equal" strictly complies with the Contract Documents. The Architect or Owner's Representative shall take appropriate action with respect to the submission of a proposed "or equal" item. If Contractor fails to submit proposed "or equals" as set forth herein, it shall waive any right to supply such items. The Contract Sum and Contract Time shall not be adjusted as a result of any failure by Contractor to submit proposed "or equals" as provided for herein. All documents submitted in connection with preparing an "or equal" shall be clearly and obviously marked as a proposed "or equal" submission.

**3.12.3** No approvals or action taken by the Architect or Owner's Representative shall relieve Contractor from its obligation to ensure that an "or equal" article, appliance, devise or material strictly complies with the requirements of the Contract Documents. Contractor shall not propose "or equal" items in connection with Shop Drawings or other Submittals, and Contractor acknowledges and agrees that no approvals or action taken by the Architect or Owner's Representative with respect to Shop Drawings or other Submittals shall constitute approval of any "or equal" item or relieve Contractor from its sole and exclusive responsibility. Any changes required in the details and dimensions indicated in the Contract Documents for the incorporation or installation of any "or equal" item supplied by the Contractor shall be properly made and approved by the Architect at the expense of the Contractor. No 'or equal' items will be permitted for components of or extensions to existing systems when, in the opinion of the Architect, the named manufacturer must be provided in order to ensure compatibility with the existing systems, including, but not limited to, mechanical systems, electrical systems, fire alarms, smoke detectors, etc. No action will be taken by the Architect with respect to proposed "or equal" items prior to receipt of bids, unless otherwise noted in the Special Conditions.

# 3.13 Shop Drawings, Product Data, Samples, and Coordination Drawings/BIM Models

**3.13.1** Shop Drawings are drawings, diagrams, schedules and other data specifically prepared for the Work by the Contractor or a Subcontractor, sub-subcontractor,

manufacturer, supplier or distributor to illustrate some portion of the Work.

**3.13.2** Product Data are illustrations, standard schedules, performance charts, instructions, brochures, diagrams and other information furnished by the Contractor to illustrate materials or equipment for some portion of the Work.

**3.13.3** Samples are physical samples which illustrate materials, equipment or workmanship and establish standards by which the Work will be judged.

3.13.4 Coordination Drawings are drawings for the integration of the Work, including work first shown in detail on shop drawings or product data. Coordination drawings show sequencing and relationship of separate units of work which must interface in a restricted manner to fit in the space provided, or function as indicated. Coordination Drawings are the responsibility of the contractor and are submitted for informational purposes. The Special Conditions will state whether coordination drawings are required. BIM models may be used for coordination in lieu of coordination drawings at the contractor's discretion, unless required in the Special Conditions. The final coordination drawings/BIM Model will not change the contract documents, unless approved by a fully executed change order describing the specific modifications that are being made to the contract documents.

**3.13.5** Shop Drawings, Coordination Drawings/BIM Models, Product Data, Samples and similar submittals (collectively referred to as "Submittals") are not Contract Documents. The purpose of their submittal is to demonstrate for those portions of the Work for which submittals are required the way the Contractor proposes to conform to the information given and the design concept expressed in the Contract Documents.

3.13.6 The Contractor shall schedule submittal of Shop Drawings and Product Data to the Architect so that no delays will result in delivery of materials and equipment, advising the Architect of priority for checking of Shop Drawings and Product Data, but a minimum of two weeks shall be provided for this purpose. Because time is of the essence in this contract, unless noted otherwise in the Special Conditions or Technical Specifications, all submittals, shop drawings and samples must be submitted as required to maintain the contractor's plan for proceeding, but must be submitted within 90 days of the Notice To Proceed. If Contractor believes that this milestone is unreasonable for any submittal. Contractor shall request an extension of this milestone, within 60 days of Notice To Proceed, for each submittal that cannot meet the milestone. The request shall contain a reasonable explanation as to why the 90 day milestone is unrealistic, and shall specify a date on which the submittal will be transmitted, for approval by the Owner's Representative. Failure of the Contractor to comply with this section may result in delays in the submittal approval process and/or charges for expediting approval, both of which will be the responsibility of the Contractor.

GC/11 08/2020 3.13.7 The Contractor, at its own expense, shall submit Samples required by the Contract Documents with reasonable promptness as to cause no delay in the Work or the activities of separate contractors and no later than twenty (20) days before materials are required to be ordered for scheduled delivery to the Work site. Samples shall be labeled to designate material or products represented, grade, place of origin, name of producer, name of Contractor and the name and number of the Owner's project. Quantities of Samples shall be twice the number required for testing so that Architect can return one set of Materials delivered before receipt of the Samples. Architect's approval may be rejected by Architect and in such event, Contractor shall immediately remove all such materials from the Work site. When requested by Architect or Owner's Representative, samples of finished masonry and field applied paints and finishes shall be located as directed and shall include sample panels built at the site of approximately twenty (20) square feet each.

**3.13.8** The Contractor shall perform no portion of the Work requiring submittal and review of Shop Drawings, Product Data, Samples or similar submittals until the respective submittal has been approved by the Architect. Such Work shall be in accordance with approved submittals.

**3.13.9** By approving and submitting Shop Drawings, Product Data, Samples and similar submittals, the Contractor represents such Submittals strictly comply with the requirements of the Contract Documents and that the Contractor has determined and verified field measurements and field construction criteria related thereto, that materials are fit for their intended use and that the fabrication, shipping, handling, storage, assembly and installation of all materials, systems and equipment are in accordance with best practices in the industry and are in strict compliance with any applicable requirements of the Contract Documents. Contractor shall also coordinate each Submittal with other Submittals.

**3.13.10** Contractor shall be responsible for the correctness and accuracy of the dimensions, measurements and other information contained in the Submittals.

**3.13.11** Each Submittal will bear a stamp or specific indication that the Submittal complies with the Contract Documents and Contractor has satisfied its obligations under the Contract Documents with respect to Contractor's review and approval of that Submittal. Each Submittal shall bear the signature of the representative of Contractor who approved the Submittal, together with the Contractor's name, Owner's name, number of the Project, and the item name and specification section number.

**3.13.12** The Contractor shall not be relieved of responsibility for deviations from requirements of the Contract Documents by the Architect's approval of Shop Drawings, Product Data, Samples or similar submittals.

The Contractor shall not be relieved of responsibility for errors or omissions in Shop Drawings, Product Data, Samples or similar submittals by the Architect's approval thereof. Specifically, but not by way of limitation, Contractor acknowledges that Architect's approval of Shop Drawings shall not relieve Contractor for responsibility for errors and omissions in the Shop Drawings since Contractor is responsible for the correctness of dimensions, details and the design of adequate connections and details contained in the Shop Drawings.

**3.13.13** The Contractor shall direct specific attention, in writing or on resubmitted Shop Drawings, Product Data, Samples or similar submittals, to revisions other than those requested by the Architect on previous Submittals.

**3.13.14** The Contractor represents and warrants that all Shop Drawings shall be prepared by persons and entities possessing expertise and experience in the trade for which the Shop Drawing is prepared and, if required by the Architect or applicable Laws, by a licensed engineer or other design professional.

## 3.14 Record Drawings

3.14.1 The Contractor shall maintain a set of Record Drawings on site in good condition and shall use colored pencils to mark up said set with "record information" in a legible manner to show: (1) bidding addendums, (2) executed change orders, (3) deviations from the Drawings made during construction; (4) details in the Work not previously shown; (5) changes to existing conditions or existing conditions found to differ from those shown on any existing drawings; (6) the actual installed position of equipment, piping, conduits, light switches, electric fixtures, circuiting, ducts, dampers, access panels, control valves, drains, openings, and stub-outs; and (7) such other information as either Owner or Architect may reasonably request. The prints for Record Drawing use will be a set of "blue line" prints provided by Architect to Contractor at the start of construction. Upon Substantial Completion of the Work, Contractor shall deliver all Record Drawings to Owner and Architect for approval. If not approved, Contractor shall make the revisions requested by Architect or Owner's Representative. Final payment and any retainage shall not be due and owing to Contractor until the final Record Drawings marked by Contractor as required above are delivered to Owner.

## 3.15 Operating Instructions and Service Manuals

**3.15.1** The Contractor shall submit four (4) volumes of operating instructions and service manuals to the Architect before completing 50% of the adjusted contract amount. Payments beyond 50% of the adjusted contract amount may be withheld until all operating instructions and service manuals are received. The operating instructions and service manuals shall contain:

.1 Start-up and Shutdown Procedures: Provide a step-by-step write up of all major equipment. When manufacturer's printed start-up, trouble shooting and shut-down procedures are available, they may be

incorporated into the operating manual for reference.

- .2 Operating Instructions: Written operating instructions shall be included for the efficient and safe operation of all equipment.
- .3 Equipment List: List of all major equipment as installed shall include model number, capacities, flow rate, and name-plate data.
- .4 Service Instructions: The Contractor shall be required to provide the following information for all pieces of equipment.
  - (a) Recommended spare parts including catalog number and name of local suppliers or factory representative.
- (b) Belt sizes, types, and lengths.
  - (c) Wiring diagrams.
- .5 Manufacturer's Certificate of Warranty: Manufacturer's certificates of warranty shall be obtained for all major equipment. Warranty shall be obtained for at least one year from the date of Substantial Completion. Where longer period is required by the Contract Documents, the longer period shall govern.
- .6 Parts catalogs: For each piece of equipment furnished, a parts catalog or similar document shall be provided which identifies the components by number for replacement ordering.

#### 3.15.2 Submission

- .1 Manuals shall be bound into volumes of standard 8 1/2" x 11" hard binders. Large drawings too bulky to be folded into 8 1/2" x 11" shall be separately bound or folded and in brown envelopes, cross-referenced and indexed with the manuals.
- .2 The manuals shall identify the Owner's project name, project number, and include the name and address of the Contractor and major Subcontractors of any tier who were involved with the activity described in that particular manual.

## 3.16 Taxes

**3.16.1** The Contractor shall pay all applicable sales, consumer, use, and similar taxes for the Work which are legally enacted when the bids are received, whether or not yet effective or scheduled to go into effect. However, certain purchases by the Contractor of materials incorporated in or consumed in the Work are exempt from certain sales tax pursuant to RSMo § 144.062. The Contractor shall be issued a Project Tax Exemption Certificate for this Work to obtain the benefits of RSMo § 144.062.

**3.16.2** The Contractor shall furnish this certificate to all subcontractors, and any person or entity purchasing materials for the Work shall present such certificate to all material suppliers as authorization to purchase, on behalf

of the Owner, all tangible personal property and materials to be incorporated into or consumed in the Work and no other on a tax-exempt basis. Such suppliers shall provide to the purchasing party invoices bearing the name of the exempt entity and the project identification number. Nothing in this section shall be deemed to exempt from any sales or similar tax the purchase of any construction machinery, equipment or tools used in construction, repairing or remodeling facilities for the Owner. All invoices for all personal property and materials purchased under a Project Tax Exemption Certificate shall be retained by the Contractor for a period of five years and shall be subject to audit by the Director of Revenue.

**3.16.3** Any excess resalable tangible personal property or materials which were purchased for the project under this Project Tax Exemption Certificate but which were not incorporated into or consumed in the Work shall either be returned to the supplier for credit or the appropriate sales or use tax on such excess property or materials shall be reported on a return and paid by such purchasing party not later than the due date of the purchasing party's Missouri sales or use tax return following the month in which it was determined that the materials were not used in the Work.

**3.16.4** If it is determined that sales tax is owed by the Contractor on property and materials due to the failure of the Owner to revise the certificate expiration date to cover the applicable date of purchase, Owner shall be liable for the tax owed.

**3.16.5** The Owner shall not be responsible for any tax liability due to Contractor's neglect to make timely orders, payments, etc. or Contractor's misuse of the Project Tax Exemption Certificate. Contractor represents that the Project Tax Exemption Certificate shall be used in accordance with RSMo § 144.062 and the terms of the Project Tax Exemption Certificate. Contractor shall indemnify the Owner for any loss or expense, including but not limited to, reasonable attorneys' fees, arising out of Contractor's use of the Project Tax Exemption Certificate.

## 3.17 Contractor's Construction Schedules

**3.17.1** The Contractor, within fifteen (15) days after the issuance of the Notice to Proceed, shall prepare and submit for the Owner's and Architect's information Contractor's construction schedule for the Work and shall set forth interim dates for completion of various components of the Work and Work Milestone Dates as defined herein. The schedule shall not exceed time limits current under the Contract Documents, shall be revised on a monthly basis or as requested by the Owner's Representative as required by the conditions of the Work, and shall provide for expeditious and practicable execution of the Work. The Contractor shall conform to the most recent schedule.

**3.17.2** The construction schedule shall be in a detailed format satisfactory to the Owner's Representative and the Architect and in accordance with the detailed schedule

requirements set forth in this document and the Special Conditions. If the Owner's Representative or Architect has a reasonable objection to the schedule submitted by Contractor, the construction schedule shall be promptly revised by the Contractor. The Contractor shall monitor the progress of the Work for conformance with the requirements of the construction schedule and shall promptly advise the Owner of any delays or potential delays.

**3.17.3** As time is of the essence to this contract, the University expects that the Contractor will take all necessary steps to insure that the project construction schedule shall be prepared in accordance with the specific requirements of the Special Conditions to this contract. At a minimum, contractor shall comply with the following:

- .1 The schedule shall be prepared using Primavera P3, Oracle P6, Microsoft Project or other software acceptable to the Owner's Representative.
- .2 The schedule shall be prepared and maintained in CPM format, in accordance with Construction CPM Scheduling, published by the Associated General Contractors of American (AGC).
- .3 Prior to submittal to the Owner's Representative for review, Contractor shall obtain full buy-in to the schedule from all major subcontractors, in writing if so requested by Owner's Representative.
- .4 Schedule shall be updated, in accordance with Construction CPM Scheduling, published by the AGC, on a monthly basis at minimum, prior to, and submitted with, the monthly pay application or as requested by the Owner's Representative.
- .5 Along with the update the Contractor shall submit a narrative report addressing all changes, delays and impacts, including weather to the schedule during the last month, and explain how the end date has been impacted by same.
- .6 The submission of the updated certifies that all delays and impacts that have occurred on or to the project during the previous month have been factored into the update and are fully integrated into the schedule and the projected completion date.

Failure to comply with any of these requirements will be considered a material breach of this contract. See Special Conditions for detailed scheduling requirements.

**3.17.4** In the event the Owner's Representative or Architect determines that the performance of the Work, as of a Milestone Date, has not progressed or reached the level of completion required by the Contract Documents, the Owner shall have the right to order the Contractor to take corrective measures necessary to expedite the progress of construction, including, without limitation, (1) working additional shifts or overtime, (2) supplying additional manpower, equipment, facilities, (3) expediting delivery of

materials, and (4) other similar measures (hereinafter referred to collectively as Extraordinary Measures). Such Extraordinary Measures shall continue until the progress of the Work complies with the stage of completion required by the The Owner's right to require Contract Documents. Extraordinary Measures is solely for the purpose of ensuring the Contractor's compliance with the construction schedule. The Contractor shall not be entitled to an adjustment in the Contract Sum concerning Extraordinary Measures required by the Owner under or pursuant to this Paragraph 3.17.3. The Owner may exercise the rights furnished the Owner under or pursuant to this Paragraph 3.17.3 as frequently as the Owner deems necessary to ensure that the Contractor's performance of the Work will comply with any Milestone Date or completion date set forth in the Contract Documents.

## ARTICLE 4 ADMINISTRATION OF THE CONTRACT

## 4.1 Rights of the Owner

**4.1.1** The Owner's Representative will administer the Construction Contract. The Architect will assist the Owner's Representative with the administration of the Contract as indicated in these Contract Documents.

**4.1.2** If, in the judgment of the Owner's Representative, it becomes necessary to accelerate the work, the Contractor, when directed by the Owner's Representative in writing, shall cease work at any point and transfer its workers to such point or points and execute such portions of the work as may be required to enable others to hasten and properly engage and carry out the work, all as directed by the Owner's Representative. The additional cost of accelerating the work, if any, will be borne by the Owner, unless the Contractor's work progress is behind schedule as shown on the most recent progress schedule.

**4.1.3** If the Contractor refuses, for any reason, to proceed with what the Owner believes to be contract work, the Owner may issue a Construction Directive, directing the Contractor to proceed. Contractor shall be obligated to promptly proceed with this work. If Contractor feels that it is entitled to additional compensation for this work, it may file a claim for additional compensation and/or time, in accordance with 4.4 of this document.

**4.1.4** The Owner's Representative, may, by written notice, require a Contractor to remove from involvement with the Work, any of Contractor's personnel or the personnel of its Subcontractors of any tier whom the Owner's Representative may deem abusive, incompetent, careless, or a hindrance to proper and timely execution of the Work. The Contractor shall comply with such notice promptly, but without detriment to the Work or its progress.

**4.1.5** The Owner's Representative will schedule Work status meetings that shall be attended by representatives of the Contractor and appropriate Subcontractors of any tier.

Material suppliers shall attend status meetings if required by the Owner's Representative. These meetings shall include preconstruction meetings.

**4.1.6** The Owner does not allow smoking on University property.

## 4.2 Rights of the Architect

**4.2.1** The Architect will interpret requirements of the Contract Documents with respect to the quality, quantity and other technical requirements of the Work itself within a reasonable time after written request of the Contractor. Contractor shall provide Owner's Representative a copy of such written request.

# 4.3 Review of the Work

**4.3.1** The Architect and the Owner's Representative shall, at all times, have access to the Work; and the Contractor shall provide proper and safe facilities for such access.

**4.3.2** The Owner's Representative shall have authority to reject Work that does not strictly comply with the requirements of the Contract Documents. Whenever the Owner's Representative considers it necessary or advisable for implementation of the intent of the Contract Documents, Owner's Representative shall have the authority to require additional inspection or testing of the Work, whether or not such Work is fabricated, installed or completed.

**4.3.3** The fact that the Architect or the Owner's Representative observed, or failed to observe, faulty Work, or Work done which is not in accordance with the Contract Documents, regardless of whether or not the Owner has released final payment, shall not relieve the Contractor from responsibility for all damages and additional costs of the Owner as a result of defective or faulty Work.

# 4.4 Claims

**4.4.1** A Claim is a demand or assertion by Contractor seeking, as a matter of right, adjustment or interpretation of Contract terms, payment of money, extension of time or any other relief with respect to the terms of the Contract. The term "Claim(s)" also includes demands and assertions of Contractor arising out of or relating to the Contract Documents, including Claims based upon breach of contract, mistake, misrepresentation, or other cause for Contract Modification or recision. Claims must be made by written notice. Contractor shall have the responsibility to substantiate Claims.

**4.4.2** Claims by Contractor must be made promptly, and no later than within fourteen (14) days after occurrence of the event giving rise to such Claim. Claims must be made by written notice. Such notice shall include a detailed statement setting forth all reasons for the Claim and the amount of additional money and additional time

claimed by Contractor. The notice of Claims shall also strictly comply with all other provisions of the Contract Documents. Contractor shall not be entitled to rely upon any grounds or basis for additional money on additional time not specifically set forth in the notice of Claim. All Claims not made in the manner provided herein shall be deemed waived and of no effect. Contractor shall furnish the Owner and Architect such timely written notice of any Claim provided for herein, including, without limitation, those in connection with alleged concealed or unknown conditions, and shall cooperate with the Owner and Architect in any effort to mitigate the alleged or potential damages, delay or other adverse consequences arising out of the condition which is the cause of such a Claim.

**4.4.3** Pending final resolution of a Claim, the Contractor shall proceed diligently with performance of the Contract and the Owner shall continue to make payments that are not in dispute in accordance with the Contract Documents.

## 4.5 Claims for Concealed or Unknown Conditions

4.5.1 If conditions are encountered at the site which are (1) subsurface or otherwise concealed physical conditions which differ materially from those indicated in the Contract Documents, or (2) unknown physical conditions of an unusual nature, which differ materially from those ordinarily found to exist and generally recognized as inherent in construction activities of the character provided for in the Contract Documents, then notice by the Contractor shall be given to the Owner's Representative promptly before conditions are disturbed, and in no event later than three (3) days after first observance of the conditions. The Owner's Representative will promptly investigate such conditions. If such conditions differ materially, as provided for above and cause an increase or decrease in the Contractor's cost, or time, required for performance of the Work, an equitable adjustment in the Contract sum or Contract Time, or both, shall be made, subject to the provisions and restrictions set for herein. If the Owner's Representative determines that the conditions at the site are not materially different from those indicated in the Contract Documents, and that no change in the terms of the Contract is justified, the Owner's Representative will so notify the Contractor in writing. If the Contractor disputes the finding of the Owner's Representative that no change in the terms of the Contract terms is justified, Contractor shall proceed with the Work, taking whatever steps are necessary to overcome or correct such conditions so that Contractor can proceed in a timely manner. The Contractor may have the right to file a Claim in accordance with the Contract Documents.

**4.5.2** It is expressly agreed that no adjustment in the Contract Time or Contract Sum shall be permitted, however, in connection with a concealed or unknown condition which does not differ materially from those conditions disclosed or which reasonably should have been disclosed by the Contractor's (1) prior inspections, tests, reviews and preconstruction investigations for the Project, or (2) inspections, tests, reviews and preconstruction inspections

which the Contractor had the opportunity to make or should have performed in connection with the Project.

## 4.6 Claim for Additional Cost

**4.6.1** If the Contractor makes a Claim for an increase in the Contract Sum, written notice as provided herein shall be given before proceeding to execute the Work. In addition to all other requirements for notice of a Claim, said notice shall detail and itemize the amount of all Claims and shall contain sufficient data to permit evaluation of same by Owner.

# 4.7 Claims for Additional Time

**4.7.1** If the Contractor makes a Claim for an increase in the Contract Time, written notice as provided herein shall be given. In addition to other requirements for notice of a Claim, Contractor shall include an estimate of the probable effect of delay upon the progress of the Work, utilizing a CPM Time Impact Schedule Analysis, (TIA) as defined in the AGC Scheduling Manual. In the case of a continuing delay, only one Claim is necessary.

.1 Time extensions will be considered for excusable delays only. That is, delays that are beyond the control and/or contractual responsibility of the contractor.

4.7.2 If weather days are the basis for a Claim for additional time, such Claim shall be documented by the Contractor by data acceptable to the Owner's Representative substantiating that weather conditions for the period of time in question, had an adverse effect on the critical path of the scheduled construction. Weather days shall be defined as days on which critical path work cannot proceed due to weather conditions (including but not limited to rain, snow, etc.), in excess of the number of days shown on the Anticipated Weather Day schedule in the Special Conditions. To be considered a weather day, at least four hours must be lost due to the weather conditions on a critical path scope item for that day.; Weather days and Anticipated weather days listed in the Special Conditions shall only apply to Monday through A weather day claim cannot be made for Friday. Saturdays, Sundays, New Year's Day, Martin Luther King Day, Memorial Day, Independence Day, Labor Day, Thanksgiving Day, the day after Thanksgiving Day and Christmas Day, unless that specific day was approved in writing for work by the Owner's Representative.

- .1 The Contractor must have fulfilled its contract obligations with respect to temporary facilities and protection of its work; and worker protection for hot and cold weather per OSHA guidelines.
- .2 If the contract obligations have been satisfied, the Owner will review requests for noncompensable time extensions for critical path activities as follows:
  - **.2.1** If the Contractor cannot work on a critical path activity due to adverse weather, after implementing all reasonable temporary weather

protection, the Contractor will so notify the Owner's Representative. Each week, the Contractor will notify the Owner's Representative of the number of adverse weather days that it believes it has experienced in the previous week. As provided in the contract, until such time as the weather days acknowledged by the Owner's Representative exceed the number of days of adverse weather contemplated in the Special Conditions, no request for extension of the contract completion time will be considered.

.2.2 If the Contractor has accumulated in excess of the number of adverse weather days contemplated in the Special Conditions due to the stoppage of work on critical path activities due to adverse weather, the Owner will consider a time extension request from the Contractor that is submitted in accordance with the contract requirements. The Owner will provide a change order extending the time for completion or contract direct an acceleration of the work in accordance with the contract terms and conditions to recover the time lost due to adverse weather in excess of the number of adverse weather working days contemplated in the Special Conditions.

**4.7.3** A Force Majeure event or circumstance shall not be the basis of a claim by the Contractor seeking an adjustment in the Contract amount for costs or expenses of any type. With the exception of weather delays which are administered under this Article 4, and not withstanding other requirements of the Contract, all Force Majeure events resulting in a delay to the critical path of the project shall be administered as provided in Article 8.

**4.7.4** The Owner will consider and evaluate requests for time extensions due to changes or other events beyond the control of the Contractor on a monthly basis only, with the submission of the Contractor's updated schedule, in conjunction with the monthly application for payment.

# 4.8 Resolution of Claims and Disputes

**4.8.1** The Owner's Representative will review Claims and take one or more of the following preliminary actions within ten days of receipt of a Claim: (1) request additional supporting data from the Contractor, (2) reject the Claim in whole or in part, (3) approve the Claim, or (4) suggest a compromise.

**4.8.2** If a Claim has not been resolved, the Contractor shall, within ten days after the Owner's Representative's preliminary response, take one or more of the following actions: (1) submit additional supporting data requested, (2)

modify the initial Claim, or (3) notify the Owner's Representative that the initial Claim stands.

**4.8.3** If a Claim has not been resolved after consideration of the foregoing and of further information presented by the Contractor, the Contractor has the right to seek administrative review as set forth in Section 4.9. However, Owner's Representative's decisions on matters relating to aesthetics will be final.

## 4.9 Administrative Review

**4.9.1** Claims not resolved pursuant to the procedures set forth in the Contract Documents except with respect to Owner's Representative's decision on matters relating to aesthetic effect, and except for claims which have been waived by the making or acceptance of final payment, or the Contractor's acceptance of payments in full for changes in work may be submitted to administrative review as provided in this section. All requests for administrative review shall be made in writing.

4.9.2 Upon written request from the Contractor, the Owner's Review Administrator authorized by the Campus Contracting Officer will convene a review meeting between the Contractor and Owner's Representative's within fifteen (15) days of receipt of such written request. The Contractor and Owner's Representative will be allowed to present written documentation with respect to the claim(s) before or during the meeting. The Contractor and Owner's Representative will be allowed to present the testimony of any knowledgeable person regarding the claim at the review meeting. The Owner's Review Administrator will issue a written summary of the review meeting and decision to resolve the Claim within fifteen (15) days. If the Contractor is in agreement with the decision the Contractor shall notify the Owner's Review Administrator in writing within five (5) days, and appropriate documentation will be signed by the parties to resolve the Claim.

4.9.3 If the Contractor is not in agreement with the proposal of the Owner's Review Administrator as to the resolution of the claim, the Contractor may file a written appeal with the UM System Contracting Officer, [in care of the Director of Facilities Planning and Development, University of Missouri, 109 Old Alumni Centers, University of Missouri, Columbia, Missouri 65211] within fifteen (15) days after receipt of the Owner's Review Administrator's proposal. The UM System Contracting Officer will call a meeting of the Contractor, the Owner's Representative, and the Owner's Review Administrator by written notice, within thirty (30) days after receipt of the Contractor's written appeal. The Owner's Review Administrator shall provide the UM System Contracting Officer with a copy of the written decision and summary of the review meeting, the Contractor's corrections or comments regarding the summary of the review meeting, and any written documentation presented by the Contractor and the Owner's Representative at the initial review meeting. The parties may present further documentation and/or present the testimony of any knowledgeable person regarding the claim at the meeting called by the UM System Contracting Officer.

4.9.4 The UM System Contracting Officer will issue a written decision to resolve the claim within fifteen (15) days after the meeting. If the Contractor is in agreement with the UM System Contracting Officer's proposal, the Contractor shall notify the UM System Contracting Officer in writing within five (5) days, and the Contractor and the Owner shall sign appropriate documents. The issuance of the UM System Contracting Officer's written proposal shall conclude the administrative review process even if the Contractor is not in agreement. However, proposals and any opinions expressed in such proposals issued under this section will not be binding on the Contractor nor will the decisions or any opinions expressed be admissible in any legal actions arising from the Claim and will not be deemed to remove any right or remedy of the Contractor as may otherwise exist by virtue of Contract Documents or law. Contractor and Owner agree that the Missouri Circuit Court for the County where the Work is located shall have exclusive jurisdiction to determine all issues between them. Contractor agrees not to file any complaint, petition, lawsuit or legal proceeding against Owner except with such Missouri Circuit Court.

### ARTICLE 5 SUBCONTRACTORS

## 5.1 Award of Subcontracts

**5.1.1** Pursuant to Article 9, the Contractor shall furnish the Owner and the Architect, in writing, with the name, and trade for each Subcontractor and the names of all persons or entities proposed as manufacturers of products, materials and equipment identified in the Contract Documents and where applicable, the name of the installing contractor. The Owner's Representative will reply to the Contractor in writing if the Owner has reasonable objection to any such proposed person or entity. The Contractor shall not contract with a proposed person or entity to whom the Owner has made reasonable and timely objection.

**5.1.2** The Contractor may request to change a subcontractor. Any such request shall be made in writing to the Owner's Representative. The Contractor shall not change a Subcontractor, person, or entity previously disclosed if the Owner makes reasonable objection to such change.

**5.1.3** The Contractor shall be responsible to the Owner for acts, defaults, and omissions of its Subcontractors of any tier.

#### 5.2 Subcontractual Relations

**5.2.1** By appropriate agreement, written where legally required for validity, the Contractor shall require each Subcontractor of any tier, to the extent of the Work to be performed by the Subcontractor of any tier, to be bound to

GC/17

the Contractor by terms of the Contract Documents and to assume toward the Contractor all the obligations and responsibilities which the Contractor, by these Documents, assumes toward the Owner and the Architect. Each subcontract agreement of any tier shall preserve and protect the rights of the Owner and the Architect under the Contract Documents with respect to the Work to be performed by the Subcontractor of any tier so that subcontracting thereof will not prejudice such rights and shall allow to the Subcontractor of any tier, unless specifically provided otherwise in the subcontract agreement, the benefit of all rights, remedies, and redress against the Contractor that the Contractor, by the Contract Documents, has against the Owner. Where appropriate, the Contractor shall require each Subcontractor to enter into similar agreements with its sub-subcontractors. The Contractor shall make available to each proposed Subcontractor of any tier, prior to the execution of the subcontract agreement, copies of the Contract Documents to which the Subcontractor of any tier shall be bound Subcontractors of any tier shall similarly make copies of applicable portions of such documents available to their respective proposed Subcontractors of any tier.

All agreements between the Contractor and a 5.2.2 Subcontractor or supplier shall contain provisions whereby Subcontractor or supplier waives all rights against the Owner, contractor, Owner's representative, Architect and all other additional insureds for all losses and damages caused by, arising out of, or resulting from any of the perils covered by property or builders risk insurance coverage required of the Contractor in the Contract Documents. If Contractor fails to include said provisions in all subcontracts, Contractor shall indemnify, defend and hold all the above entities harmless in the event of any legal action by Subcontractor or supplier. If insureds on any such policies require separate waiver forms to be signed by any Subcontractors of any tier or suppliers, Contractor shall obtain the same.

#### 5.3 Contingent Assignment of Subcontract

**5.3.1** No assignment by the Contractor of any amount or any part of the Contract or of the funds to be received thereunder will be recognized unless such assignment has had the written approval of the Owner, and the surety has been given due notice of such assignment and has furnished written consent hereto. In addition to the usual recitals in assignment Contracts, the following language must be set forth: "it is agreed that the funds to be paid to the assignee under this assignment are subject to performance by the Contractor of the contract and to claims and to liens for services rendered or materials supplied for the performance of the Work called for in said contract in favor of all persons, firms or corporations rendering such services or supplying such materials.

#### ARTICLE 6 SEPARATE CONTRACTS AND COOPERATION

**6.1** The Owner reserves the right to let other contracts in connection with the Work.

**6.2** It shall be the duty of each Contractor to whom Work may be awarded, as well as all Subcontractors of any tier employed by them, to communicate immediately with each other in order to schedule Work, locate storage facilities, etc., in a manner that will permit all Contractors to work in harmony in order that Work may be completed in the manner and within the time specified in the Contract Documents.

**6.3** No Contractor shall delay another Contractor by neglecting to perform his work at the proper time. Each Contractor shall be required to coordinate his work with other Contractors to afford others reasonable opportunity for execution of their work. Any costs caused by defective or ill-timed work, including actual damages and liquidated damages for delay, if applicable, shall be borne by the Contractor responsible therefor.

**6.4** Each Contractor shall be responsible for damage to Owner's or other Contractor's property done by him or persons in his employ, through his or their fault or negligence. If any Contractor shall cause damage to any other Contractor, the Contractor causing such damage shall upon notice of any claim, settle with such Contractor.

**6.5** The Contractor shall not claim from the Owner money damages or extra compensation under this Contract when delayed in initiating or completing his performance hereunder, when the delay is caused by labor disputes, acts of God, or the failure of any other Contractor to complete his performance under any Contract with the Owner, where any such cause is beyond the Owner's reasonable control.

**6.6** Progress schedule of the Contractor for the Work shall be submitted to other Contractors as necessary to permit coordinating their progress schedules.

**6.7** If Contractors or Subcontractors of any tier refuse to cooperate with the instructions and reasonable requests of other contractors performing work for the Owner under separate contract, in the overall coordinating of the Work, the Owner's Representative may take such appropriate action and issue such instructions as in his judgement may be required to avoid unnecessary and unwarranted delay.

#### ARTICLE 7 CHANGES IN THE WORK

## 7.1 CHANGE ORDERS

7.1.1 A change order is a written instrument prepared by the Owner and signed by the Owner and Contractor formalizing their agreement on the following:

- .1 a change in the Work
- .2 the amount of an adjustment, if any, in the Contract amount

GC/18 08/2020 .3 an adjustment, if any, in the Contract time

**7.1.2** The Owner may at any time, order additions, deletions, or revisions in the Work by a Change Order or a Construction Change Directive. Such Change Order or Construction Change Directive shall not invalidate the Contract and requires no notice to the surety. Upon receipt of any such document, or written authorization from the Owner's Representative directing the Contractor to proceed pending receipt of the document, Contractor shall promptly proceed with the Work involved in accordance with the terms set forth therein.

**7.1.3** Until such time as the change order is formalized and signed by both the Owner and the Contractor it shall be considered a Change Order Request.

**7.1.4** The amount of adjustment in the contract price for authorized Change Orders will be agreed upon before such Change Orders becomes effective and will be determined as follows:

- .1 By a lump sum proposal from the Contractor and the Subcontractors of any tier, including overhead and profit.
- .2 By a time and material basis with or without a specified maximum. The Contractor shall submit to the Owner's Representative itemized time and material sheets depicting labor, materials, equipment utilized in completing the Work on a daily basis for the Owner's Representative approval. If this pricing option is utilized, the Contractor may be required to submit weekly reports summarizing costs to date on time and material change orders not yet finalized.
- .3 By unit prices contained in the Contractor's original bid and incorporated in the Construction Contract or subsequently agreed upon. Such unit prices contained in the Contractor's original proposal are understood to include the Contractor's overhead and profit. If unit prices are stated in the Contract Documents or subsequently agreed upon, and if quantities originally contemplated are so changed in a proposed Change Order that application of such unit prices to quantities of the Work proposed will cause substantial inequity to the Owner or to the Contractor, the applicable unit prices shall be equitably adjusted.

**7.1.5** The Contractor shall submit all fully documented change order requests with corresponding back-up documentation within the time requested by the Owner but no later than fourteen (14) working days following 1.) the Owner's request for change order pricing in the case of a lump sum; or 2.) the completion of unit price or time and material work.

**7.1.6** The Contractor shall submit change order requests in sufficient detail to allow evaluation by the Owner. Such requests shall be fully itemized by units of labor, material and equipment and overhead and profit. Such breakdowns shall be itemized as follows:

- The Contractor's proposal shall include .1 Labor: breakdowns by labor, by trade, indicating number of hours and cost per hour for each Subcontractor as Such breakdowns shall only include applicable. employees in the direct employ of Contractor or Subcontractors in the performance of the Work. Such employees shall only include laborers at the site, mechanics, craftsmen and foremen. Payroll cost shall include base rate salaries and wages plus the cost of fringe benefits required by agreement or custom and social security contributions, unemployment, payroll taxes and workers' or workmen's compensation insurance and other customary and legally required taxes paid by the Contractor or Subcontractors. Any item or expense outside of these categories is not allowed. The expense of performing Work after regular working hours, on Saturdays, Sundays or legal holidays shall not be included in the above, unless approved in writing and in advance by Owner.
- .2 Material, supplies, consumables and equipment to be incorporated into the Work at actual invoice cost to the Contractor or Subcontractors; breakdowns showing all material, installed equipment and consumables fully itemized with number of units installed and cost per unit extended. Any singular item or items in aggregate greater than one thousand dollars (\$1,000) in cost shall be supported with supplier invoices at the request of the Owner's Representative. Normal hand tools are not compensable.
- .3 Equipment: Breakdown for required equipment shall itemize (at a minimum) delivery / pick-up charge, hourly rate and hours used. Operator hours and rate shall not be included in the equipment breakdown. Contractor must use the most cost effective equipment available in the area and should not exceed the rates listed in the Rental Rate Blue Book for Construction Equipment (Blue Book). Contractor shall submit documentation for the Blue Book to support the rate being requested.

# 7.2 Construction Change Directive

**7.2.1** A construction change directive is a written order prepared and signed by the Owner, issued with supporting documents prepared by the Architect (if applicable), directing a change in the Work prior to agreement on adjustment of the Contract amount or Contract time, or both. A Construction Change Directive shall be used in the absence of complete agreement between the Owner and Contractor on the terms of a change order. If the Construction Change Directive allows an adjustment of the contract amount or time, such adjustment amount shall be based on one of the following methods:

.1 A lump sum agreement, properly itemized and supported by substantiating documents of sufficient detail to allow evaluation.

- .2 By unit prices contained in the Contractor's original proposal and incorporated in the Construction Contract or subsequently agreed upon.
- .3 A method agreed to by both the Owner and the contractor with a mutually agreeable fee for overhead and profit.
- .4 In the absence of an agreement between the Owner and the Contractor on the method of establishing an adjustment of the contract amount, the Owner, with the assistance of the architect, shall determine the adjustment amount on the basis of expenditures by the Contractor for labor, materials, equipment and other costs consistent with other provisions of the Contract. The contractor shall keep and submit to the Owner an itemized accounting of all cost components, either expended or saved, while performing the Work covered under the Construction Change Directive.

**7.2.2** Upon receipt of a Construction Change Directive, Contractor shall promptly proceed with the change in the Work involved and advise Owner of Contractor's agreement or disagreement with the method, if any, provided in the Construction Change Directive for determining the proposed adjustment in the Contract Sum, Contract Time or both.

**7.2.3** A Construction Change Directive signed by Contractor indicates the agreement of the Contractor therewith, including adjustment in Contract Sum and Contract Time or the method for determining them. Such agreement shall be effective immediately and shall be recorded as a Change Order.

## 7.3 Overhead and Profit

**7.3.1** Overhead and Profit on Change Orders shall be applied as follows:

- The overhead and profit charged by the .1 Subcontractors shall Contractor and he considered to include, but not limited to, job site office and clerical expense, normal hand tools, incidental job supervision, field payroll supervision, costs and other compensation for project manager, officers, executives, principals, general managers, estimators, attorneys, auditors, accountants, purchasing and contracting agents, expediters, time-keepers, and other personnel employed whether at the site or in principal or a branch for general superintendent office and administration of the Work.
- .2 The percentages for overhead and profit charged on Change Orders shall be negotiated and may vary according to the nature, extent, and complexity of the Work involved but in no case shall exceed the following:

- 15% To the Contractor or the Subcontractor of any tier for Work performed with their respective forces or materials purchased
- 5% To the Contractor on Work performed by other than his forces
- 5% To first tier Subcontractor on Work performed by his Subcontractor
- .3 The Contractor will be allowed to add 2% for the cost of bonding and insurance to their cost of work. This 2% shall be allowed on the total cost of the added work, including overhead and profit.
- .4 Not more than three mark-ups, not to exceed individual maximums shown above, shall be allowed regardless of the number of tier subcontractors. Overhead and profit shall be shown separately for each subcontractor of any tier and the Contractor.
- .5 On proposals covering both increases and decreases in the amount of the Contract, the application of overhead and profit shall be on the net change in direct cost for the Contractor or Subcontractor of any tier performing the Work.
- .6 The percentages for overhead and profit credit to the Owner on Change Orders that are strictly decreases in the quantity of work or materials shall be negotiated and may vary according to the nature, extent, and complexity of the Work involved, but shall not be less than the following:

Overhead and Profit

- 7.5% Credit to the Owner from the Contractor or Subcontractor of any tier for Work performed with their respective forces or materials purchased
- 2.5% Credit to the Owner from the Contractor on Work performed by other than his forces
- 2.5% Credit to the Owner from the first tier Subcontractor on Work performed by his Subcontractor of any tier

# 7.4 Extended General Conditions

**7.4.1** The Contractor acknowledges that the percentage mark-up allowed on change orders for overhead and profit cover the Contractor's cost of administering and executing the Work, inclusive of change orders that increase the contract time. Contractor further acknowledges that no compensation beyond the specified mark-up percentages for extended overhead shall be due or payable as a result of an increase in the Contract Time.

**7.4.2** The Owner may reimburse the Contractor for extended overhead if an extension of the Contract Time is granted by the Owner, in accordance with Article 4.7.1 and the Owner determines that the extension of the Contract Time creates an inequitable condition for the Contractor. If these conditions are determined by the Owner to exist the Contractor may be reimbursed by unit prices contained in the Contractor's original bid and incorporated in the Construction Contract or by unit prices subsequently agreed upon.

**7.4.3** If unit prices are subsequently agreed upon, the Contractor's compensation shall be limited as follows:

- .1 For the portion of the direct payroll cost of the Contractor's project manager expended in completing the Work and the direct payroll cost of other onsite administrative staff not included in Article 7.3.1. Direct payroll cost shall include base rate salaries and wages plus the cost of fringe benefits required by agreement or custom and social security contributions, unemployment, payroll taxes and workers' or workmen's compensation insurance and other customary and legally required taxes paid by the Contractor;
- .2 Cost of Contractor's temporary office, including temporary office utilities expense;
- .3 Cost of temporary utilities required in the performance of the work;
- .4 Profit not to exceed 5% of the total extended overhead direct costs;

**7.4.4** All costs not falling into one of these categories and costs of the Contractors staff not employed onsite are not allowed.

#### 7.5 Emergency Work

**7.5.1** If, during the course of the Work, the Owner has need to engage the Contractor in emergency work, whether related to the Work or not, the Contractor shall immediately proceed with the emergency work as directed by the Owner under the applicable provisions of the contract. In so doing, Contractor agrees that all provisions of the contract remain in full force and effect and the schedule for the Work is not impacted in any way unless explicitly agreed to in writing by the Owner.

#### ARTICLE 8 TIME

## 8.1 **Progress and Completion**

**8.1.1** Contractor acknowledges and agrees that time is of the essence of this Contract

**8.1.2** Contract Time is the period of time set forth in the Contract for Construction required for Substantial Completion and Final Completion of the entire Work or portions of the Work as defined in the Contract Documents. Time limits stated in the Contract Documents are of the essence of the Contract. The Contract Time may only be changed by a Change Order. By executing the Contract, the Contractor confirms that the Contract Time is a sufficient period for performing the Work in its entirety.

**8.1.3** The Contractor shall not knowingly, except by agreement or instruction of the Owner in writing, prematurely commence operations on the site or elsewhere prior to the effective date of insurance and

bonds required by Article 11 to be furnished by the Contractor.

**8.1.4** The Contractor shall proceed expeditiously and diligently with adequate forces and shall achieve Substantial Completion and Final Completion within the time specified in the Contract Documents.

## 8.2 Delay in Completion

**8.2.1** The Contractor shall be liable for all of the Owner's damages for delay in achieving Substantial Completion and/or Final Completion of the entire Work or portions of Work as set forth in the Contract Documents within the Contract Time unless liquidated damages are specifically provided for in the Contract Documents. If liquidated damages are specifically provided for in the Contract for Construction, Contractor shall be liable for such liquidated damages as set forth in Paragraph 8.3

All time limits stated in the Contract are of the 8.2.2 essence of the Contract. However, if the Contractor is delayed at any time in the progress of the Work by any act or neglect of the Owner or by the Owner's Representative, by changes ordered in the Work, Force Majeure including but not limited to war, armed conflict, riot, civil commotion or disorder, act of terrorism or sabotage; epidemic, pandemic, outbreaks of infectious disease or any other public health crisis, including quarantine or other employee restrictions, compliance with any law or governmental order, rule, regulation or direction, curfew restriction, act of God or natural disaster such as earthquake, volcanic activity, landslide, tidal wave, tsunami, flood, damage or destruction by lightning, drought; explosion, fire, destruction of machines, equipment, prolonged break-down of transport, telecommunication or electric current; general labor disturbance such as but not limited to boycott, strike and lock-out, occupation of factories and premises, or any other causes beyond the Contractor's reasonable control which the Owner's Representative determines may justify delay then, upon submission of the Time Impact Schedule Analysis (TIA) justifying the delay called out in Section 4.7 of these General Conditions, the Contract Time may be extended for a reasonable time to the extent such delay will prevent Contractor from achieving Substantial Completion and/or Final Completion within the Contract Time and if performance of the Work is not, was not or would not have been delayed by any other cause for which the Contractor is not entitled to an extension of the Contract Time under the Contract Documents. It shall be a condition precedent to any adjustment of the Contract Time that Contractor provide the Owner's Representative with written notice of the cause of delay within seven (7) days from the occurrence of the event or condition which caused the claimed delay. If a Force Majeure is approved by the Owner as the basis for a delay claim, an adjustment in the contract time to the extent the Force Majeure impacts the schedule is the only remedy. No increase in the contract sum for any reason shall be allowed due to a Force Majeure.

**8.2.3** The Contractor further acknowledges and agrees that adjustments in the Contract Time will be permitted for a delay only to the extent such delay (1) is not caused, or could not have been anticipated, by the Contractor, (2) could not be limited or avoided by the Contractor's timely notice to the Owner of the delay, (3) prevents Contractor from completing its Work by the Contract Time, and (4) is of a duration not less than one (1) day. Delays attributable to and within the control of a Subcontractor or supplier shall not justify an extension of the Contract Time.

Notwithstanding anything to the contrary in the 8.2.4 Contract Documents, except as otherwise noted in these General Conditions, an extension in the Contract Time, to the extent permitted under this Article, shall be the sole remedy of the Contractor for any (1) delay in the commencement, prosecution or completion of the Work, (2) hindrance or obstruction in the performance of the Work, (3) loss of productivity, or (4) other claims due to or caused by any events beyond the control of both the Owner and Contractor defined herein as Force Majeure. In no event shall the Contractor be entitled to any compensation or recovery of any damages or any portion of damages resulting from delays caused by or within the control of Contractor or by acts or omissions of Contractor or its Subcontractors of any tier or delays beyond the control of both Owner and Contractor. If the Contractor contends that delay, hindrance, obstruction or other adverse condition results from acts or omissions of the Owner, the Owner's Representative or the Architect, Contractor shall provide written notice to the Owner within seven (7) calendar days of the event giving rise to such claim. Contractor shall only be entitled to an adjustment in the Contract Sum to the extent that such acts or omissions continue after the Contractor's written notice to the Owner of such acts or omissions, but in no case shall Force Majeure be the basis of an increase in the Contract sum. The Owner's exercise of any of its rights or remedies under the Contract Documents (including, without limitation, ordering changes in the Work, or directing suspension, rescheduling or correction of the Work) regardless of the extent or frequency of the Owner's exercise of such rights or remedies, shall not be the basis of any Claim for an increase in the Contract Sum or Contract Time. In the event Contractor is entitled to an adjustment in the Contract Sum for any delay, hindrance, obstruction or other adverse condition caused by the acts or omissions of the Owner, the Owner's Representative or the Architect, Contractor shall only be entitled to its actual direct costs caused thereby and Contractor shall not be entitled to and waives any right to special, indirect, or consequential damages including loss of profits, loss of savings or revenues, loss of anticipated profits, labor inefficiencies, idle equipment, home office overhead, and similar type of damages.

**8.2.5** If the Contractor submits a progress report or any construction schedule indicating, or otherwise expressing an intention to achieve completion of the Work prior to any completion date required by the Contract Documents or

expiration of the Contract Time, no liability of the Owner to the Contractor for any failure of the Contractor to so complete the Work shall be created or implied. Further, the Contractor acknowledges and agrees that even if Contractor intends or is able to complete the Work prior to the Contract Time, it shall assert no Claim and the Owner shall not be liable to Contractor for any failure of the Contractor, regardless of the cause of the failure, to complete the Work prior to the Contract Time.

## 8.3 Liquidated Damages

**8.3.1** If Liquidated Damages are prescribed on the Bid Form and Special Conditions in the Contract Documents, the Owner may deduct from the Contract Sum and retain as Liquidated Damages, and not as penalty or forfeiture, the sum stipulated in the Contract Documents for each calendar day after the date specified for completion of the Work that the entire Work is not substantially complete and/or finally complete.

**8.3.2** The Owner's Representative shall establish the date of Substantial completion and the date of Final Completion of the Work which shall be conclusive and binding on the Owner and Contractor for the purpose of determining whether or not Liquidated Damages shall be assessed under terms hereof and the sum total amount due.

**8.3.3** Liquidated Damages or any matter related thereto shall not relieve the Contractor or his surety of any responsibility or obligation under this Contract.

#### ARTICLE 9 PAYMENTS AND COMPLETION

## 9.1 Commencement, Prosecution, and Completion

**9.1.1** The Contractor shall commence Work within five (5) days upon the date of a "Notice to Proceed" from the Owner or the date fixed in the Notice to Proceed. Contractor shall prosecute the Work with faithfulness and diligence, and the Contractor shall complete the Work within the Contract Time set forth in the Contract Documents.

**9.1.2** The Owner will prepare and forward three (3) copies of the Contract and Performance Bond to the bidder to whom the contract for the Work is awarded and such bidder shall return two (2) properly executed prescribed copies of the Contract and Bond to the Owner.

**9.1.3** The construction period, when specified in consecutive calendar days, shall begin when the Contractor receives notice requesting the instruments listed in below. Before the Owner will issue Notice to Proceed to permit the Contractor to begin Work, the Owner shall have received the following instruments, properly executed as described in the Contract Documents. The documents below shall have been received by the Owner within fifteen (15) days after receipt of request for documents:

.1 Contract .2 Bond (Se

Bond (See Article 11)

GC/22 08/2020

- .3 Insurance (See Article 11)
- .4 List of Subcontractors of any tier
- .5 Affirmative Action Plan (see Article 13.4)

**9.1.4** In the event Contractor fails to provide Owner such documents, Contractor may not enter upon the site of the Work until such documents are provided. The date the Contractor is required to commence and complete the Work shall not be affected by the Owner denying Contractor access to the site as a result of Contractor's failure to provide such documents and Contractor shall not be entitled to an adjustment of the Contract Time or Contract sum as a result of its failure to comply with the provisions of this Paragraph

**9.1.5** Contracts executed by partnerships shall be signed by all general partners of the partnership. Contracts signed by corporations shall be signed by the President or Vice President and the Secretary or Assistant Secretary. In case the Assistant Secretary or Vice President signs, it shall be so indicated by writing the word "Asst." or "Vice" in front of the words "Secretary" and "President". The corporate seal of the corporation shall be affixed. For all other types of entities, the Contractor and the person signing the Contract on behalf of Contractor represent and warrant that the person signing the Contract has the legal authority to bind Contractor to the Contract.

**9.1.6** Any successful bidder which is a corporation organized in a state other than Missouri or any bidder doing business in the State of Missouri under a fictitious name shall furnish, at no cost to the Owner, no later than the time at which the executed Contract for Construction, the Payment Bond, and the Performance Bond are returned, a properly certified copy of its current Certificate of Authority and License to do business in the State of Missouri. No contract will be executed by the Owner until such certificate is furnished by the bidder, unless there already is on file with the Owner a current certificate, in which event, no additional certificate will be required during the period of time for which such current certificate remains in effect.

**9.1.7** Within fifteen (15) calendar days of the issuance of a Notice to Proceed, the Contractor shall submit one (1) signed copy of the following instruments. No payment will be processed until all of these instruments are received and approved by the Owner's Representative.

- .1 Reproducible progress and payment schedule
- .2 Contractor's Schedule of Values
- .3 List of material suppliers
- .4 Itemized breakdown of all labor rates for each classification. Overhead and profit shall not be included. Payroll cost shall include base rate salaries and wages plus the cost of fringe benefits required by agreement or custom and social security contributions, unemployment, payroll taxes and workers' or workmen's compensation

insurance and other customary and legally required taxes paid by the Contractor or Subcontractors. Any item or expense outside of these categories is not allowed. The expense of performing Work after regular working hours, on Saturdays, Sundays or legal holidays shall not be included in the above, unless approved in writing and in advance by Owner.

.5 Itemized breakdown of anticipated equipment rates (breakout operator rate). Overhead and profit shall not be included. Breakdown for required equipment shall itemize (at a minimum) delivery/ pick-up charge, hourly rate and hours used. Operator hours and rate shall not be included in the equipment breakdown. Contractor must use the most cost effective equipment available in the area and should not exceed the rates listed in the Rental Rate Blue Book for Construction Equipment (Blue Book). Contractor shall submit documentation for the Blue Book to support the rate being requested.

**9.1.8** The Contractor shall be paid electronically using the Owner's web-based payment program with a direct electronic transfer from the Owner's account into the Contractor's account. The Contractor must submit the following information to the Owner's Representative:

- .1 Bank Transit Number for the Contractor's bank into which the electronic deposit will be made.
- .2 Bank Account Number for the Contractor's account into which the electronic deposit will be made.
- .3 Contractor's E-Mail address so that formal notification of the deposit by the Owner can be provided.

## 9.2 Contract Sum

**9.2.1** The Owner shall compensate Contractor for all Work described herein and in the Contract Documents the Contract Sum set forth in the Contract for Construction, subject to additions and deletions as provided hereunder.

#### 9.3 Schedule of Values

**9.3.1** Within fifteen (15) days after receipt of the Notice to Proceed, the Contractor shall submit to the Owner's Representative a schedule of values allocated to various portions of the Work, prepared in such form and supported by such data to substantiate its accuracy as the Owner's Representative may require. This schedule, unless objected to by the Owner's Representative, shall be used as a basis for reviewing the Contractor's Applications for Payment. The values set forth in such schedule may, at the Owner's option be used in any manner as fixing a basis for additions to or deletions from the Contract Sum.

**9.3.2** The progress and payment schedule of values shall show the following:

.1 Enough detail as necessary to adequately evaluate the actual percent complete of any line item on a

monthly basis, as determined by the Owner's Representative.

.2 Line items, when being performed by a subcontractor or material supplier, shall correlate directly back to the subcontract or purchase order amount if requested by the Owner's Representative.

## 9.4 Applications for Payment

**9.4.1** The Contractor shall submit monthly to the Owner's Representative and the Architect an itemized Application for Payment for operations completed in accordance with the Schedule of Values. Such application shall be supported by such data substantiating the Contractor's right to payment as the Owner's Representative or Architect may require, such as copies of requisitions from Subcontractors and material suppliers, and reflecting retainage as provided for herein.

**9.4.2** Such applications shall not include requests for payment of amounts the Contractor does not intend to pay to a Subcontractor or material supplier

**9.4.3** Progress payments shall be made on account of materials and equipment delivered to the site and incorporated in the Work. No payments will be made for materials and equipment stored at the Project site but not yet incorporated into the Work except as provided in Paragraph 9.4.4.

9.4.4 If approved in writing and in advance by Owner, progress payments may be made on account of materials and equipment delivered and suitably stored at the site for subsequent incorporation in the Work. Owner may in its sole discretion refuse to grant approval for payments for materials and equipment stored at the Project site but not yet incorporated in the Work. Any approval by Owner for payment for materials and equipment delivered and suitably stored at the site, or stored offsite as noted below, for subsequent incorporation in the Work shall be conditioned upon Contractor's demonstrating that such materials and equipment are adequately protected from weather, damage, vandalism and theft and that such materials and equipment have been inventoried and stored in accordance with procedures established by or approved by the Owner. Nothing in this clause shall imply or create any liability on the part of the Owner for the Contractor's inventory and storage procedures or for any loss or damage to material, equipment or supplies stored on the site, whether incorporated into the work or not. In the event any such loss or damage occurs, the Contractor remains solely responsible for all costs associated with replacement of the affected materials, supplies and equipment including labor and incidental costs, and shall have no claim against the Owner for such loss.

No allowance shall be made in the project pay requests for materials not delivered to the site of the work and incorporated into the work, except as noted below. For the purposes of this Article, Offsite is defined as any location not owned or leased by the Owner. Contractor shall submit a list of materials that they are requesting payment for offsite storage within 60 days of Notice Proceed.

- .1 Items considered to be major items of considerable magnitude, if suitably stored, may be allowed in project pay requests on the basis of ninety percent (90%) of invoices
- .2 Determination of acceptable "major items of considerable magnitude" and "suitably stored" shall be made by the Owner's Representative.
- .3 Aggregate quantities of materials not considered unique to this project will not be considered for offsite storage payment.
- .4 Contractor shall submit to the Owner's Representative a list of the material for which application for payment for offsite storage is anticipated no less than forty-five days prior to the submission of the applicable pay request. The list shall include a material description, applicable division, quantity and discounts offered to the Owner for early payment. Contractor shall also submit the location the material will be stored and the method of protection
- .5 The storage facility shall be subject to approval by the Owner's representative, shall be located within an acceptable distance of the project sites as established by the Owner's Representative and all materials for the Owner's project must be stored separately from all other items within the storage facility and shall be labeled and stored in the name of the Curators of the University of Missouri.
- .6 The Owner's representative shall be provided a minimum of two weeks tice to visit the storage facility and inspect the stored material prior to submission of the pay request.
- .7 Upon favorable inspection by the Owner's Representative, the Contractor shall, at the Owner's option, submit the appropriate UCC filing, transferring title of the material or equipment to The Curators of the University of Missouri.
- .8 An invoice provided by the supplier shall be included with the applicable pay request.
- .9 The contractor shall remain fully responsible for all items, until acceptance of the project by the Owner.
- 10. The contractor shall reimburse all costs incurred by the Owner in inspecting and verifying all material stored offsite, including mileage, airfare, meals, lodging and time, charged at a reasonable hourly rate.

**9.4.5** The Application for Payment shall constitute a representation by the Contractor to the Owner that the Work has progressed to the point indicated; the quality of the Work covered by the Application for Payment is in accordance with the Contract Documents; and the Contractor is entitled to payment in the amount requested.

**9.4.6** The Contractor will be reimbursed for ninety-five percent (95%) of the value of all labor furnished and

material installed and computed in the same manner, less all previous payments made. On projects where a bond is not required, the contractor will be reimbursed for ninety percent (90%) of the value of all labor furnished and material installed and computed in the same manner, less all previous payments made

## 9.5 Approval for Payment

**9.5.1** The Owner's Representative will, within fifteen (15) days after receipt of the Contractor's Application for Payment, either approve Contractor's Application for Payment for such amount as the Owner's Representative determines is properly due, or notify the Contractor of the Owner's Representative's reasons for withholding certification in whole or in part as provided in Section 9.6.

## 9.6 Decisions to Withhold Approval

9.6.1 The Owner's Representative may decide not to certify payment and may withhold approval in whole or in part, to the extent reasonably necessary to protect the Owner. If the Owner's Representative is unable to approve payment in the amount of the Application, the Owner's Representative will notify the Contractor as provided in If the Contractor and Owner's Paragraph 9.5.1. Representative cannot agree on a revised amount, the Owner's Representative will promptly issue approval for payment for the amount for which the Owner's Representative is able to determine is due Contractor. The Owner's Representative may also decide not to approve payment or, because of subsequently discovered evidence or subsequent observations, may nullify the whole or a part of approval for payment previously issued, to such extent as may be necessary in the Owner's Representative opinion to protect the Owner from loss because of:

- .1 defective Work not remedied or damage to completed Work;
- .2 failure to supply sufficient skilled workers or suitable materials;
- .3 third party claims filed or reasonable evidence indicating probable filing of such claims;
- .4 failure of the Contractor to make payments properly to Subcontractors or for labor, materials or equipment, Owner may, at its sole option issue joint checks to subcontractors who have presented evidence that it has not been paid in accordance with the Contract;
- .5 reasonable evidence that the Work cannot be completed for the unpaid balance of the Contract Sum;
- .6 damage to the Owner or another contractor;
- .7 reasonable evidence that the Work will not be completed within the Contract Time or an unsatisfactory rate of progress made by Contractor;
- .8 Contractor's failure to comply with applicable Laws;
- .9 Contractor's or Subcontractor's failure to comply with contract Prevailing Wage requirements; or

.10 Contractor's failure to carry out the Work in strict accordance with the Contract Documents.

**9.6.2** When the above reasons for withholding approval are removed, approval will be made for amounts previously withheld.

# 9.7 Progress Payments

**9.7.1** Based upon Applications for Payment submitted to the Owner by the Contractor and approvals issued by the Owner's Representative, the Owner shall make progress payments on account of the Contract Sum to the Contractor as provided below and elsewhere in the Contract Documents.

**9.7.2** The period covered by each Application for Payment shall be one (1) calendar month.

**9.7.3** The Owner shall make payment to Contractor for amounts due and approved by Owner's Representative not later than thirty (30) days after the Owner approves a properly detailed Application for Payment which is in compliance with the Contract Documents. The Owner shall not have the obligation to process or pay such Application for Payment until it receives an Application for Payment satisfying such requirements.

**9.7.4** Based on the Schedule of Values submitted by Contractor, Applications for Payment submitted by Contractor shall indicate the actual percentage of completion of each portion of Contractor's Work as of the end of the period covered by the Application for Payment.

9.7.5 The Contractor shall promptly pay each Subcontractor and Supplier, upon receipt of payment from the Owner, out of the amount paid to the Contractor on account of such Subcontractor's or supplier's portion of the Work, the amount to which said Subcontractor or supplier is entitled, reflecting percentages actually retained from payments to the Contractor on account of each Subcontractor's or supplier's portion of the Work, in full compliance with state statute. The Contractor shall, by appropriate agreement with each Subcontractor or supplier, require each Subcontractor or supplier to make payments to Sub-subcontractors in similar manner.

**9.7.6** Neither the Owner nor Architect shall have an obligation to pay or to see to the payment of money to a Subcontractor of any tier nor a laborer or employee of Contractor except to the extent required by law. Retainage provided for by the Contract Documents are to be retained and held for the sole protection of Owner, and no other person, firm or corporation shall have any claim or right whatsoever thereto.

**9.7.7** An approval for payment by Owner's Representative, a progress payment, or partial or entire use or occupancy of the Project by the Owner shall not constitute acceptance of Work not in accordance with the Contract Documents.

## 9.8 Failure of Payment

9.8.1 If the Owner is entitled to reimbursement or payment from the Contractor under or pursuant to the Contract Documents, such payment by Contractor shall be made promptly upon demand by the Owner. Notwithstanding anything contained in the Contract Documents to the contrary, if the Contractor fails to promptly make any payment due the Owner, or the Owner incurs any costs and expenses to cure any default of the Contractor or to correct defective Work, the Owner shall have an absolute right to offset such amount against the Contract Sum and may, in the Owner's sole discretion, elect either to: (1) deduct an amount equal to that to which the Owner is entitled from any payment then or thereafter due the Contractor from the Owner, or (2) issue a written notice to the Contractor reducing the Contract Sum by an amount equal to that to which the Owner is entitled.

# 9.9 Substantial Completion

**9.9.1** Substantial Completion is the stage in the progress of the Work as defined in Paragraph 1.1.9 as certified by the Owner.

9.9.2 When the Contractor considers the Work, or a portion thereof which the Owner agrees to accept separately, is substantially complete, the Contractor shall notify the Owner and the Architect. The Owner's Representative will make an inspection to determine whether the Work or designated portion thereof is substantially complete. If the Owner's Representative's inspection discloses any item which is not in accordance with the requirements of the Contract Documents, the Contractor shall complete or correct such item upon notification by the Owner's Representative. The Contractor shall then submit a request for another inspection by the Owner's Representative to determine Substantial Completion. When the Work or designated portion thereof is substantially complete, the Owner will issue a Certificate of Substantial Completion. Substantial Completion shall transfer from the Contractor to the Owner responsibilities for security, maintenance, heat, utilities, damage to the Work and insurance. In no event shall Contractor have more than thirty (30) days to complete all items on the Punch List and achieve Final Completion. Warranties required by the Contract Documents shall commence on the date of Substantial Completion or as agreed otherwise.

**9.9.3** At the date of Substantial Completion, the Contractor may apply for, and if approved by Owner's Representative, the Owner, subject to the provisions herein, shall increase total payments to one hundred percent (100%) of the Contract Sum less one hundred fifty percent (150%) of the value of any incomplete Work and unsettled claims, as determined by the Owner's Representative.

## 9.10 Partial Occupancy or Use

**9.10.1** The Owner may occupy or use any completed or partially completed portion of the Work at any stage when

such portion is designated by separate agreement with the Contractor. Such partial occupancy or use may commence whether or not the portion is substantially complete, provided the Owner and Contractor have accepted in writing the responsibilities assigned to each of them for payments, retainage, security, maintenance, heat, utilities, damage to the Work and insurance. Consent of the Contractor to partial occupancy or use shall not be unreasonably withheld. The stage of the progress of the Work shall be determined by the Owner's Representative.

**9.10.2** Immediately before such partial occupancy or use, the Owner, and Contractor shall jointly inspect the area to be occupied or portion of the Work to be used in order to determine and record the condition of the Work. Unless otherwise agreed upon, partial occupancy or use of a portion or portions of the Work shall not constitute acceptance of Work not complying with the requirements of the Contract Documents.

# 9.11 Final Completion and Final Payment

9.11.1 Upon receipt of written notice that the Work is ready for final inspection and acceptance and upon receipt of a final Application for Payment, the Owner's Representative and the Architect will promptly make such inspection and, when the Owner's Representative and Architect find the Work acceptable under the Contract Documents and the Contract fully performed, the Owner's Representative will promptly issue a final approval for payment; otherwise, Owner's Representative will return Contractor's Final Application for Payment to Contractor, indicating in writing the reasons for refusing to recommend final payment, in which case Contractor shall make the necessary corrections and resubmit the Application. Submission of a Final Application for Payment shall constitute a further representation that conditions listed in Paragraph 9.11.2 as precedent to the Contractor's being entitled to final payment have been fulfilled. All warranties and guarantees required under or pursuant to the Contract Documents shall be assembled and delivered by the Contractor to the Owner's Representative as part of the final Application for Payment. The final approval for payment will not be issued by the Owner's Representative until all warranties and guarantees have been received and accepted by the Owner.

**9.11.2** The Owner will request the Contractor to submit the application for final payment along with a manually signed notarized letter on the Contractor's letterhead certifying that:

- .1 Labor costs, prevailing wage rates, fringe benefits and material costs have been paid.
- .2 Subcontractors of any tier and manufacturers furnishing materials and labor for the project have fully completed their Work and have been paid in full.
- .3 The project has been fully completed in accordance with the Contract Documents as modified by Change Orders.
- .4 The acceptance by Contractor of its Final Payment, by check or electronic transfer, shall be and operate

as a release of all claims of Contractor against Owner for all things done or furnished or relating to the Work and for every act or alleged neglect of Owner arising out of the Work.

**9.11.3** Final Payment constituting the entire unpaid balance due shall be paid by the Owner to the Contractor within thirty (30) days after Owner's receipt of Contractor's Final Application for Payment which satisfies all the requirements of the Contract Documents and Owner's receipt of all information and documents set forth in Section 9.11.

**9.11.4** No payment under this Contract, including but not limited to final payment, shall constitute acceptance by Owner of any Work or act not in accordance with the requirements of the Contract Documents.

**9.11.5** No recourse shall be had against any member of the Board of Curators, or officer thereof, for any payment under the Contract or any claim based thereon.

## ARTICLE 10 PROTECTION OF PERSONS AND PROPERTY

## **10.1** Safety Precautions and Programs

The Contractor shall at all times conduct 10.1.1 operations under this Contract in a manner to avoid the risk of bodily harm to persons or risk of damage to any property. The Contractor shall promptly take precautions which are necessary and adequate against conditions created during the progress of the Contractor's activities hereunder which involve a risk of bodily harm to persons or a risk of damage to property. The Contractor shall continuously inspect Work, materials, and equipment to discover and determine any such conditions and shall be solely responsible for discovery, determination, and correction of any such conditions. The Contractor shall comply with applicable safety laws, standards, codes, and regulations in the jurisdiction where the Work is being performed, specifically, but without limiting the generality of the foregoing, with rules regulations, and standards adopted pursuant to the Williams-Steiger Occupational Safety and Health Act of 1970 and applicable amendments.

**10.1.2** All contractors, subcontractors and workers on this project are subject to the Construction Safety Training provisions 292.675 RSMo.

**10.1.3** In the event the Contractor encounters on the site, material reasonably believed to be asbestos, polychlorinated biphenyl (PCB), lead, mercury, or other material known to be hazardous, which has not been rendered harmless, the Contractor shall immediately stop Work in the area affected and report the condition to the Owner's Representative and the Architect in writing. The Work in the affected area shall not thereafter be resumed

except by written agreement of the Owner's Representative and Contractor if in fact the material is asbestos or polychlorinated biphenyl (PCB) and has not been rendered harmless. The Work in the affected area shall be resumed in the absence of asbestos or polychlorinated biphenyl (PCB), or when it has been rendered harmless by written agreement of the Owner's Representative and the Contractor. "Rendered Harmless" shall mean that levels of such materials are less than any applicable exposure standards, including but limited to OSHA regulations.

# 10.2 Safety Of Persons And Property

**10.2.1** The Contractor shall take reasonable precautions for safety of, and shall provide protection to prevent damage, injury, or loss to:

- .1 students, faculty, staff, the public, construction personnel, and other persons who may be affected thereby;
- .2 the Work and materials and equipment to be incorporated therein, whether in storage on or off the site, under care, custody, or control of the Contractor or the Contractor's Subcontractors of any tier; and
- .3 other property at the site or adjacent thereto, such as trees, shrubs, lawns, walks, pavements, roadways, structures, and utilities not designated for removal, relocation, or replacement in the course of construction.

**10.2.2** The Contractor shall give notices and comply with applicable laws, ordinances, rules, regulations, and lawful orders of public authorities bearing on safety of persons or property or their protection from damage, injury, or loss.

**10.2.3** The Contractor shall erect and maintain, as required by existing conditions and performance of the Contract, safeguards for safety and protection, including, but not limited to, posting danger signs and other warnings against hazards, promulgating safety regulations, and notifying owners and users of adjacent sites and utilities.

**10.2.4** When use or storage of explosives or other hazardous materials or equipment or unusual methods are necessary for execution of the Work, the Contractor shall exercise the highest degree of care and carry on such activities under supervision of properly qualified personnel.

**10.2.5** The Contractor shall promptly remedy damage and loss (other than damage or loss insured under property insurance required by the Contract Documents) to property referred to in Article 10 caused in whole or in part by the Contractor, a Subcontractor of any tier, or anyone directly or indirectly employed by any of them, or by anyone for whose acts they may be liable, and for which the Contractor is responsible under Article 10, except damage or loss attributable solely to acts or omissions of Owner or the Architect or anyone for whose acts either of them, or by anyone for whose acts either of them may be liable, and not attributable to the fault or negligence of the Contractor. The foregoing obligations of the Contractor are

GC/27

in addition to the Contractor's other obligations stated elsewhere in the Contract.

10.2.6 The Contractor shall designate a responsible member of the Contractor's organization at the site whose duty shall be the prevention of accidents, and the maintaining, enforcing and supervising of safety precautions and programs. This person shall be the Contractor's superintendent unless otherwise designated by the Contractor in writing to the Owner's Representative and Architect. The Contractor shall hold regularly scheduled safety meetings to instruct Contractor personnel on safety practices, accident avoidance and prevention, and the Project Safety Program. The Contractor shall furnish safety equipment, and enforce the use of such equipment by it's employees and it's subcontractors of any tier.

**10.2.7** The Contractor shall not load or permit any part of the construction or site to be loaded so as to endanger its safety.

**10.2.8** The Contractor shall promptly report in writing to the Owner all accidents arising out of or in connection with the Work which cause death, lost time injury, personal injury, or property damage, giving full details and statements of any witnesses. In addition, if death, serious personal injuries, or serious property damages are caused, the accident shall be reported immediately by telephone or messenger to the Owner

**10.2.9** The Contractor shall promptly notify in writing to the Owner of any claims for injury or damage to personal property related to the work, either by or against the Contractor.

#### ARTICLE 11 INSURANCE & BONDS

#### 11.1 Insurance

**11.1.1** Contractor shall secure from the date of the Contract for Construction and maintain for such periods of time as set forth below, insurance of such types and in such amounts specified below, to protect Contractor, Owner and others against all hazards or risks of loss described below. The form of such insurance together with carriers thereof, in each case, shall be approved by Owner, but, regardless of such approval, it shall be the responsibility of Contractor to maintain the insurance coverages set forth herein.

**11.1.2** The contractor shall not be allowed on the Owners property without proof of the insurance coverages set forth herein

## 11.2 Commercial General Liability

**11.2.1** Contractor shall secure and maintain from the date of the Contract and for a period of at least five (5)

years from the date of Final Completion of the entire Work Commercial General Liability insurance ("CGL") with a combined single limit of not less than \$2,000,000 per occurrence, \$5,000,000 general aggregate, \$5,000,000 products and completed operations aggregate and \$1,000,000 personal injury and advertising injury. General Aggregate should apply per project. An umbrella policy may be used to satisfy these limits. If the General Aggregate is not on a per project basis, the contractor shall provide an additional \$2,000,000 general aggregate.

**11.2.2** CGL insurance shall be written on a comprehensive form and shall cover claims and liability in connection with or resulting from the Contractor's operations and activities under the Contract, for personal injuries, occupational sickness, disease, death or damage to property of others, including loss of use resulting therefrom, arising out of any operations or activities of the Contractor, its agents, or any Subcontractors of any tier or by anyone directly or indirectly employed by either of them.

**11.2.3** CGL insurance shall include premises, operations, independent contractors, products-completed operations, personal injury and advertising injury and liability assumed under an insured contract (including the tort liability of another assumed in a business contract) coverages. In particular, and not by way of any limitation, the CGL insurance shall cover the Contractor's indemnity obligations contained in the Contract Documents.

**11.2.4** There shall be no endorsement or modification of the CGL policy limiting the scope of coverage for liability arising from blasting, explosion, collapse, or underground property damage.

**11.2.5** "The Curators of the University of Missouri" shall be endorsed as an "additional insured" under the CGL policy. The additional insured status must be conveyed by using the ISO CG 2 10 (2004) edition or equivalent and the ISO CG 20 37 (2004) edition. The policy shall be endorsed to be primary coverage and any other insurance carried by the Owner shall be excess only and will not contribute with Contractors' insurance. To confirm, the Endorsement should accompany the insurance certificate.

**11.2.6** Contractor waives all rights against Owner and its agents, officers, representatives and employees for recovery of damages to the extent those damages are covered by the CGL policy required hereunder.

## 11.3 Licensed for Use Vehicle Liability

**11.3.1** Contractor shall secure and maintain from the date of the Contract for Construction until the date of Final Completion of the entire Work, insurance, to be on comprehensive form, which shall protect Contractor against any and all claims for all injuries and all damage to property arising from the use of automobiles, trucks and motorized vehicles, in connection with the performance of Work under this Contract, and shall cover the operation on or off the site of

GC/28

the Work of all motor vehicles licensed for highway use whether they are owned, non-owned or hired. Such insurance shall include contractual liability coverage and shall provide coverage on the basis of the date of any accident. The liability limits under such policy shall not be less than \$2,000,000 combined single limit for bodily injury and property damage per accident.

**11.3.2** Contractor waives all rights against Owner and its agents, officers, directors and employees for recovery of damages to the extent such damages are covered by the automobile liability insurance required hereunder.

## 11.4 Workers' Compensation Insurance

**11.4.1** Contractor shall purchase and maintain workers' compensation insurance and employers' liability insurance which shall protect Contractor from claims for injury, sickness, disease or death of Contractor's employees or statutory employees. The insurance policies required hereunder shall include an "all states" or "other states" endorsement. In case any Work is sublet, Contractor shall require any Subcontractor of any tier to provide the insurance coverages required under this Section 11.4.

**11.4.2** Contractor's workers' compensation insurance coverage shall be in compliance with all applicable Laws, including the statutes of the State of Missouri. Contractor's employers' liability coverage limits shall not be less than \$1,000,000 each accident for bodily injury by accident or \$1,000,000 each employee for bodily injury by disease.

#### 11.5 Liability Insurance General Requirements

**11.5.1** All insurance coverages procured by Contractor shall be provided by agencies and insurance companies acceptable to and approved by Owner. Any insurance coverage shall be provided by insurance companies that are duly licensed to conduct business in the State of Missouri as an admitted carrier. The form and content of all insurance coverage provided by Contractor are subject to the approval of Owner. All required insurance coverages shall be obtained and paid for by Contractor. Any approval of the form, content or insurance company by Owner shall not relieve the Contractor from the obligation to provide the coverages required herein.

**11.5.2** All insurance coverage procured by the Contractor shall be provided by insurance companies having policyholder ratings no lower than "A-" and financial ratings not lower than "XI" in the Best's Insurance Guide, latest edition in effect as of the date of the Contract, and subsequently in effect at the time of renewal of any policies required by the Contract Documents. Insurance coverages required hereunder shall not be subject to a deductible amount on a per-claim basis of more than \$10,000.00 and shall not be subject to a per-occurrence deductible of more than \$25,000.00. Insurance procured by Contractor covering the additional insureds shall be primary insurance

and any insurance maintained by Owner shall be excess insurance.

**11.5.3** All insurance required hereunder shall provide that the insurer's cost of providing the insureds a defense and appeal, including attorneys' fees, shall be supplementary and shall not be included as part of the policy limits but shall remain the insurer's separate responsibility. Contractor shall cause its insurance carriers to waive all rights of subrogation, except for Workers' Compensation, against the Owner and its officers, employees and agents.

**11.5.4** The Contractor shall furnish the Owner with certificates, Additional Insured endorsements, policies, or binders which indicate the Contractor and/or the Owner and other Contractors (where required) are covered by the required insurance showing type, amount, class of operations covered, effective dates and dates of expiration of policies prior to commencement of the work. Contractor is required to maintain coverages as stated and required to notify the University of a Carrier Change or cancellation within 2 business days. The University reserves the right to request a copy of the policy. Contractor fails to provide, procure and deliver acceptable policies of insurance or satisfactory certificates or other evidence thereof, the Owner may obtain such insurance at the cost and expense of the Contractor without notice to the Contractor.

**11.5.5** With respect to all insurance coverages required to remain in force and affect after final payment, Contractor shall provide Owner additional certificates, policies and binders evidencing continuation of such insurance coverages along with Contractor's application for final payment and shall provide certificates, policies and binders thereafter as requested by Owner.

**11.5.6** The maintenance in full current force and effect of such forms and amounts of insurance and bonds required by the Contract Documents shall be a condition precedent to Contractor's exercise or enforcement of any rights under the Contract Documents.

**11.5.7** Failure of Owner to demand certificates, policies and binders evidencing insurance coverages required by the Contract Documents, approval by Owner of such certificates, policies and binders or failure of Owner to identify a deficiency from evidence that is provided by Contractor shall not be construed as a waiver of Contractor's obligations to maintain the insurance required by the Contract Documents.

**11.5.8** The Owner shall have the right to terminate the Contract if Contractor fails to maintain the insurance required by the Contract Documents.

**11.5.9** If Contractor fails to maintain the insurance required by the Contract Document, Owner shall have the right, but not the obligation, to purchase said insurance at Contractor's expense. If Owner is damaged by Contractor's failure to maintain the insurance required by the Contract Documents,

Contractor shall bear all reasonable costs properly attributable to such failure.

**11.5.10** By requiring the insurance set forth herein and in the Contract Documents, Owner does not represent or warrant that coverage and limits will necessarily be adequate to protect Contractor, and such coverages and limits shall not be deemed as a limitation on Contractor's liability under the indemnities granted to Owner in the Contract Documents.

**11.5.11** If Contractor's liability policies do not contain a standard separation of insureds provision, such policies shall be endorsed to provide cross-liability coverage.

**11.5.12** If a part of the Work hereunder is to be subcontracted, the Contractor shall: (1) cover any and all Subcontractors in its insurance policies; (2) require each Subcontractor to secure insurance which will protect said Subcontractor and supplier against all applicable hazards or risks of loss designated in accordance with Article 11 hereunder; and (3) require each Subcontractor or supplier to assist in every manner possible in the reporting and investigation of any accident, and upon request, to cooperate with any insurance carrier in the handling of any claim by securing and giving evidence and obtaining the attendance of witnesses as required by any claim or suit.

**11.5.13** It is understood and agreed that the insurance coverages required by the provisions of this Article 11 are required in the public interest and that the Owner does not assume any liability for acts of Contractor or Subcontractors of any tier or their employees in the performance of the Contract or Work.

## 11.6 Builder's Risk Insurance

**11.6.1** The Contractor shall purchase and maintain, in a company or companies lawfully authorized to do business in the State of Missouri, as an admitted carrier, builder's risk insurance on the entire Work. Such insurance shall be written on a completed value form for the entire Work. The insurance shall apply on a replacement cost basis.

**11.6.2** The insurance as required herein shall name as insureds the Owner, Contractor and all Subcontractors of any tier. The insurance policy shall contain a provision that the insurance will not be canceled, allowed to expire or materially changed until at least thirty (30) days prior written notice has been given to Owner.

**11.6.3** The insurance as required herein shall cover the entire Work, including reasonable compensation for Architect's services and expenses made necessary by an insured loss. Insured property shall include portions of the Work located away from the site (including all offsite stored materials) but intended for use at the site, and shall also cover portions of the Work in transit, including ocean transit. The policy shall include as insured property scaffolding, falsework, and temporary buildings located at

the site. The policy shall cover the cost of removing debris, including demolition as may be made legally necessary by the operation of any law, ordinance or regulation.

**11.6.4** The insurance required herein shall be on an all risk form and shall be written to cover all risks of physical loss or damage to the insured party and shall insure at least against the perils of fire and extended coverage, theft, vandalism, malicious mischief, collapse, lightening, earthquake, flood, frost, water damage, windstorm and freezing.

**11.6.5** If there are any deductibles applicable to the insurance required herein, Contractor shall pay any part of any loss not covered because of the operation of such deductibles.

**11.6.6** The insurance as required herein shall be maintained in effect until the earliest of the following dates:

- .1 the date which all persons and organization who are insureds under the policy agree in writing that it shall be terminated;
- .2 the date on which final payment of this Contract has been made by Owner to Contractor; or
- .3 the date on which the insurable interests in the property of all insureds other than the Owner have ceased.

11.6.7 The Owner and Contractor waive all rights against (1) each other and any of their subcontractors of any tier, suppliers, agents and employees, each of the other, (2) the Architect and Architect's consultants, and (3) separate contractors described in Article 6, if any, and any of their subcontractors of any tier, suppliers, agents and employees, for damages caused by fire or other perils to the extent covered by property insurance obtained pursuant to this Section 11.7 or other insurance applicable to the Work, except such rights as they have to proceeds of such insurance. The Owner or Contractor, as appropriate, shall require of the Architect, Architect's consultants, separate contractors described in Article 6, if any, and the subcontractors of any tier, suppliers, agents and employees of any of them, by appropriate agreements, written where legally required for validity, similar waivers each in favor of other parties enumerated herein. The policies shall provide such waivers of subrogation by endorsement or otherwise. A waiver of subrogation shall be effective as to a person or entity even though that person or entity would otherwise have a duty of indemnification, contractual or otherwise, did not pay the insurance premium directly or indirectly, was at fault or was negligent in causing the loss and whether or not the person or entity had an interest in the property damaged.

**11.6.8** A loss insured under Contractor's property insurance shall be adjusted by the Owner in good faith and made payable to the Owner for the insureds, subject to requirements of the Contract Documents. The Contractor shall pay Subcontractors of any tier their just shares of insurance proceeds received by the Contractor, and by appropriate agreements, written where legally required for validity, shall require Subcontractors of

any tier to make payments to their Sub-subcontractors in similar manner.

## 11.7 Bonds

11.7.1 When the Contract sum exceeds Fifty Thousand Dollars (\$50,000), the Contractor shall procure and furnish a Performance Bond and a Payment Bond in the form prepared by the Owner, each in an amount equal to one hundred percent (100%) of the Contract Sum, as well as adjustments to the Contract Sum. The Performance Bond shall secure and guarantee Contractor's faithful performance of this Contract, including but not limited to Contractor's obligation to correct defects after final payment has been made as required by the Contract Documents. The Payment Bond shall secure and guarantee payment of all persons performing labor on the Project under this Contract and furnishing materials in connection with this Contract. These Bonds shall be in effect through the duration of the Contract plus the Guaranty Period as required by the Contract Documents.

**11.7.2** The bonds required hereunder shall be executed by a responsible surety licensed in the State of Missouri, with a Best's rating of no less than A-/XI. The Contractor shall require the attorney in fact who executes the required bonds on behalf of the surety to affix thereto a certified and current copy of this power of attorney indicating the monetary limit of such power.

**11.7.3** If the surety of any bond furnished by Contractor is declared bankrupt or becomes insolvent or its right to conduct business in the State of Missouri is terminated, or it ceases to meet the requirements of this paragraph, Contractor shall within ten (10) days substitute another bond and surety, both of which must be acceptable to Owner. If Contractor fails to make such substitution, Owner may procure such required bonds on behalf of Contractor at Contractor's expense.

**11.7.4** Upon the request of any person or entity appearing to be a potential beneficiary of bonds covering payment of obligations arising under the Contract, the Contractor shall promptly furnish a copy of the bonds to such person or entity.

**11.7.5** The Contractor shall keep the surety informed of the progress of the Work, and, where necessary, obtain the surety's consent to or waiver of: (1) notice of changes in the Work; (2) request for reduction or release of retention; (3) request for final payment; and (4) any other material required by the surety. The Owner shall be notified by the Contractor, in writing, of all communications with the surety, as it relates to items one through four. The Owner may, in the Owner's sole discretion, inform surety of the progress of the Work, any defects in the Work, or any defaults of Contractor under the Contract Documents and obtain consents as necessary to protect the Owner's rights, interest, privileges and benefits under and pursuant to any bond issued in connection with the Work.

**11.7.6** Contractor shall indemnify and hold harmless the Owner and any agents, employees, representative or member of the Board of Curators from and against any claims, expenses, losses, costs, including reasonable attorneys' fees, as a result of any failure of Contractor to procure the bonds required herein.

#### ARTICLE 12 UNCOVERING AND CORRECTION OF THE WORK

## 12.1 Uncovering of the Work

**12.1.1** If a portion of the Work is covered contrary to the Architect's request or to requirements specifically expressed in the Contract Documents, it shall, if required in writing by the Architect or the Owner's Representative, be uncovered for the Architect's observation and be replaced at the Contractor's expense without change in the Contract Time.

**12.1.2** If a portion of the Work has been covered which the Architect or the Owner's Representative has not specifically requested to observe, prior to its being covered, the Architect or the Owner's Representative may request to see such Work, and it shall be uncovered by the Contractor. If such Work is in accordance with the Contract Documents, costs of uncovering and replacement shall, by appropriate Change Order, be charged to the Owner. If such Work is not in accordance with the Contract Documents, the Contractor shall pay such costs unless the condition was caused by the Owner or a separate contractor in which event the Owner will be responsible for payment of such costs.

# 12.2 Correction of the Work

**12.2.1** The Architect or Owner's Representative shall have the right to reject Work not in strict compliance with the requirements of the Contract Documents. The Contractor shall promptly correct Work rejected by the Architect or the Owner's Representative for failing to conform to the requirements of the Contract Documents, whether observed before or after final completion and whether or not fabricated, installed, or completed. If Work has been rejected by Architect or Owner's Representative, the Architect or Owner's Representative shall have the right to require the Contractor to remove it from the Project site and replace it with Work that strictly conforms to the requirements of the Contract Documents regardless if such removal and replacement results in "economic waste." Contractor shall pay all claims, costs, losses and damages caused by or resulting from the correction, removal or replacement of defective Work, including but not limited to, all costs of repair or replacement of Work of others. The Contractor shall bear costs of correcting, removing and replacing such rejected Work, including additional testing and inspections and compensation for the Architect's services and expenses made necessary thereby. If prior to the date of final payment, the Contractor, a Subcontractor or anyone for whom either is responsible uses or damages any portion of the Work, including, without limitation, mechanical, electrical, plumbing and other building systems, machinery, equipment or other mechanical device, the Contractor shall cause such item to be restored to "like new" condition at no expense to the Owner.

**12.2.2** If, within twelve (12) months after the date of Final Completion of the Work or designated portion thereof, or after the date for commencement of warranties, or by terms of an applicable special warranty required by the Contract Documents, any of the Work is found not to be in strict accordance with the requirements of the Contract Documents, the Contractor shall correct or remove and replace such defective Work, at the Owner's discretion. Such twelve (12) month period is referred to as the "Guarantee Period." The obligations under this Paragraph 12.2.2 shall cover any repairs, removal and replacement to any part of the Work or other property caused by the defective Work.

**12.2.3** The Contractor shall remove from the site portions of the Work which are not in accordance with the requirements of the Contract Documents and are neither corrected by the Contractor nor accepted by the Owner.

**12.2.4** If the Contractor fails to correct nonconforming Work within a reasonable time, the Owner may correct or remove it and replace such nonconforming Work. If the Contractor does not proceed with correction of such nonconforming Work within a reasonable time fixed by written notice from the Owner, the Owner may take action to correct or remove the nonconforming work at the contractor's expense.

**12.2.5** The Contractor shall bear the cost of correcting destroyed or damaged Work or property, whether completed or partially completed, of the Owner or of others caused by the Contractor's correction or removal of Work which is not in accordance with the requirements of the Contract Documents.

**12.2.6** Nothing contained in Article 12 shall be construed to establish a period of limitation with respect to other obligations that the Contractor might have under the Contract Documents. Establishment of the twelve (12) month Guarantee Period as described in Article 12 relates only to the specific obligation of the Contractor to correct, remove or replace the Work, and has no relationship to the time within which the obligation to comply with the Contract Documents may be sought to be enforced, nor to the time within which proceedings may be commenced to establish the Contractor's liability with respect to the Contractor's obligations under the Contract Documents. The requirements of Article 12 are in addition to and not in limitation of any of the other requirements of the Contract for warranties or conformance of the Work to the requirements of the Contract Documents.

#### **12.3** Acceptance of Nonconforming Work

**12.3.1** The Owner may accept Work which is not in accordance with the Contract Documents, instead of requiring its removal and correction, in its sole discretion. In Such case the Contract Sum will be adjusted as appropriate and equitable. Such adjustment shall be made whether or not final payment has been made. Nothing contained herein shall impose any obligation upon the Owner to accept nonconforming or defective Work.

## ARTICLE 13 MISCELLANEOUS PROVISIONS

#### 13.1 Written Notice

**13.1.1** All notices required to be given by the contractor under the terms of this Contract shall be made in writing. Written notice when served by the Owner will be deemed to have been duly served if delivered in person to the individual or a member of the firm or entity or to an office of the corporation for which it was intended, or if delivered at or sent to the last business address known to the party giving notice.

#### 13.2 Rights and Remedies

**13.2.1** Duties and obligations imposed by the Contract Documents, and rights and remedies available thereunder shall be in addition to and not a limitation of duties, obligations, rights, and remedies otherwise imposed or available by law.

**13.2.2** No action or failure to act by the Owner, the Architect, or the Owner's Representative will constitute a waiver of a right or duty afforded to the Owner under the Contract Documents, nor will such action or failure to act constitute approval of or acquiescence in a breach thereunder, except as may be specifically agreed in writing.

**13.2.3** The terms of this Contract and all representations, indemnifications, warranties and guarantees made in, required by or given in accordance with the Contract Documents, as well as all continuing obligations indicated in the Contract Documents, will survive final payment, completion and acceptance of the Work and termination or completion of the Work and shall remain in effect so long as the Owner is entitled to protection of its rights under applicable law.

**13.2.4** Contractor shall carry out the Work and adhere to the current construction schedule during all disputes or disagreements with the Owner. No Work shall be delayed or postponed pending resolution of any disputes or disagreements except as the Owner and Contractor may otherwise agree to in writing.

## **13.3** Tests and Inspections

**13.3.1** Tests, inspections, and approvals of portions of the Work required by the Contract Documents or by laws, ordinances, rules or regulations shall be made at an appropriate time. Unless otherwise provided, the Contractor shall make arrangements for such tests, inspections and

shall make arrangements for such tests, inspections and GC/32

approvals with an independent testing laboratory or entity acceptable to the Owner, and shall bear related costs of tests, inspections, and approvals. The Contractor shall give the Architect and the Owner's Representative timely notice of when and where tests and inspections are to be made so the Architect and/or the Owner's Representative may observe procedures.

**13.3.2** If the Architect or the Owner's Representative determine that portions of the Work require additional testing, inspection or approval not included in the Contract Documents, or required by law, the Architect, or the Owner's Representative will instruct the Contractor to make arrangements for such additional testing, inspection, or approval by an entity acceptable to the Owner's Representative and the Contractor shall give timely notice to the Architect, and the Owner's Representative, of when and where tests and inspections are to be made so the Architect and/or the Owner's Representative may observe such procedures. The Owner will bear such costs except as provided elsewhere in Article 13.

**13.3.3** If such procedures for testing, inspection, or approval under Article 13 reveal failure of the portions of the Work to comply with requirements established by the Contract Documents, the Contractor shall bear all costs made necessary by such failure including those of repeated procedures and compensation for the Architect's services and expenses.

**13.3.4** Required certificates of testing, inspection, or approval shall, unless otherwise required by the Contract Documents, be secured by the Contractor and promptly delivered to the Owner's Representative and Architect.

**13.3.5** Contractor shall take all necessary actions to ensure that all tests or inspections conducted pursuant to the Contract Documents shall be made promptly to avoid unreasonable delay in the Work.

**13.3.6** Contractor shall arrange for and pay for all costs of all testing required by the Contract Documents or any applicable Laws for materials to be tested or certified at or on the place or premises of the source of the material to be supplied. The Owner shall have the right to require testing of all materials at the place of the source of the material to be supplied if not required by the Contract Documents or any applicable Laws. The Owner shall bear the costs of such tests and inspections not required by the Contract Documents or by applicable Laws unless prior defective Work provides Architect or Owner with a reasonable belief that additional defective Work may be found, in which case Contractor shall be responsible for all costs of tests and inspections ordered by the Owner or Architect, whether or not such tests or inspection reveals that Work is in compliance with the Contract Documents.

#### 13.4 Nondiscrimination in Employment Equal Opportunity

**13.4.1** The University serves from time to time as a contractor for the United States government. Accordingly, the provider of goods and/or services shall comply with federal laws, rules and regulations applicable to subcontractors of government contracts including those relating to equal employment opportunity and affirmative action in the employment of minorities (Executive Order 11246), women (Executive Order 11375), persons with disabilities (29 USC 706) and Executive Order 11758, and certain veterans (38 USC 4212 formerly [2012]) contracting with business concerns with small disadvantaged business concerns (Publication L. 95-507). Contract clauses required by the Government in such circumstances are incorporated herein by reference.

#### 13.5 Supplier Diversity Goal Program

**13.5.1** The Contractor shall subcontract with diverse firms no less than the amount pledged in the Contractor's Bid and/or the amount accepted by the Owner.

**13.5.2** If the Contractor must remove any diverse subcontractor of any tier, the Contractor shall replace the diverse subcontractor of any tier with another diverse subcontractor(s) of equal dollar value to the diverse supplier removed. The Contractor shall immediately notify the Owner's Representative in writing of the Contractor's intent to remove any, and the Contractor's plan to maintain subcontracts with diverse firms of no less than amount pledged in the Contractor's Bid and/or the amount accepted by the Owner. All changes of diverse subcontractor of any tier shall be approved by the Director of Facilities Planning & Development.

**13.5.3** If the Contractor fails to meet or maintain the contractor's Supplier Diversity subcontracting pledge, the Contractor shall immediately notify in writing the Owner's Representative, and the Director of Facilities Planning & Development. Such notice shall include a description of the Contractor's good faith effort to comply with their Supplier Diversity subcontracting pledge.

**13.5.4** If the Director of Facilities Planning & Development finds the Contractor has failed to comply in good faith with the Owner's Supplier Diversity goal program, the Director may take appropriate action, including but not limited to, declaring the Contractor ineligible to participate in any contracts with the Owner for a period not to exceed six (6) months, and/or directing that the Contractor's actions be declared a material breach of the Contract and that the Contract be terminated.

**13.5.5** The Contractor and his subcontractors shall develop, implement, maintain, and submit in writing to the Director of Facilities Planning & Development, an affirmative action program if at least fifty (50) persons in the aggregate are employed under this contract. If less than fifty (50) persons in the aggregate are to be employed under this contract, the Contractor shall submit, in lieu of the written affirmative action program, a properly executed "Affidavit for a

Affirmative Action" in the form as included in the Contract Documents. For the purpose of this section, an "Affirmative Action Program" means positive actions to influence all employment practices (including, but not limited to, recruiting, hiring, promoting, and training) in providing equal employment opportunity regardless of race, color, sex, national origin, religion, age (where the person affected is between 40 and 70), disabled and Vietnam-era veteran status, and handicapped otherwise qualified status. Such affirmative action program shall include:

- .1 A written policy statement committing the total organization to affirmative action and assigning management responsibilities and procedures for evaluation and dissemination.
- .2 The identification of a person designated to handle affirmative action.
- .3 The establishment of non-discriminatory selection standards, objective measures to analyze recruitment, an upward mobility system, a wage and salary structure, and standards applicable to lay-off, recall, discharge, demotion, and discipline.
- .4 The exclusion of discrimination from collective bargaining agreements.
- .5 Performance of an internal audit of the reporting system to monitor execution and to provide for future planning.

**13.5.6** In the enforcement of this non-discrimination requirement, the Owner may use any reasonable procedures available, including but not limited to: requests, reports, site visits, and inspection of relevant documents of Contractors and Subcontractors of any tier. The contractor shall submit a final Affidavit of Supplier Diversity Participation for each diverse firm at the end of the project stating the actual amount paid to the diverse firm.

#### 13.6 Wage Rates (If the contract amount is less than \$75,000, the requirements of this section will not apply. Any contract adjustments that increase the contract above \$75,000 will be subject to this section.)

13.6.1 The Contractor shall pay workers employed in the execution of this contract in full each week and not less than the predetermined wage rates and overtime for work of a similar character that have been made a part of These rates are determined by the this Contract. University of Missouri Director of Facilities Planning and The rates are based on wage rates Development. published in the Annual Wage Orders of the Missouri Department of Labor and Industrial Relations (MDLIR). The Contractor is to use MDLIR 8 CSR 30-3.020; .030; .040, .060 in determining the appropriate occupational titles and rates for workers used in the execution of this All determinations and/or interpretations contract. regarding wage rates and classification of workers will be made by the office of the University of Missouri Director

of Facilities Planning and Development. The Contractor is responsible for the payment of the aggregate of the Basic Hourly Rate and the Total Fringe Benefits to the workers on the project. Fringe benefit payments may be made to the worker in cash, or irrevocably made by a Contractor or Subcontractor to a trustee or to a third person pursuant to a fund, plan or program, or pursuant to an enforceable commitment, or any combination thereof, to carry out a financially responsible plan or program which was communicated in writing to the workmen affected, for medical or hospital care, pensions on retirement or death, compensation for injuries or illness resulting from occupational activity, or insurance to provide any of the foregoing, for unemployment benefits, life insurance, disability and sickness insurance, accident insurance, for vacation and holiday pay, for defraying costs of apprenticeship or other similar programs, or for other bona fide fringe benefits, but only where the Contractor or Subcontractor is not required by other federal or state law to provide any of the benefits as referenced in §290.210(5) RSMo 1994. Pay for travel, mileage, meals, bonuses, or other expenses are not fringe benefits and cannot be considered part of the workers wage rate. The Contractor shall not make any deductions for food, sleeping accommodations, transportation, use of small tools, uniforms, or anything of any kind or description, unless the Contractor and employee enter into an agreement in writing at the beginning of the worker's term of employment, and such agreement is approved by the Owner. In the event the contract contains more than one wage determination the Contractor shall comply with both.

**13.6.2** The Contractor shall submit to the Owner with the Contractor's periodic pay request, certified payroll records for labor performed by the Contractor and Subcontractors of any tier. The Contractor shall submit all required certified payroll information records electronically in pdf format using the Owner's web-based payment program. The certified payroll forms shall contain the name, address, personal identification number, and occupational title of the workers as well as the hours they work each day. The Owner's acceptance of certified payroll records does not in any way relieve the Contractor of any responsibility for the payment of prevailing wages to workers on the project. The Contractor shall also maintain copies of the certified payroll records. The Owner may, at any time, request copies of, and/or inspect all of the Contractor's payroll records for the Work to verify compliance. The Contractor shall furnish the Owner copies of payroll records within 10 days of the Owner's written request. The Contractor shall provide copies of workers I-9 forms within 24 hours of written notice. (If applicable, and required by Owner, the Contractor will demonstrate that the Contractor is enrolled and participating in a federal work authorization program with respect to the employees working in connection with this project.) Such payroll records shall be maintained in accordance with Article 13.7.1 and shall be available for inspection for two (2) years after final completion of the Work. The contractor further agrees, in the event the records are not presented as

requested, he will abide by any decision made by the Owner regarding underpayment of wages to workers and amounts owed them as well as liquidated damages for underpayment of wages. Falsification of the certified payroll records may result in the debarment of the contractor or subcontractor from future work with the University.

**13.6.3** The acquisition of products or services is subject to the supplier's conformance to the rules and regulations of the President's Committee on Equal Employment Opportunity (41 CFR, Ch. 60).

**13.6.4** The Contractor shall comply with the Copeland Regulations of the Secretary of Labor (29 CFR, Part 3), which are incorporated herein by reference. In addition, the Weekly Statement of Compliance required by these Regulations shall also contain a statement that the applicable fringe benefits paid are equal to or greater than those set forth in the minimum wage decision.

13.6.5 Contractor acknowledges that violation of the requirements of Article 13.6 result in additional costs to Owner, including, but not limited to, cost of construction delays, of additional work for Owner's staff and legal expense. The cost of Contractor's violation of the provisions of Article 13.6 would be and is difficult to determine and establish. In the event that Contractor fails to comply with the provisions of this Article 13.6, Owner shall be entitled to retain or recover from the Contractor, as liquidated damages and not as a penalty, the sum of Fifty Dollars (\$50.00) per day per individual who is paid less than the applicable prevailing wage, to approximate the investigative cost resulting to the Owner for such violations. To approximate the delay costs, Owner shall be entitled to retain or recover from the Contractor, as liquidated damages and not as a penalty, the sum of One Hundred Dollars (\$100.00) per day for each day the Contract cannot be closed out and final payment made because of Contractor's failure to comply with the provisions of this Article 13.6. Such liquidated damages shall be collected regardless of whether the Work has been completed. The liquidated damages and other amounts set forth in this Article 13.6 shall be in addition to all other liquidated damages the Owner may be entitled as set forth in the Contract Documents.

**13.6.6** The Owner may deduct liquidated damages described Article 13 and the amounts set forth in Article 13 from any unpaid amounts then or thereafter due the Contractor under the Contract. Any liquidated damages not so deducted from any unpaid amounts due the Contractor shall be payable to the Owner at the demand of the Owner.

**13.6.7** The Contractor shall specifically incorporate the obligations of Article 13 into the subcontracts, supply agreements and purchase orders for the Work and require the same of any Subcontractors of any tier.

**13.6.8** Contractor acknowledges and recognizes that a material factor in its selection by the Owner is the Contractor's willingness to undertake and comply with the requirements of this Article 13.6. If Contractor fails to comply with the provisions of this Article 13.6, Owner may, in its sole discretion, immediately terminate the Contract upon written notice. The rights and remedies of Owner provided herein shall not be exclusive and are in addition to other rights and remedies provided by law or under this Contract.

**13.6.9** Only such workers who are individually registered in a bona fide apprenticeship program approved by the U.S. Department of Labor, Office of Apprenticeship can be paid less than the journeyperson rate of pay. "Entry Level Workers; must be registered apprentices. The apprenticeship ratio will be one to one with a journeyperson of the same classification. Any worker not registered as an apprentice per this section will be paid as a journeyperson.

**13.6.10** The Contractor shall post the wage rates for the contract in a conspicuous place at the field office on the project. On projects where there is no field office the Contractor may post the wage rates at their local office, as long as they provide a copy of the wage rates to a worker upon request. The wage rates shall be kept in a clearly legible condition for the duration of the project.

**13.6.11** Neither the Contractor, nor any Subcontractor of any tier, nor any person hired by them or acting on their behalf, shall request or demand that workers pay back, return, donate, contribute or give any part, or all, of said workers wages, salary, or any thing of value, upon the statement, representation or understanding that failure to comply with such request or demand will prevent such worker from procuring or retaining employment. The exception being to an agent or representative of a duly constituted labor organization acting in the collection of dues or assessments of such organization.

13.6.12 No contractor or subcontractor may directly or indirectly receive a wage subsidy, bid supplement, or rebate for employment on this project if such wage subsidy, bid supplement, or rebate has the effect of reducing the wage rate paid by the employer on a given occupational title below the prevailing wage rate as provided in contract. In the event a wage subsidy, bid supplement, or rebate is provided or received, the entity receiving such subsidy, supplement, or rebate shall report the date and amount of such subsidy, supplement, or rebate to the University within thirty days of receipt of payment. This disclosure report shall be a matter of public record. Any employer not in compliance with this Article shall owe to the University double the dollar amount per hour that the wage subsidy, bid supplement, or rebate has reduced the wage rate paid by the employer below the prevailing wage rate for each hour that work was performed.

**13.6.13** Time and one half overtime will be paid on all hours over 10 hours per day or 40 hours per week. The wage rate is the total of the "Basic Hourly Rate" plus "Total Fringe Benefits" or the "public works contracting minimum wage". For all work performed on a Sunday or Holiday, not less than twice the prevailing hourly rate of pay or public works contracting minimum wage will apply. Holidays are as follows: January first, the last Monday in May, July fourth, the first Monday in September, November 11, the fourth Thursday in November, December twenty-fifth. If any holiday falls on a Sunday, the following Monday shall be considered a holiday.

# 13.7 Records

**13.7.1** The Owner, or any parties it deems necessary, shall have access to and the right to examine any accounting or other records of the Contractor involving transactions and Work related to this Contract for five (5) years after final payment or five (5) years after the final resolution of any on going disputes at the time of final payment. All records shall be maintained in accordance with generally accepted accounting procedures, consistently applied. Subcontractors of any tier shall be required by Contractor to maintain records and to permit audits as required of Contractor herein.

#### 13.8 Codes and Standards

**13.8.1** The Work shall be performed to comply with the International Code Council (ICC) Codes, and the codes and standards noted below. The latest editions and supplements of these Codes and Standards in effect on the date of the execution of the Contract for Construction shall be applicable unless otherwise designated in the Contract Documents. Codes and standards required by accreditation agencies will also be used unless the ICC requirements are more stringent. In the event that special design features and/or construction systems are not covered in the ICC codes, the applicable edition of the National Fire Protection Association (NFPA) family of standards and/or the NFPA 101 Life Safety Code shall be used.

- .1 ICC International Building Code and reference standards
- .2 ICC International Plumbing Code
- .3 ICC International Mechanical Code
- .4 NFPA 70 National Electric Code (NEC)
- .5 Americans with Disabilities Act Standards for Accessible Design.
- .6 American National Standard Safety Code for Elevators, Dumbwaiters, Escalators, and Moving Walks as published by the American Society of Mechanical Engineers (ASME), American National Standards Institute (ANSI) A17.1
- .7 NFPA 101 Life Safety Code (as noted above)
- .8 American Concrete Institute (ACI)
- .9 American National Standards Institute (ANSI)
- .10 American Society of Heating, Refrigeration and Air Conditioning Engineers (ASHRAE)

- .11 American Refrigeration Institute (ARI)
- .12 American Society for Testing and Materials (ASTM)
- .13 Missouri Standard Specification for Highway Construction, Missouri State Highway Commission
- .14 National Electrical Manufacturers Association (NEMA)
- .15 Underwriter's Laboratories, Inc. (UL), Federal Specifications
- .16 Williams Steiger Occupational Safety and Health Act of 1970 (OSHA)

## **13.9** General Provisions

**13.9.1** Any specific requirement in this Contract that the responsibilities or obligations of the Contractor also apply to a Subcontractor is added for emphasis and are also hereby deemed to include a Subcontractor of any tier. The omission of a reference to a Subcontractor in connection with any of the Contractor's responsibilities or obligations shall not be construed to diminish, abrogate or limit any responsibilities or obligations of a Subcontractor of any tier under the Contract Documents or the applicable subcontract.

13.9.2 This Contract shall be interpreted, construed, enforced and regulated under and by the laws of the State of Missouri. Whenever possible, each provision of this Contract shall be interpreted in a manner as to be effective and valid under applicable law. If, however, any provision of this Contract, or a portion thereof, is prohibited by law or found invalid under any law, only such provision or portion thereof shall be ineffective, without invalidating or affecting the remaining provisions of this Contract or valid portions of such provision, which are hereby deemed severable. Contractor and Owner further agree that in the event any provision of this Contract, or a portion thereof, is prohibited by law or found invalid under any law, this Contract shall be reformed to replace such prohibited or invalid provision or portion thereof with a valid and enforceable provision which comes as close as possible to expressing the intention of the prohibited or invalid provision.

**13.9.3** Contractor and Owner each agree that the State of Missouri Circuit Court for the County where the Project is located shall have exclusive jurisdiction to resolve all Claims and any issue and disputes between Contractor and Owner. Contractor agrees that it shall not file any petition, complaint, lawsuit or legal proceeding against Owner in any other court other than the State of Missouri Circuit Court for the County where the Project is located.

**13.9.4** Owner's total liability to Contractor and anyone claiming by, through, or under Contractor for any Claim, cost, loss, expense or damage caused in part by the fault of Owner and in part by the fault of Contractor or any other entity or individual shall not exceed the percentage share that Owner's fault bears to the total fault of Owner, Contractor and all other entities and individuals as determined on the basis of comparative fault principles.

**13.9.5** Contractor agrees that Owner shall not be liable to Contractor for any special, indirect, incidental, or consequential damage whatsoever, whether caused by Owner's negligence, fault, errors or omissions, strict liability, breach of contract, breach of warranty or other cause or causes whatsoever. Such special, indirect, incidental or consequential damages include, but are not limited to loss of profits, loss of savings or revenue, loss of anticipated profits, labor inefficiencies, idle equipment, home office overhead, and similar types of damages.

**13.9.6** Nothing contained in this Contract or the Contract Documents shall create any contractual relationship with or cause of action in favor of a third party against the Owner.

**13.9.7** No member or officer of the Board of Curators of the University incurs or assumes any individual or personal liability under the Contract or by reason of the default of the Owner in the performance of any terms thereof. Contractor releases and discharges all members or officers of the Board of Curators of the University from any liability as a condition of and as consideration for the award of the Contract to Contractor.

13.9.8 The Contractor hereby binds itself, its partners, successors, assigns and legal representatives to the Owner in respect to covenants, agreements and obligations contained in the Contract Documents. Contractor shall not assign the Contract or proceeds hereof without written consent of the Owner. If Contractor attempts to make such an assignment without such consent, it shall be void and confer no rights on third parties, and Contractor shall nevertheless remain legally responsible for all obligations The Owner's consent to any under the Contract. assignment is conditioned upon Contractor entering into a written assignment which contains the following language: "it is agreed that the funds to be paid to the assignee under this assignment are subject to performance by the Contractor and to claims and to liens for services rendered or materials supplied for the performance of the Work required in said Contract in favor of all persons, firms, corporations rendering such services or supplying such materials."

## 13.10 Certification

**13.10.1** The contractor certifies to the best of its knowledge and belief that it and its principals are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency in accordance with Executive Order 12549 (2/18/86).

**13.10.2** If this contract is for \$100,000 or more, and if the Contractor is a company with ten (10) or more employees, then Contractor certifies that it, and any company affiliated with it, does not boycott Israel and will not boycott Israel during the term of this Contract. In this paragraph, the terms

"company" and "boycott Israel" shall have the meanings described in Section 34.600 of the Missouri Revised Statutes.

#### ARTICLE 14 TERMINATION OR SUSPENSION OF THE CONTRACT

## 14.1 Termination by Owner for Cause

**14.1.1** In addition to other rights and remedies granted to Owner under the Contract Documents and by law, the Owner may terminate the Contract if the Contractor:

- .1 refuses or fails to supply enough properly skilled workers, superintendents, foremen, or managers;
- .2 refuses or fails to supply sufficient or proper materials;
- .3 fails to make payment to Subcontractors for materials or labor in accordance with the respective agreements between the Contractor and the Subcontractors;
- .4 disregards laws, ordinances, rules, or regulations or orders of a public authority having jurisdiction;
- .5 disregards the authority of the Owner's Representative or Architect;
- .6 breaches any warranty or representations made by the Contractor under or pursuant to the Contract Documents;
- .7 fails to furnish the Owner with assurances satisfactory to the Owner evidencing the Contractor's ability to complete the Work in compliance with all the requirements of the Contract Documents;
- .8 fails after commencement of the Work to proceed continuously with the construction and completion of the Work for more than ten (10) days, except as permitted under the Contract Documents;
- .9 fails to maintain a satisfactory rate of progress with the Work or fails to comply with approved progress schedules; or
- .10 violates in any substantial way any provisions of the Contract Documents.

**14.1.2** When any of the above reasons exist, the Owner may, without prejudice to any other rights or remedies of the Owner, terminate this Contract by delivering a written notice of termination to Contractor and Contractor's surety, and may:

- .1 take possession of the site and of all materials, equipment, tools, and construction equipment and machinery thereon owned by the Contractor;
- .2 accept assignment of subcontracts pursuant to Paragraph 5.3; and
- .3 finish the Work by whatever reasonable method the Owner may deem expedient, including turning the Work over to the surety.

**14.1.3** The Contractor, in the event of a termination under Section 14.1, shall not be entitled to receive any further payments under the Contract until the Work is completed in its entirety. Then, if the unpaid balance under the Contract shall exceed all expenses of the Owner in finishing the Work,

including additional compensation for the Architects services and expenses made necessary thereby, such excess will be paid to the Contractor; but, if such expenses of Owner to finish the Work shall exceed the unpaid balance, the Contractor and its surety shall be liable for, and shall pay the difference and any damages to the Owner. The obligation of the Contractor and its surety for payment of said amounts shall survive termination of the Contract.

**14.1.4** In exercising the Owner's right to secure completion of the Work under any of the provisions hereof, the Owner shall have the right to exercise the Owner's sole discretion as to the manner, methods, and reasonableness of costs of completing the Work.

**14.1.5** The rights of the Owner to terminate pursuant to Article 14.1 will be cumulative and not exclusive and shall be in addition to any other remedy provided by law or the Contract Documents.

**14.1.6** Should the Contractor fail to achieve Final Completion of the Work within thirty (30) calendar days following the date of Substantial Completion, the Owner may exercise its rights under Article 14.1.

#### 14.2 Suspension by the Owner for Convenience

**14.2.1** The Owner may, without cause, order the Contractor in writing to suspend, delay, or interrupt the Work in whole or in part for such period of time as the Owner may determine.

**14.2.2** An adjustment will be made to the Contract Sum for increases in the cost of performance of the Contract caused by suspension, delay or interruption. However, in the event of a suspension under this Article 14.2, Contractor hereby waives and forfeits any claims for payment of any special, indirect, incidental or consequential damages such as lost profits, loss of savings or revenue, loss of anticipated profits, idle labor or equipment, home office overhead, and similar type damages. No adjustment will be made to the extent:

- .1 that performance is, was, or would have been so suspended, delayed or interrupted by another cause for which the Contractor in whole or in part is responsible, or
- .2 that an equitable adjustment is made or denied under another provision of this Contract.

#### 14.3 Owner's Termination for Convenience

**14.3.1** The Owner may, at any time, terminate the Contract in whole or in part for the Owner's convenience and without cause. Termination by the Owner under this Paragraph shall be by a notice of termination delivered to the Contractor specifying the extent of termination and the effective date.

14.3.2 Upon receipt of a notice of termination for convenience, the Contractor shall immediately, in

accordance with instructions from the Owner, proceed with performance of the following duties regardless of delay in determining or adjusting amounts due under this Paragraph:

- .1 cease operation as specified in the notice;
- .2 place no further orders and enter into no further subcontracts for materials, labor, services or facilities except as necessary to complete Work not terminated;
- .3 terminate all subcontracts and orders to the extent they relate to the Work terminated;
- .4 proceed to complete the performance of Work not terminated; and
- .5 take actions that may be necessary, or that the Owner may direct, for the protection and preservation of the terminated Work.

**14.3.3** Upon such termination, the Contractor shall recover as its sole remedy payment for Work properly performed in connection with the terminated portion of the Work prior to the effective date of termination and for items properly and timely fabricated off the Project site, delivered and stored in accordance with the Owner's instructions and for all Owner approved claims, costs, losses and damages incurred in settlement of terminated contracts with Subcontractors and suppliers. The Contractor hereby waives and forfeits all other claims for payment and damages, including, without limitation, anticipated profits, consequential damages and other economic losses.

**14.3.4** The Owner shall be credited for (1) payments previously made to the Contractor for the terminated portion of the Work, (2) claims which the Owner has against the Contractor under the Contract and (3) the value of the materials, supplies, equipment or other items that are to be disposed of by the Contractor that are part of the Contract Sum.

**14.3.5** Upon determination by a court that termination of Contractor or its successor in interest pursuant to Paragraph 14.1 was wrongful, such termination will be deemed converted to a termination for convenience pursuant to Paragraph 14.3, and Contractor's sole and exclusive remedy for wrongful termination is limited to recovery of the payments permitted for termination for convenience as set forth in Paragraph 14.3.

# SECTION 1.E SPECIAL CONDITIONS

# 1. DEFINITIONS

a. "Drawings"

Drawings referred to in and accompanying Project Manual consist of Drawings prepared by and bearing name of below defined Architect, bearing 07/15/21 STUDENT CENTER FIRE ALARM UPGRADES.

- Mechanical & Electrical Engineer SSC Engineering 18207 Edison Ave. Chesterfield MO. (636)-530-7770
- c. Other Definitions: See Article 1., General Conditions.

# 2. SPECIAL SCHEDULING REQUIREMENTS

- a. Meeting and Study Rooms Contractor shall coordinate time for the work with the Owner's Representative and the buildings' occupants.
- b. Offices Contractor shall coordinate time for the work with the Owner's Representative and the buildings' occupants (7 days notice).
- c. Dining Locations– Contractor shall coordinate time for the work in food preparation, handling, and serving areas with the Owner's Representative and the buildings' occupants (2 weeks notice).
- d. Testing Contractor shall conduct testing between the hours of 12:00 am and 6:30 am.
- e. Other Occupied Spaces Contractor shall coordinate work closely with the Owner's Representative and the buildings' occupants to find times to perform work in the spaces.
- f. A Fire Watch shall be provided any time the fire alarm system is not operational.

# 3. SCOPE OF WORK

- a. The Contractor shall furnish all labor, materials, tools, equipment necessary for, and incidental to, construction of this project as indicated on Drawings and specified herein.
- b. Work shall include everything requisite and necessary to finish work properly, notwithstanding that every item of labor or materials or accessories required to make project complete may not be specifically mentioned.

General Description of Work:

- (1) Project consists of installing new speakers and speakers strobes for general improvements to the voice evacuation system.
- (2) Electrical work shall consist of pulling new wires from amplifier panels and from Fire Alarm Control Panels or Fire Alarm Power Supplies to new speaker or speaker strobes at indicated locations on plans.

### 4. LOCATION

Work shall be performed under this Contract on campus of the University of Missouri - Columbia, at the Student Center at the corner of Rollins St. and Hitt St.

### 5. NUMBER OF CONSTRUCTION DOCUMENTS

- a. The Owner's Representative will furnish the Contractor an electronic (pdf) copy of executed Contract, Drawings and Specifications.
- b. The Owner will furnish an electronic (pdf) set of explanatory and changed Drawings.
- c. The Owner will provide electronic data files to the Contractor for their convenience and use in progressing the Work and the preparation of shop drawings or other submittal requirements required for construction of the referenced project. The electronic data files shall reflect Construction Documents and Bid Addenda only. These files will be transmitted subject to the following terms and conditions:
  - (1) The Owner makes no representation as to the compatibility of these files with the Contractor's hardware or software.
  - (2) Data contained on these electronic files shall not be used by the Contractor or anyone else for any purpose other than as a convenience in progressing the Work or in the preparation of shop drawings or other required submittals for the referenced project. Any other use or reuse by the Contractor or by others will be at their own sole risk and without liability or legal exposure to Owner. The Contractor agrees to make no claim and hereby waive, to the fullest extent permitted by law, any claim or cause of action of any nature against the Owner and its consultants, contractors, agents, employees, and representatives that may arise out of or in connection with the use of the electronic files transmitted.
  - (3) Furthermore, the Contractor shall, to the fullest extent permitted by law, indemnify and hold harmless the Owner and its consultants, contractors, agents, employees, and representatives, against all damages, liabilities or costs, including reasonable attorney's fees and defense costs, arising out of or resulting from the use of these electronic files.
  - (4) These electronic files are not contract documents. Differences may exist between these electronic files and corresponding hard-copy construction documents. The Owner makes no representation regarding the accuracy or completeness of the electronic files you receive. In the event that a conflict arises between the signed or sealed hard-copy construction documents prepared by the Consultant and the electronic files, the signed and sealed hard-copy construction documents shall govern. The Contractor is responsible for determining if any conflict exists. By use of these electronic files, the Contractor is not relieved of their duty to fully comply with the contract documents.

- (5) Because information presented on the electronic files can be modified, unintentionally or otherwise, the Owner reserves the right to remove all indications of ownership and/or involvement from each electronic display.
- (6) Under no circumstances shall delivery of the electronic files be deemed a sale by the Owner and no warranties are made, either expressed or implied, of merchantability and fitness for any particular purpose. In no event shall the Owner be liable for any loss of profit, or any consequential damages as a result of use or reuse of these electronic files.

### 6. SUBMITTALS

- a. The Contractor shall submit for approval to the Architect, equipment lists and Shop Drawings, as expediently as possible. Failure of the Contractor to submit Shop Drawings in a timely manner will result in the Owner holding back Contractor payments. (See General Conditions)
- b. The material and equipment lists shall be submitted and approved before any material or equipment is purchased and shall be corrected to as-built conditions before the completion of the project.
- c. The Contractor shall submit electronic versions of all required Shop Drawings, material and equipment lists. The Contractor shall upload all Shop Drawings to a secure information sharing website determined by the Owner notifying the Owner and Consultant that these shop drawings are available for review. Each submittal shall have the General Contractors digital stamp affixed to the first page signifying their review and acceptance. Review comments, approvals, and rejections will be posted on this same site with notification to the contractor. Submittals requiring a professional seal shall be submitted hard copy with a manual seal affixed.
  - (1) The Contractor shall identify each submittal item with the following:
    - (a) Project Title and Location
    - (b) Project Number
    - (c) Supplier's Name
    - (d) Manufacturer's Name
    - (e) Contract Specification Section and Article Number
    - (f) Contract Drawing Number
    - (g) Acrobat file name: Spec Section\_Times Submitted-Spec Title: 033000\_01-Cast In Place Concrete.pdf
  - (2) Reference the accompanying Shop Drawing and Submittal Log at the end of this section (1.E.3) for required submittal information.
- d. The Contractor shall submit to the Architect four (4) bound copies of all required Operating Instructions and Service Manuals for the Architect's and the Owner's sole use prior to completing 50% of the adjusted contract. Payments beyond 50% of the contract amount may be withheld until all Operating Instructions and Service Manuals are received as referenced in the accompanying Operating

Instructions and Service Manual Log at the end of this section (1.E.4).

e. The Contractor shall submit to the Owner's Representative all items referenced in the accompanying Closeout Log (1.E.5) within 30 days following substantial completion of the work. The Owner's Representative will maintain the closeout log and include as an agenda item at all coordination meetings.

### 7. NOTIFICATION

Before beginning Demolition Work or service outages, the Contractor shall provide, at minimum, seventy-two (72) hours advance notice to Owner's Representative for purpose of verifying utility locations including, but not limited to, gas, telecommunications, electric, water, steam, sewer, and nitrogen. Contractor shall minimize the number of outages, minimize the length of outages and related work shall be continuous until the utility is restored.

### 8. USE OF PREMISES

- a. Access: Access to construction site shall be as indicated on Drawings and as directed by the Owner's Representative.
- b. Parking:
  - (1) The Owner will issue Contractor two (2) service vehicle parking permits for use in a University Parking lot. The permits will be issued at no cost to the contractor up to the contract completion date. After the contract completion date, the permits will be re-issued on an as available basis at the contractors expense. These permits are to be used for general contractor or subcontractor owned and labeled vehicles only. Personal vehicles are prohibited from use of these permits. Violation of this requirement may result in ticketing and/or towing at the vehicle owner's expense and suspension of progress payments.
  - (2) Parking of personal vehicles within project access/lay down/staging areas is prohibited. Violation of this requirement may result in ticketing and/or towing at the vehicle owner's expense and suspension of progress payments.
  - (3) Parking or driving on sidewalks, landscaped areas, within fire and service lanes or generally in areas not designated for vehicular traffic is prohibited except as allowed in the contract documents. Violation of this requirement may result in ticketing and/or towing at the vehicle owner's expense and suspension of progress payments.
  - (4) Sidewalk(s) and Hardscape Parking/driving on hardscapes is strictly prohibited unless specifically directed by the Owner's Representative through the MU sidewalk permitting process. Restricted use permits will be limited to activities that are constrained by an absolute need to access from a sidewalk. Such activities shall be considered the exception and not

the norm. Adequate signage, fencing and alternate routes must be provided in the immediate and adjacent areas.

- (5) Free parking for contractor employees is available in the Ashland Road Contractor lot on an as available basis. This space is for use by contractor employees for parking their personal vehicles only and is not to be used for staging or storage.
- (6) Vendor Permits may be purchased by contractor management personnel on an as available basis by contacting the Parking and Transportation office in the General Services Building. These permits will allow contractor management personnel to park in various University lots while conducting business on University construction projects.
- (7) Temporary University parking permits may be purchased by contractor employees for use with their personal vehicles on an as available basis by contacting the Parking and Transportation office in the General Services Building.
- (8) Conley Avenue between Missouri Avenue and University Avenue and Hitt Street between University Avenue and the Memorial Union are designated for pedestrian use only during the work week between the hours of 8:15 AM and 3:45 PM. Unless otherwise indicated in the contract documents, this area is strictly off limits to vehicular traffic without authorization from the Owner's Representative.
- c. Storage of materials: The Contractor shall store all materials within project limits. The Contractor shall confine apparatus, materials, and operation of workers to location established by the Owner's Representative. The Contractor shall not unreasonably encumber premises with materials. For Columbia Campus projects add: In addition, storage trailer locations may be available within 1-1/2 miles of project site as directed by the Owner's Representative. Storage trailer locations shall be subject to approval by the Owner's Representative and are available to the Contractor without cost.
- d. Utilities: Drinking water, water required to carry on work, and 120 volt electrical power required for small tool operation may be obtained without cost to the Contractor from existing utilities at locations designated by the Owner's Representative. Provisions for obtaining power, including temporary extensions, shall be furnished and maintained by the Contractor. Upon completion of work such extensions shall be removed and any damage caused by use of such extensions shall be repaired to satisfaction of the Owner's Representative, at no cost to the Owner.
- e. Restroom: Existing toilet facilities within Project Limits or Restrooms designated by the Owner's Representative for use by the Contractor will be available. Failure of the Contractor to maintain restrooms in a clean condition will be cause for the Contractor's discontinued use of the restroom.

- f. Smoking is prohibited at the University of Missouri and all properties owned, operated, leased or controlled by the University of Missouri. Violation of the policy is defined as smoking any tobacco products, including e-cigarettes.
- g. Landfill: The Contractor shall not use the Owner's landfill. Dumping or disposal of excavated or demolition materials on Owner's property shall not be permitted. The Contractor shall remove and legally dispose of excavated or demolished materials off the Owner's property.
- h. Care of Project Work Site: The contractor shall be responsible for maintaining the construction site in a reasonably neat and orderly condition by regular cleaning and mowing of the premises as determined by the Owner's Representative.
- j. All concrete waste material including washout water shall be totally contained and removed from the Owner's property.
- k. Artifacts Found During Construction: Contractor shall immediately notify the Owner's Representative when artifacts are uncovered or found during the demolition or construction process. Artifacts include, but are not limited to, tools, drawings (construction or other), photographs, books and other objects/devices which may hold historical importance/significance. Do not remove or disturb the object(s) in question. Artifacts are not considered part of demolished materials and shall remain the property of the University of Missouri.
- <u>"Permit Required Confined Space" Entry Communication and Coordination</u> (See OSHA 1926 subpart aa – Construction Confined Space for the definition of "permit required confined spaces" - Note: OSHA does not apply to the University. However, the University will provide a list of all known "permit required confined spaces")

There are no known "permit required confined spaces" within the project limits. Each contractor shall conduct a survey to confirm whether or not any confined spaces exist within the project limits. It is incumbent upon each contractor to list all "permit required spaces".

The Contractor shall notify the Owner's Representative if 1) conditions change resulting in a non-permit required confined space being reclassified to a "permit required confined space" after evaluation of the space by a competent person; 2) a space previously thought to be non-permit required space is classified as a "permit required confined space"; or 3) during the course of construction a "permit required confined space" is created after evaluation by a competent person.

The Contractor shall submit to the Owner's Representative a copy of the cancelled confined space entry permit and a written report summarizing the permit space program followed and all hazards confronted or created during entry operations. This information shall be submitted within one week of cancelling the permit.

### 9. PROTECTION OF OWNER'S PROPERTY

a. The Contractor shall be responsible for repair of damage to building exterior and interior, drives, curbs, streets, walks, grass, shrubbery and trees, which was caused by workmen or equipment employed during progress of work. All such repairs shall be made to satisfaction of the Owner's Representative, at no cost to the Owner, or reimburse the Owner if the Owner elects to make repairs. For landscape damage, the Owner shall make such repairs. Compensation for these repairs shall be determined by the Owner's Representative using the "Valuation of Landscape Trees, Shrubs, and other Plants" as published by the International Society of Arboriculture, as last revised.

### 10. SUBSTITUTIONS and EQUALS

- a. Substitutions are defined in General Conditions article 3.11.8 for and Equals are defined General Conditions Article 3.12.
- b. Use of materials, products or equipment other than those named and described in the Contract Documents are substitutions and/or equal. Substitutions and/or equals submitted during the bidding period shall be received by both the Architect and the Owner at least ten calendar days prior to the date for receipt of bids. To be considered, bidder's proposal shall include a complete description of the proposed substitution and/or equal and a comparison of significant qualities of the proposed substitution and/or equal with those specified including drawings, performance and test data, and other information necessary for an evaluation. The Architect's decision on the approval or disapproval of a proposed substitution and/or equal shall be final.
- c. If the Architect and Owner approve a proposed substitution prior to receipt of Bids, such approval will be set forth in an Addendum. Bidders shall not rely upon approval made in any other manner.
- d. No substitutions and/or equal will be allowed for the following items:

ItemSpecification SectionFire Alarm System260721

### 11. CODES AND STANDARDS

The Contractor shall comply with applicable codes and standards as listed in General Conditions.

### 12. PRE-BID INSPECTION

The Pre-Bid Inspection shall only occur at the time associated with the Pre-Bid Meeting. Separate Pre-Bid Inspections and Site Visits will not be provided.

### 13. MODIFICATIONS TO GENERAL CONDITIONS

- a. General Conditions:
  - (1) Reference: General Conditions, Article 3.13.5

DELETE last three sentences of existing article 3.13.5. INSERT the following sentence to read as follows:

No payments will be made until all submittals have been received and approved by Architect.

### 14. PROJECT SCHEDULING

The project scheduling specification for the project are included immediately after the Special Conditions. For this project the Contractor shall meet the following scheduling requirements.

Option 1: Contractor Schedule (Small Projects only) – Contractor is responsible for the schedule and must comply with the Owner's requirements. See Contractor Schedule Specification included in these documents.

### 15. PROJECT COORDINATION

- a. Coordinate construction operations included in various Sections of these Specifications to assure efficient and orderly installation of each part of the Work. Coordinate construction operations included under different Sections that depend on each other for proper installation, connection, and operation.
  - (1) Schedule construction operations in the sequence required to obtain the best results where installation of one part of the Work depends on installation of other components, before or after its own installation.
  - (2) Coordinate installation of different components to assure maximum accessibility for required maintenance, service, and repair.
  - (3) Make provisions to accommodate items scheduled for later installation.

### 16. SAFETY PRECAUTIONS AND PROGRAMS

m. The contractor shall provide Emergency Contact Information for the Contractor's on-site staff and home office management as well as contact information for all major subcontractor personnel. This information shall contain business and personal phone numbers for each individual for contact during or after hours in

case of an emergency. This information shall be submitted within 15 days of the Notice to Proceed.

### 17. HOT WORK PERMITTING AND GENERAL REQUIREMENTS

Hot work Requirements: The contractor shall comply with the following hot work requirements and the requirements of the International Fire Code and 2014 NFPA 51B.

- a. Hot work shall be defined as any work involving burning, welding, grinding, cutting, or similar operations that are capable of initiating fires or explosions.
- a. The Contractor shall utilize the hot work permit decision tree and permit provided in the 2014 NFPA 51B for all Hot Work operations.
- b. A hot work permit shall be used on all hot work performed outside a designated hot work area. The hot work permit shall be posted and clearly visible within proximity of the hot work area. The hot work permit authorizing individual (PAI) shall be as designated by the Contractor.
- c. Notify the Owner's Representative 24 hours prior to starting hot work in buildings with operational fire alarm or fire suppression systems. The Owner's Representative will coordinate the appropriate system outage with Campus Maintenance personnel.
- d. Unless otherwise instructed by the Owner's Representative, the Contractor shall post a copy of each completed hot work permit to the Owner's project management file system the following business day.

# 18. CONSTRUCTION WASTE MANAGEMENT (for projects without a Division 02 specification)

The goal of Construction Waste Management is to divert waste from the sanitary landfill. This shall be accomplished through reuse, recycling and/or salvage of non-hazardous construction and demolition debris to the greatest extent practical. Track and report all efforts related to reuse, recycling and/or salvage materials from the project (including clean fill material). Report all material types and weights, where material was diverted, type of diversion, documentation of diversion (eg: waste or recycling tickets), and applicable dates. In order to calculate the diversion percentage, total weights of all non-hazardous landfill material must be reported. This information shall be updated monthly utilizing the <u>Construction Waste Management Worksheet</u> provided here: [for MU] <u>http://www.cf.missouri.edu/cf/pdc/contractor\_information</u>. Copies of all applicable receipts, tickets and tracking logs shall be uploaded to the Owner's information sharing website or reported as required by the Construction Project Manager.

(A summary worksheet is required prior to substantial completion).

### 19. WARRANTY WALKTHROUGH

Contractor shall attend a walk-thru with the Owner at 11 months after acceptance to review and document any warranty items to be addressed as part of the 12 month warranty stated in article 3.1 of the General Conditions.

### **END OF SECTION**

### Option #1 – Contractor Schedule

- 1. GENERAL
  - a) Time is of the essence for this contract.

The time frames spelled out in this contract are essential to the success of this project. The University understands that effective schedule management, in accordance with the General Conditions and these Special Conditions is necessary to insure to that the critical milestone and end dates spelled out in the contract are achieved.

b) Related Documents

Drawings and general provisions of the Contract, including General Conditions' Article 3.17 shall apply to this Section.

c) Stakeholders

A Stakeholder is anyone with a stake in the outcome of the Project, including the University, the University Department utilizing the facility, the Design Professionals, the Contractor and subcontractors.

- d) Weather
  - (1) Contractor acknowledges that there will be days in which work cannot be completed due to the weather, and that a certain number of these lost days are to be expected under normal weather conditions in Missouri.
  - (2) Rather than speculate as to what comprises "normal" weather at the location of the project, Contractor agrees that it will assume a total of 44 lost days due to weather over the course of a calendar year, and include same in its as planned schedule. For projects of less than a calendar year, lost weather days should be prorated for the months of construction in accordance with the following schedule.
  - (3) Anticipated weather days for allocation/proration only. For projects lasting 12 months or longer, the 44 days per year plus whatever additional months are included will constitute normal weather.

Jan – 5 days	Feb – 5 days	Mar – 4 days	Apr – 4 days
May – 3 days	Jun – 3 days	Jul – 2 days	Aug – 2 days
Sep – 3 days	Oct – 4 days	Nov – 4 days	Dec – 5 days

### 2. SCHEDULING PROCESS

a) The intent of this section is to insure that a well-conceived plan, that addresses the milestone and completion dates spelled out in these documents, is developed with input from all stakeholders in the project. Input is limited to all reasonable requests that are consistent with the requirements of the contract documents, and do not prejudice the Contractor's ability to perform its work consistent with the contract documents.

Further, the plan must be documented in an understandable format that allows for each stakeholder in the project to understand the plan for the construction and/or renovation contained in the Project.

### b) Contractor Requirements

(1) Schedule Development

Contractor shall prepare the Project Schedule using Primavera SureTrack or P3, Microsoft Project, Oracle P6, or other standard industry scheduling software, approved by the Owner's Representative.

(2) Schedule Development Within 2 weeks of the NTP, contractor shall prepare a schedule, preferably in CPM format, but in detailed bar chart format at a minimum, that reflects the contractor's and each subcontractors plan for performing the contract work.

Contractor shall review each major subcontractor's schedule with the sub and obtain the subcontractor's concurrence with the schedule, prior to submitting to the University.

- (3) Schedule Updates.
  - (a) Schedule Updates will be conducted once a month, at a minimum. Actual Start and Finish dates should be recorded regularly during the month. Percent Complete, or Remaining Duration shall be updated as of the data date, just prior to Contractor's submittal of the update data.
  - (b) Contractor will copy the previous months schedule and will input update information into the new monthly update version.
  - (c) Contractor will meet with the Owner's Representative to review the draft of the updated schedule. At this meeting, Owner's Representative and Contractor will:
    - (i) Review out of sequence progress, making adjustments as necessary,
    - (ii) Add any fragnets necessary to describe changes or other impacts to the project schedule and
    - (iii) Review the resultant critical and near critical paths to determine any impact of the occurrences encountered over the last month.
- (4) Schedule Narrative

After finalization of the update, the Contractor will prepare a Narrative that describes progress for the month, impacts to the schedule and an assessment as to the Contractor's entitlement to a time extension for occurrences beyond its control during the month and submit in accordance with this Section.

- (5) Progress Meetings
  - (a) Review the updated schedule at each monthly progress meeting. Payments to the Contractor may be suspended if the progress schedule is not adequately updated to reflect actual conditions.
  - (b) Submit progress schedules to subcontractors to permit coordinating their progress schedules to the general construction work. Include 4 week look ahead schedules to allow subs to focus on critical upcoming work.

### 3. CRITICAL PATH METHOD (CPM)

- a) This Section includes administrative and procedural requirements for the critical path method (CPM) of scheduling and reporting progress of the Work.
- b) Refer to the General and Special Conditions and the Agreement for definitions and specific dates of Contract Time.
- c) Critical Path Method (CPM): A method of planning and scheduling a construction project where activities are arranged based on activity relationships and network calculations determine when activities can be performed and the critical path of the Project.
- d) Critical Path: The longest continuous chain of activities through the network schedule that establishes the minimum overall project duration.
- e) Network Diagram: A graphic diagram of a network schedule, showing the activities and activity relationships.
- f) Activity: A discrete part of a project that can be identified for planning, scheduling, monitoring, and controlling, the construction project. Activities included in a construction schedule consume time and resources.
- g) Critical activities are activities on the critical path.
- h) Predecessor activity is an activity that must be completed before a given activity can be started.
- i) Milestone: A key or critical point in time for reference or measurement.

- j) Float or Slack Time: The measure of leeway in activity performance. Accumulative float time is not for the exclusive use or benefit of the Owner or Contractor, but is a project resource available to both parties as needed to meet contract milestones and the completion date.
- k) Total float is herein defined as the measure of leeway in starting or completing an activity without adversely affecting the planned project completion date.
- 1) Weather: Adverse weather that is normal for the area must be taken into account in the Contractor's Project Schedule. See 1.d.3, above.
- m) Force Majeure Event: Any event that delays the project but is beyond the control and/or contractual responsibility of either party.
- n) Schedule shall including the following, in addition to Contractor's work.
  - (1) Phasing: Provide notations on the schedule to show how the sequence of the Work is affected by the following:
    - (a) Requirements for phased completion and milestone dates.
    - (b) Work by separate contractors.
    - (c) Work by the Owner.
    - (d) Coordination with existing construction.
    - (e) Limitations of continued occupancies.
    - (f) Uninterruptible services.
    - (g) Partial occupancy prior to Substantial Completion.
- o) Area Separations: Use Activity Codes to identify each major area of construction for each major portion of the Work. For the purposes of this Article, a "major area" is a story of construction, a separate building, or a similar significant construction element.

### 4. TIME EXTENSION REQUEST

- a) Refer to General Conditions of the Contract for Construction, Article 4.7 Claims for Additional Time.
- b) Changes or Other Impacts to the Contractor's Work Plan The Owner will consider and evaluate requests for time extensions due to changes or other events beyond the control of the Contractor on a monthly basis only, with the submission of the Contractor's updated schedule, in conjunction with the monthly application for payment. The Update must include:
  - (1) An activity depicting the event(s) impacting the Contractors work plan shall be added to the CPM schedule, using the actual start date of the impact, along with actually required predecessors and successors.
  - (2) After the addition of the impact activity(ies), the Contractor will identify subsequent activities on the critical path, with finish to start relationships that can be realistically adjusted to overlap using good, standard construction practice.
    - (a) If the adjustments above result in the completion date being brought back within the contract time period, no adjustment will be made in the contract time.
    - (b) If the adjustments above still result in a completion date beyond the contract completion date, the delay shall be deemed excusable and the contract completion date shall be extended by the number of days indicated by the analysis.
    - (c) Contractor agrees to continue to utilize its best efforts to make up the time caused by the delays. However the Contractor is not expected to expend costs not contemplated in its contract, in making those efforts.
- c) Questions of compensability of any delays shall be held until the actual completion of the project. If the actual substantial completion date of the project based on excusable delays, excluding weather delays, exceeds the original contract completion date, AND there are no delays that are the responsibility of the contractor to consider, the delays days shall be considered compensable. The actual costs, if any, of the Contractor's time sensitive jobsite supervision and general conditions costs, shall be quantified and a change order issued for these costs.

THIS PAGE LEFT BLANK INTENTIONALLY

### SHOP DRAWING AND SUBMITTAL LOG

Project: STUDENTE CENTER FIRE ALARM UPGRADES Project Number: CP210861 Contractor:

Section	Description	Contractor	Date Rec'd	#	Date Sent to Cons.	Date Ret'd	Remarks	Date ret'd	Cont'r	Owner	C File
260519	Low Voltage Power Conductors and Cables - Conductors										
260526	Grounding Systems – Conductors, Connections, & Fittings										
260721	Fire Alarm Systems – Signed and Sealed Plans										
260721	Fire Alarm Systems – Signed and Sealed Calculations										
260721	Fire Alarm Systems – Field Devices										

THIS PAGE LEFT BLANK INTENTIONALLY

### OPERATING INSTRUCTIONS AND SERVICE MANUAL LOG

Project: STUDENT CENTER FIRE ALARM UPGRADES Project Number: CP210861 Contractor:

Section	Description	Catalog Data	Wiring Diagrams	Installation Instructions	Service & Maintenance Instructions	Parts List & Availability	PerformanceC urves	Startup & Operating Instructions
<u> </u>								

THIS PAGE LEFT BLANK INTENTIONALLY

### CLOSEOUT LOG

### Project: STUDENT CENTER FIRE ALARM UPGRADES Project Number: CP210861 Contractor:

Section	Description	Contractor/Subcontractor	Date Rec'd	# of Copies	CPM Initials	Remarks
GC /3.11	As-built drawings					
GC /13.5.6	Final Affidavit of Supplier Diversity Participation for each Diverse firm					
SC/20	Executed commissioning plan w/ required documentation					
260721	Fire Alarm Systems – Test Report					

THIS PAGE LEFT BLANK INTENTIONALLY

CP210861 Student Center Fire Alarm Upgrade Commissioning Check List						
Commissioning Items by CSI Division	Name	Firm	Date compl	Coord Initial	Documentation Required	Owner Witness Required
1						
Building System Commissioning						
Commissioning Agent - Conduct pre-installation meetings per specifications.					Meeting Minutes	
260500	8				•	
Common Work Results for Electrical						
Perform independent tests, including megohm/high pot tests					test report	
260519						
Low-Voltage Power Conductors and Cables						
Ensure wires are color coded per specifications						
Perform Field Quality Control section of specifications					Test Report	
260526	<u>.</u>				•	
Grounding Systems						
Perform resistance tests as described in spec					test report	
260721		•				
Fire Alarm Systems - Addressable						
Check all grounds per specifications paragraph						
Perform All fire alarm testing per spec					NFPA 72 Certification	

## **CP210861 Student Center Fire Alarm Upgrade Commissioning Check List**

		Date	Coord	Documentation	<b>Owner Witness</b>	
Commissioning Items by CSI Division	Name	Firm	compl	Initial	Required	Required
Pretest all fire alarm devices					Pretest checklist	
Verify elevator interlock						
Verify operation of each notification device						$\checkmark$
Verify room sensors labeled						
Verify tamper/flow switches operational						

Please see following website for suggested commissioning forms:

https://operations.missouri.edu/facilities/commissioning-forms

### SECTION 1.F

### **INDEX OF DRAWINGS**

Drawings referred to in and accompanying Project Manual consist of following sheets dated 07/15/21.

- Sheet 1 of 12: G001 Cover Sheet
- Sheet 2 of 12: E001 Symbols, Abbreviations, & Notes
- Sheet 3 of 12: E002 Details
- Sheet 4 of 12: E101 Electrical Basement Fire Alarm Plan Renovation

Sheet 5 of 12: E102 Electrical Basement Fire Alarm Plan – Renovation

Sheet 6 of 12: E103 Electrical Basement Fire Alarm Plan - Renovation

Sheet 7 of 12: E111 Electrical First Floor Fire Alarm Plan - Renovation

Sheet 8 of 12: E112 Electrical First Floor Fire Alarm Plan - Renovation

Sheet 9 of 12: E113 Electrical First Floor Fire Alarm Plan - Renovation

Sheet 10 of 12: E121 Electrical Second Floor Fire Alarm Plan - Renovation

Sheet 11 of 12: E122 Electrical Second Floor Fire Alarm Plan - Renovation

Sheet 12 of 12: E123 Electrical Second Floor Fire Alarm Plan - Renovation

### END OF SECTION

(a)

THIS PAGE LEFT BLANK INTENTIONALLY

### SECTION 1.G

### PREVAILING WAGE RATES

# Missouri Division of Labor Standards WAGE AND HOUR SECTION



MICHAEL L. PARSON, Governor

# Annual Wage Order No. 27

## Section 010 BOONE COUNTY

In accordance with Section 290.262 RSMo 2000, within thirty (30) days after a certified copy of this Annual Wage Order has been filed with the Secretary of State as indicated below, any person who may be affected by this Annual Wage Order may object by filing an objection in triplicate with the Labor and Industrial Relations Commission, P.O. Box 599, Jefferson City, MO 65102-0599. Such objections must set forth in writing the specific grounds of objection. Each objection shall certify that a copy has been furnished to the Division of Labor Standards, P.O. Box 449, Jefferson City, MO 65102-0449 pursuant to 8 CSR 20-5.010(1). A certified copy of the Annual Wage Order has been filed with the Secretary of State of Missouri.

Original Signed by

Taylor Burks, Director Division of Labor Standards

Filed With Secretary of State:

March 10, 2020

Last Date Objections May Be Filed: April 9, 2020

Prepared by Missouri Department of Labor and Industrial Relations

	**Prevailing				
OCCUPATIONAL TITLE	Hourly				
	Rate				
Asbestos Worker	\$52.88				
Boilermaker	*\$27.06				
Bricklayer	\$49.54				
Carpenter	\$49.54				
	\$44.27				
Lather					
Linoleum Layer					
Millwright					
Pile Driver	<b>•</b> ( ) • • •				
Cement Mason	\$41.89				
Plasterer	<b>AT</b> ( <b>AA</b>				
Communications Technician	\$51.30				
Electrician (Inside Wireman)	\$51.37				
Electrician Outside Lineman	\$73.26				
Lineman Operator					
Lineman - Tree Trimmer					
Groundman					
Groundman - Tree Trimmer					
Elevator Constructor	*\$27.06				
Glazier	\$41.33				
Ironworker	\$58.10				
Laborer	\$39.16				
General Laborer					
First Semi-Skilled					
Second Semi-Skilled					
Mason	\$50.20				
Marble Mason					
Marble Finisher					
Terrazzo Worker					
Terrazzo Finisher					
Tile Setter					
Tile Finisher					
Operating Engineer	*\$27.06				
Group I					
Group II					
Group III					
Group III-A					
Group IV					
Group V					
Painter	\$39.03				
Plumber	\$56.87				
Pipe Fitter					
Roofer	\$49.42				
Sheet Metal Worker	\$52.30				
Sprinkler Fitter	\$44.65				
Truck Driver	*\$27.06				
Truck Control Service Driver					
Group I					
Group II					
Group III					
Group IV					

\*The Division of Labor Standards received less than 1,000 reportable hours for this occupational title.

Public works contracting minimum wage is established for this occupational title using data provided by Missouri Economic Research and Information Center.

\*\*The Prevailing Hourly Rate includes any applicable fringe benefit amounts for each occupational title.

# Heavy Construction Rates for BOONE County

	**Prevailing
OCCUPATIONAL TITLE	Hourly
	Rate
Carpenter	\$49.56
Millwright	
Pile Driver	
Electrician (Outside Lineman)	\$73.26
Lineman Operator	
Lineman - Tree Trimmer	
Groundman	
Groundman - Tree Trimmer	
Laborer	\$43.60
General Laborer	
Skilled Laborer	
Operating Engineer	\$55.90
Group I	
Group II	
Group III	
Group IV	
Truck Driver	\$43.10
Truck Control Service Driver	
Group I	
Group II	
Group III	
Group IV	

Use Heavy Construction Rates on Highway and Heavy construction in accordance with the classifications of construction work established in 8 CSR 30-3.040(3).

Use Building Construction Rates on Building construction in accordance with the classifications of construction work established in 8 CSR 30-3.040(2).

If a worker is performing work on a heavy construction project within an occupational title that is not listed on the Heavy Construction Rate Sheet, use the rate for that occupational title as shown on the Building Construction Rate Sheet.

\*The Division of Labor Standards received less than 1,000 reportable hours for this occupational title. Public works contracting minimum wage is established for this occupational title using data provided by Missouri Economic Research and Information Center.

\*\*The Prevailing Hourly Rate includes any applicable fringe benefit amounts for each occupational title.

# OVERTIME and HOLIDAYS

# OVERTIME

For all work performed on a Sunday or a holiday, not less than twice (2x) the prevailing hourly rate of wages for work of a similar character in the locality in which the work is performed or the public works contracting minimum wage, whichever is applicable, shall be paid to all workers employed by or on behalf of any public body engaged in the construction of public works, exclusive of maintenance work.

For all overtime work performed, not less than one and one-half (1½) the prevailing hourly rate of wages for work of a similar character in the locality in which the work is performed or the public works contracting minimum wage, whichever is applicable, shall be paid to all workers employed by or on behalf of any public body engaged in the construction of public works, exclusive of maintenance work or contractual obligation. For purposes of this subdivision, **"overtime work"** shall include work that exceeds ten hours in one day and work in excess of forty hours in one calendar week; and

A thirty-minute lunch period on each calendar day shall be allowed for each worker on a public works project, provided that such time shall not be considered as time worked.

# HOLIDAYS

January First; The last Monday in May; July Fourth; The first Monday in September; November Eleventh; The fourth Thursday in November; and December Twenty-Fifth;

If any holiday falls on a Sunday, the following Monday shall be considered a holiday.

THIS PAGE LEFT BLANK INTENTIONALLY

### TABLE OF CONTENTS DIVISION 26 – ELECTRICAL

- 260500 Common Work Results for Electrical
- 260510 Basic Electrical Materials and Methods
- 260519 Low Voltage Electrical Power Conductors and Cables
- 260526 Grounding Systems
- 260529 Hangers and Supports for Electrical Systems
- 260533 Raceways and Boxes for Electrical Systems
- 260721 Fire Alarms Systems Addressable

### PART 1 - GENERAL

### 1.1 WORK INCLUDED

- A. Applicable provisions of Bidding Requirements and Division 1 General Requirements apply to work of this Section.
- B. Should a conflict arise between this section and other Sections, the General and Supplementary Conditions of Division 1 shall take precedence.
- C. The word "Contractor" as used in these specifications shall be held to mean the person, firm or corporation contracting to do the herein described work.
- D. The submittal of a proposal carries with it the agreement to all items and conditions referred to in the specifications and accompanying drawings.
- **1.2 RULES AND REGULATIONS -** See Division 1.
- **1.3 PERMITS, LICENSES AND INSPECTION FEES** See Division 1.

### 1.4 PLANS AND SPECIFICATIONS

- A. The specifications and the accompanying drawings plans (architectural, site, structural, mechanical, electrical, fire protection and plumbing) are mutually explanatory and anything described or shown on one, but not on the other, shall be considered as if shown or described on all. The intention of the drawings and specifications is to provide complete functioning systems in every respect. Furnish all material and equipment and perform all labor to achieve this intent, whether or not such material or equipment is indicated herein. Whenever the term "provide" is used, it shall mean "furnish and install."
- B. Data given herein and on the drawings is as exact as could be secured. Their absolute accuracy is not guaranteed. Obtain and verify exact locations, measurements, levels, space requirements, etc., at the site, and adapt the work to actual conditions at the building as constructed.
- C. The drawings shall be considered schematic and are not intended to indicate all required materials. Conduit, wiring, equipment, etc., shall be installed so all items clear the structure and other building elements and maintain appropriate clearances for access, service and maintenance.
- D. Some of the details on the drawings are schematic or diagrammatic. These details are not intended to show all materials, etc., required to achieve the arrangement shown. Adapt these details to the actual conditions of the job.
- E. Routing of conduit and location of equipment and other devices are shown on plans for general guidance. This Contractor shall coordinate his work with other Contractors and shall provide necessary deviations in routing as far as 10 feet from those shown to provide systems as specified or implied, without interference and pursuant to these requirements at no additional cost to the Owner, Architect or Engineer.
- F. Contractor shall not scale the drawings. Refer to architectural and structural drawings for building construction and dimensions and to room finish schedule on architectural drawings

for material, finish and construction method of walls, floors and ceilings in order to insure proper rough-in and installation of contractor's work.

- G. Changes, modifications or variations to the plans and specifications will be issued by the Engineer in writing.
- H. Coordinate arrangement, mounting and support of electrical equipment.
  - 1. To allow maximum possible headroom, unless specific mounting heights are indicated.
  - 2. To provide for ease of disconnecting equipment with minimum interference to other installations.
  - 3. To allow right-of-way for piping and conduit installed at slopes.
  - 4. So connecting raceway will be clear of obstructions and of the working and access space of other equipment.
- I. All mechanical, electrical, plumbing, fire protection, and HVAC work shall be coordinated by that contractor and any correction to any of the above work shall be at that contractor's expense.

### 1.5 DISCREPANCIES OR OMISSIONS

- A. During the bidding period, any discrepancies or omissions in any of the documents or any doubt as to their meaning, should be reported to the Engineer who will, time permitting, issue a written instruction in the form of an addendum to all bidders of record. The Engineer will not be responsible for any oral explanations or interpretations of the documents.
- B. During construction, should a discrepancy or omission be found, it shall be brought to the attention of the Engineer at once for resolution.
- C. No changes in contract price will be allowed for minor changes in layout or location required to avoid interferences, obstructions, etc. Contract price changes will be considered only for changes in the scope of the project requirements. All such scope changes and price revisions must be authorized in writing.
- D. If discrepancies are found within the contract documents, the most demanding requirement shall take precedence unless otherwise agreed by the engineer in writing.
- **1.6 VISITING THE SITE** See Division 1.
- **1.7** SHOP DRAWINGS See Division 1.

### 1.8 RELEASE OF CADD FILES

A. See "Release of Cadd Files Form" at the end of this section.

### 1.9 MAINTENANCE AND OPERATING INSTRUCTIONS AND MANUALS

- A. See Division 1.
- B. Upon completion of the job, the installing contractors and major suppliers shall instruct the Owner's representatives in the proper operation and maintenance of the systems installed. The installing Contractors shall submit documentation indicating the date of instruction; names and organization of persons providing and receiving the instructions; systems the instructions covered; and materials received.

### 1.10 TEMPORARY POWER – EXISTING FACILITIES

- A. The Owner's existing electrical distribution system shall be used for temporary power required for temporary lighting, 120 volt GFI receptacles and power to all construction equipment in accordance with NEC Article 527. Coordinate details of existing service and metering for job site and construction offices with local utility company. Energy charges shall be paid by the General Contractor. If portions of the existing building remain in use by the Owner, then the Owner shall pay the energy charges.
- B. Temporary lighting shall consist of protected incandescent, LED, HID or fluorescent fixtures symmetrically spaced to produce a minimum of 5 footcandles throughout the work areas.
- C. Stairs and other enclosed areas shall have adequate lighting.
- D. The service shall be available during all working hours and scheduled overtime hours and otherwise as necessary for security and safety purposes, with security lighting to be provided during all hours of darkness. All such facilities shall conform to all requirements of the National Electrical Code, the local utility, and all other governmental authorities having jurisdiction.
- E. Temporary power shall be provided for all construction trailers.
- F. Comply with NECA 200-2010, "Recommended Practice for Installing and Maintaining Temporary Electric Power at Construction Sites."

### 1.11 AS-BUILT RECORD DRAWINGS

- A. During construction, maintain a separate set of drawings at the jobsite to keep a record of all changes of locations. See additional requirements in General Conditions and Supplementary Conditions.
- B. Locations of conduit and other concealed facilities shall be shown if and when they differ from the drawings.
- C. "As built" drawings are to be submitted to Architect/Engineer for review prior to the time of request for final payment. Submit as-built record drawings in accordance with the General Conditions.

### **1.12 GUARANTEE AND WARRANTY** – See Division 1.

### PART 2 - PRODUCTS

### 2.1 MATERIAL SUBSTITUTION – See Division 1.

### **PART 3 - EXECUTION**

### 3.1 RESPONSIBILITY

- A. Provide material, equipment, labor, services, supplies, etc., required to execute to completion work shown on the drawings, described in these specifications, or made necessary by the work shown on the drawings and/or described in these specifications.
- B. Schedule work and furnish the required materials in such a manner that the work may progress from start to finish in an expeditious and efficient manner without undue interruption. Schedule the work to coordinate with the construction.

### 3.2 COORDINATION OF TRADES

- A. Prior to the installation of any materials, review the drawings indicating work to be performed by each trade. If conflicts occur, they shall be brought to the attention of the Engineer for resolution.
- B. Work installed without coordinating with the other trades, which causes interferences, shall be removed and reworked, at no cost to the Owner.
- C. The Contractor supplying the equipment shall furnish all motors and components which are part of the equipment.
- D. Control wiring is defined as that wiring which conducts electrical energy at a voltage of less than 100 volts. Interlock wiring is defined as that wiring which performs a control function, but at a voltage of 100 volts or greater. All other wiring shall be considered power wiring.
- E. The Electrical Contractor shall furnish and install all power wiring to, and including connection to the equipment. Unless specifically noted otherwise, all interlock wiring shall be furnished and installed by the Electrical Contractor. Unless noted otherwise, the control wiring shall be furnished and installed by the Contractor furnishing the controlled equipment.
- F. Coordinate installation of required supporting devices and set sleeves in cast-in-place concrete, masonry walls and other structural components as they are constructed.
- G. Coordinate sleeve selection and application with firestopping specified in Division 7.

### 3.3 PROTECTION OF EQUIPMENT AND WORK

- A. Protect and preserve materials, supplies, equipment, piping, etc., from damage due to weather, corrosion, dirt, vandalism, theft, etc. Provide enclosures or special protection as indicated by circumstances.
- B. Should any of the materials, equipment, etc., be damaged as a result of their negligence, then this Contractor shall be held responsible for all such damage and costs incurred for repair or replacement.

### **3.4 CONSTRUCTION STAGING** – See Division 1.

### 3.5 DEMOLITION OF FACILITIES

- A. GENERAL
  - 1. The demolition work shall consist of removal of electrical equipment and materials pertaining to the existing electrical systems as indicated on the drawings.
  - 2. Demolition drawings provided are representative of existing conditions, prepared from previous design drawings and field surveys, but may not be all inclusive of existing conditions at the present time. Field verify actual existing conditions and remove all items, whether indicated on drawings or not, as required for new work.
  - 3. Include in the bid all demolition work as required and as shown on the drawings.
  - 4. Where items are removed, utilities and the area from which the items have been removed shall be left in such a manner that they are safe to both people and property.
  - 5. Before disconnection of any systems, advance approved arrangements shall be made to prevent interference with utility services to rooms and structures not otherwise affected by work under this contract.
  - 6. Equipment to be reused shall be disconnected, marked and protected where necessary.

### B. EXISTING CONDITIONS

- 1. Exercise great caution when performing demolition work so as not to damage existing systems or items of work that are to remain. If the Contractor damages, destroys or removes an existing system which is to remain, the Contractor shall be responsible to repair or replace that system to its original condition.
- 2. Unless specifically stated otherwise on the drawings, where access to electrical items is required, provide the required access by removing ceiling, walls and other obstructions, cutting openings, etc., as required. Prior to cutting openings, outline the openings and obtain approval from the General Contractor and cut openings in the manner directed by the Owner's Representative. Demolition work shall also include the removal and replacement of walls as required for installation or removal of equipment.
- 3. Provide repair and replacement of openings. Materials which are removed and are damaged or soiled shall be replaced with new materials. Ceilings, walls, conduits, etc., shall be properly repaired and restored to as close to the original condition as possible. Replacement of surface finish materials such as paint, ceiling tile, flooring materials, etc., shall be provided by the General Contractor.
- 4. Provide repair of floor openings and trenches. Floors shall be repaired to match the level and surface finish of the existing concrete.
- 5. Areas shall be repaired with materials matching those which have been removed.
- 6. Repair streets, sidewalks, and parking lots which have been altered by the work.

### C. PROTECTION

- 1. During demolition operations, all persons and property shall be protected. Provide erection of any barriers, fences, guard rails, enclosures, chutes, and shoring to protect all persons and property.
- 2. The work shall proceed in a manner to minimize any spread of dust, debris and flying particles, and so that any related effects of demolition do not interfere with the surrounding equipment, personnel, or buildings.
- 3. Where necessary, provide temporary enclosures to be sure that the area is secured, safe and weatherproofed.

### D. DISPOSITION OF MATERIAL:

1. Those items of material and equipment to be removed and designated to become the property of the Owner, shall be delivered to an on-site location designated by the Owner. All other materials and equipment removed shall become the property of this Contractor and shall be removed from the job site and legally disposed of.

### 3.6 EQUIPMENT FURNISHED BY OTHERS

A. Some pieces of equipment, as indicated on the drawings, will be furnished by the Owner and/or under other Divisions of these specifications. Provide electrical work as shown for connections to this equipment.

- B. Start-up of equipment furnished by the Owner or under other Divisions of these specifications shall be the responsibility of this Contractor under the Section assigned the responsibility to receive and set in place or to move and set in place.
- C. Warranties for equipment furnished shall be by the equipment manufacturer.

# 3.7 MAINTENANCE OF WORK AREAS

- A. This Contractor shall maintain the work area in an organized manner, shall not allow debris to accumulate, and shall store equipment, tools and supplies in a manner which shall not cause interference with the activities of others engaged on the project.
- B. Open ends of conduit, equipment and specialties shall be kept properly closed during construction and installation so as to avoid contamination.

# 3.8 CLEANING AND CLEANUP

- A. See Drawings and Division 1.
- B. Upon completion of this work, clean all panels, fixtures, and equipment. Leave all work in a finished, clean, and satisfactory working condition.

# **RELEASE OF CADD FILES**

The drawings prepared by SSC Engineering have been prepared using AUTOCAD 2018. Files for plan drawings prepared by SSC Engineering will be made available to the successful HVAC, plumbing, electrical and fire protection contractor by email; no other drawings will be released. The files will have background files bound in, borders and title blocks removed, and all notes, details, diagrams, and schedules removed. A release form must be signed. Utilization of these documents for the development of shop drawings and submittals does not relieve the contractor from any of his responsibilities herein.

Release form that must be signed:

As requested, SSC Engineering will provide \_\_\_\_\_\_ (name of contractor) with electronic CADD files of the requested (M, E, P, FP) floor or ceiling plans on the terms set forth below. While SSC is not required under its contract to provide or update these electronic files for this purpose, they are being made available as a convenience to the contractor and as a substantial time saver in the preparation of submittals for this project.

The files contain information through the date when the drawings were issued for bidding and may or may not contain information from the addenda. The company using these files shall be responsible for the coordination of the information contained therein with the Plans, Specifications and other Contract Documents. In the event of any ambiguity, discrepancy or conflict between the information within the electronic files and the Contract Documents, the Contract Documents shall be used.

SSC will not be responsible for any error or malfunction in the translation, interpretation or use of this electronic information once it has been provided to the contractor. SSC does not assume any responsibility arising out of the use or adaptation of the information contained in these files or the sufficiency of any drawings prepared based upon the information included within. By accepting these drawing files, the contractor agrees to hold the Engineer harmless with regard to any errors or omissions in the drawing files. Nothing included in this release shall modify any requirements or responsibilities of either party under their respective contracts.

Signing below indicates understanding and acceptance of these terms. Upon receipt of a signed letter or fax, SSC will release the electronic CADD files.

Project Name and Number:	
Specific Drawings Request:	
Acknowledged and Agreed:	
Company	Version of AutoCAD used
Name (Must be an officer of the Company)	E-mail address
Title	Maximum e-mail attachment size
Date	

# THIS PAGE INTENTIONALLY LEFT BLANK

### SECTION 26 0510 – BASIC ELECTRICAL MATERIALS AND METHODS

### PART 1 - GENERAL

### 1.1 RELATED DOCUMENTS

- A. Applicable provisions of the General Conditions, Supplementary General Conditions and Special Conditions shall govern work performed under this section.
- B. Section 260500 Common Work Results for Electrical.

### 1.2 SCOPE OF WORK

A. This section supplements all sections of this Division and shall apply to all phases of work hereinafter specified, shown on the drawings, or required to provide a complete installation of electrical systems.

### 1.3 QUALITY ASSURANCE

- A. Electrical work including, but not limited to, installation, materials, equipment and wiring methods, shall comply with the applicable National Electrical Code, NFPA 70.
- B. Equipment and materials shall comply with the applicable requirements of the following:
  - 1. National Electrical Manufacturer Association (NEMA).
  - 2. Institute of Electrical and Electronic Engineers (IEEE).
  - 3. American National Standards Institute (ANSI).
  - 4. National Electrical Safety Code (ANSI Standard C2).
  - 5. Underwriters Laboratories (UL).
  - 6. National Electrical Contractors Association (NECA).
- C. Comply with NECA 1-2010, "Standard Practice of Good Workmanship in Electrical Contracting."

#### PART 2 - PRODUCTS

**2.1** Provide products, components and materials which are listed and labeled by Underwriters Laboratories (UL).Test

# 2.2 EQUIPMENT IDENTIFICATION LABELS

- A. Adhesive Film Label with Clear Protective Overlay: Machine printed, in black, by thermal transfer or equivalent process. Minimum letter height shall be 3/8 inch. Overlay shall provide a weatherproof and UV-resistant seal for label.
- B. Self-Adhesive, Engraved, Laminated Acrylic or Melamine Label: Adhesive backed, with white letters on a dark-gray background. Minimum letter height shall be 3/8 inch.
- C. Engraved, Laminated Acrylic or Melamine Label: Punched or drilled for screw mounting. White letters on a dark-gray background. Minimum letter height shall be 3/8 inch.

# PART 3 - EXECUTION

**3.1** Install equipment and materials in a neat and workmanlike manner and align, level, and adjust for satisfactory operation. Install equipment so that all parts are easily accessible for inspection, operation, maintenance and repair.

# 3.2 SUPPORTS

- A. Provide the design, fabrication, and erection of supplementary structural framing required for attachment of hangers or other devices supporting electrical equipment.
- B. Provide members welded to structural members equal to the specification for the main structural member. Provide "simple beam" type framing with end connections welded or bolted for shear loads. Use cantilevers only when detailed or specifically approved by the Engineer. The Engineer's approval is required for location of supplementary framing.
- C. Design framing members for their actual loads, with allowable stresses specified by AISC, without excessive deflection and with consideration for rigidity under vibration, in accordance with standard structural practices.
- D. When supplementary framing is indicated, verify that dimensions are suitable for the equipment furnished. Provide additional strength when equipment furnished is heavier than that specified.

# 3.3 WIRING DEVICE LOCATION

- A. Position of Outlets: Center all outlets with regard to paneling, furring and trim. Symmetrically arrange outlets in the room. Satisfactorily correct outlets improperly located or installed. Repair or replace damaged finishes. Set outlets plumb and extend to the finished surface of the wall, ceiling, or floor without projecting beyond same. Install symmetrically all receptacles, switches, and outlets shown on the trim or casework. Where necessary set the long dimension of the plate horizontal, or ganged in tandem.
- B. Mounting heights, to center of box above finished floor, shall be as follows, unless otherwise indicated. Other mounting heights are indicated on the drawings by detail or by a plus dimension shown adjacent to the symbol:

Switches	46 inches
Receptacles and similar devices	18 inches

# 3.4 IDENTIFICATION

- A. General
  - 1. All electrical equipment and devices shall be identified by nameplates or labels.
  - 2. Nameplates Shall be 4" x 1" x 1/8" thick white core, black face, plastic with engraved letters. Attachment to equipment shall be done by means of screws.
    - a. Nameplates shall be used for all major equipment such as switchboards, motor panelboards, motor control centers, transformers, panelboards (lighting, power and auxiliary) on each switch and starter in each panelboard and motor control center, disconnect switches, relays/contactors, loose mounted motor starters,

and on control panels serving fire alarm, security and public address system and motor circuits.

- Labels (Stencils) Shall be Brady or Westline and shall be color coded in accordance with ASA-Z34-1-53 "SAFETY COLOR CODE" to include system voltage, abbreviations of service, etc. For example: "Fire Alarm", "120/208V", etc.
  - a. In general, all exposed feeders, conduits, raceways, pull boxes, and junction boxes shall be identified.
  - b. For conduit systems installed for future wiring installations, all conduits and pull boxes, both exposed and above ceiling, shall be identified.
  - c. Labels shall be used on all bare or smooth painted surfaces. For rough textured surfaces, such as wrinkle painted surfaces or plastic materials where sticking labels would not be permanent, stencils or screwed on letters shall be used.
- 4. Label all low voltage wiring at both ends with Brady tags. Identify data and telephone cables by cable number and document on As-Built documents.
- B. Equipment Identification
  - 1. Panelboard Nameplate shall designate panel number, upstream panel and voltage. Nameplate shall be mounted on the inside of panel door when the panel is located in finished areas and on the front of door when located in mechanical equipment rooms; typewritten branch circuit connection sheet shall be inserted within the panelboard manufacturer's' card holder.
  - 2. Disconnect Switches and Motor Starters Nameplates shall describe the equipment to be controlled and power circuit number.
  - 3. Pushbutton Stations Label shall identify the equipment controlled.
  - 4. Transformers Nameplate shall identify the equipment by plan designation, primary and secondary voltages, and KVA rating.
  - 5. Auxiliary System Equipment The control cabinets for auxiliary systems, such as fire alarm, P.A., intercom, program, etc., shall be identified with nameplate describing the system by designation, power circuit and voltage.
  - 6. Fusible Switches In addition to the nameplate, there shall be labeled on the inside of switch door, the fuse size required for equipment served.
  - 7. Junction and Pull Boxes Identify the function of the box such as "208 volt," "Telephone," "Fire Alarm," etc., with nameplates.
- C. Raceway Identification
  - 1. In general, all exposed feeder conduits, wireways, etc., shall be identified. Branch circuit designations shall be made only after the load balancing of the panelboards has been completed and shall be approved by Owner's representative. In general, designations shall include the area name and lighting type (e.g., Down Lights).

- 2. The identification labels shall be located at intervals of 50 feet or less and at every point where a conduit or raceway is entering and leaving a room.
- D. Device Circuit Identification
  - 1. Receptacles connected to emergency power shall be identified by panel name and circuit number, with labels adhered to the device cover plate.
  - 2. Receptacles connected to normal power shall be identified by panel name and circuit number, with labels adhered to the device cover plate.

# 3.5 TEST

- A. Provide the tests as outlined hereinafter and other tests necessary to establish the adequacy, quality, safety, completed status and suitable operation of each system.
- Balance phase currents of all distribution panels and branch circuit panels within plus or minus
   10 percent variation between average phase current and measured individual phase currents.
- C. Written test record shall be supplied to the Owner to show compliance with governing codes for grounding continuity.
- D. Final Corrections: Correct promptly any failure or defects revealed by these tests as determined by the Engineer. Reconduct tests on these corrected items as directed by the Engineer.

### 3.6 ALTERATIONS

- A. The Owner intends to make continued use of existing facilities during the construction period. Utilities and services to existing facilities shall not be interrupted without the Owner's approval. Organize the work as to cause a minimum of interference with the normal routine activities of the facilities. Interruptions shall be scheduled at the convenience of the Owner.
- B. Remove, cap and/or relocate equipment, outlets, conduit, wire, etc., as shown and specified on drawings. Examine all existing walls designated for removal, to determine the conduit and the wiring that will require capping and/or removal, whether or not such conditions are indicated on the drawings.
- C. Lighting fixtures, switches, receptacles, telephone outlets, fire alarm outlets, special outlets, etc., which are shown on the demolition plans shall be removed.
- D. Concealed conduit for circuits which are partially or completely abandoned may remain in place. Exposed conduit for abandoned circuits shall be removed unless otherwise noted.
- E. Wiring for existing circuits which must be re-routed, or which are partially abandoned, shall be reconnected to service the remaining outlets on the circuit.
- F. Wiring for a circuit which is to be abandoned shall be removed back to the panel which supplied the circuit.
- G. Refer also to specification 260500 for demolition requirements.

# 3.7 CUTTING AND PATCHING

- A. Provide openings for conduit, by means of sleeves.
- B. Provide cutting required for conduits if sleeves or openings are not properly provided. Under no circumstances shall any structural members, load bearing walls or footings be cut without first obtaining written permission from the Structural Engineer.
- C. Cutting shall be limited to the size necessary for working conditions. When cutting surfaces are difficult or costly to replace, such as marble, glazed tile, wood paneling, etc., obtain the Owner's approval in advance of the cutting and patching.
- D. Before cutting or drilling holes in floors, verify the location of reinforcing steel bars and embedded electrical conduits to avoid cutting same. X-ray floors where necessary to verify such locations. Contact the Engineer before proceeding with cutting if such obstructions interfere with the locations of planned holes.

# 3.8 ROOF OPENINGS

A. Provide cutting, patching and flashing of roof for conduits through roof. Roof cutting and patching shall be coordinated with the roof installer. The original roof warranty shall be maintained.

# 3.9 PAINTING

A. Electrical equipment shall be factory finished standard color as furnished by the manufacturer. Scratches shall be touched up in the field after equipment is installed with paint which matches the original color.

# THIS PAGE INTENTIONALLY LEFT BLANK

# SECTION 26 0519 – LOW VOLTAGE POWER CONDUCTORS AND CABLES

### PART 1 - GENERAL

### 1.1 RELATED DOCUMENTS

A. Applicable provisions of the General Conditions, Supplementary General Conditions and Special Conditions shall govern work performed under this section.

#### 1.2 SUMMARY

A. This section includes conductors, wires, cables and associated splices, connections and terminations for wiring systems rated 600V or less.

### 1.3 QUALITY ASSURANCE

- A. Conductors and cable shall conform to UL, NEMA WC70, NECA 120, NFPA 70 and ICEA S-95-658 requirements.
- B. Comply with NECA 120, "Standard for Installing Armored Cable (Type AC) and Metal Clad Cable (Type MC)."
- C. Comply with NECA / AA 104, "Standard for Installing Aluminum Building Wire and Cable."

### PART 2 - PRODUCTS

# 2.1 MANUFACTURERS

- A. Wires and Cables
  - 1. American Insulated Wire Corp.; Leviton Manufacturing Co.
  - 2. BICC Brand-Rex Company
  - 3. Carol Cable Co., Inc.
  - 4. Service Wire Company
  - 5. Southwire Company
  - 6. Alflex Corporation
  - 7. AFC Cable Systems, Inc.
  - 8. Colonial Wire and Cable Co., Inc.
  - 9. General Cable Corporation
  - 10. Okonite Company
  - 11. Pirelli Cable Company
  - 12. Superior Essex
- B. Connectors for Wires and Cables
  - 1. AMP Inc.
  - 2. General Signal; O-Z/Gedney Unit
  - 3. Tyco Electronics Corporation
  - 4. Square D Co.; Anderson
  - 5. 3M Company; Electrical Products Division
  - 6. AFC Cable Systems, Inc.
  - 7. Erico, Inc.

- 8. Hubbell Power Systems, Inc.
- 9. ILSCO Corporation
- 10. Thomas & Betts Corporation

# 2.2 CONDUCTORS

- A. Provide copper conductors with insulation rated for 600 volts, type THHN or THWN for interior use and type XHHW-2 for exterior installation.
- B. Conductors No. 10 AWG and smaller shall be solid. Conductors No. 8 AWG and larger shall be stranded.
- C. Provide single copper conductors throughout. Provide No. 12 AWG minimum branch circuit wire size. Provide No. 14 AWG for control circuits, unless otherwise specified or required by overcurrent protection.
- D. For exterior feeders or branch circuits, provide Type XHHW-2 conductors installed in underground raceways.

# 2.3 CONNECTORS FOR CONDUCTORS

A. Provide UL-listed factory-fabricated, solderless metal connectors of sizes, ampacity ratings, materials, types and classes for application and for service indicated. Use connectors with temperature ratings equal to or greater than those of the wires upon which used.

# 2.4 CABLES

- A. Type MC cable for health care facilities shall have separate green insulated ground conductor, as well as a bonding wire. Armored metal jacket shall have a continuous green marketing stripe. This cable shall be AFC cable systems type HFC-90, or equal.
  - 1. Types MC, Healthcare MC and AC cables shall not be used for Emergency Power System wiring except as permitted by NEC Article 517.
- B. Connectors and fittings for type MC cable shall be manufactured and listed for that purpose.

# 2.5 TYPE NOT PERMITTED

- A. The following wire and cable types are not permitted to be used:
  - 1. BX
  - 2. NM/NME
  - 3. TW
  - 4. Aluminum

# PART 3 - EXECUTION

# 3.1 CONDUCTOR SIZES AND TYPES

A. Wire size and insulation type entering any lighting fixture or equipment shall be as recommended by the manufacturer and as minimally required by the codes. Where no

recommendation is given by the manufacturer, the wire size and insulation type shall meet the minimum rating of the wiring or terminations used in the fixture or equipment.

- B. Any 120V lighting and receptacle circuit, whose home run length from panel to first fixture or device exceeds one hundred (100) feet for 120V circuits, shall not be less than 10 gauge for the entire length of run, to minimize voltage drop.
- C. Conductor sizes for motors, equipment and feeders shall be as indicated. See Schedules on drawings.
- D. MC cable shall not be used for homeruns. Use EMT conduit for all homeruns and connection to Electrical Panels.
- E. Type MC cable may be used only for flexible connections to recessed lighting fixtures from separately mounted outlet boxes. Length shall not exceed six feet. Flexible connections shall originate at junction box that is part of the branch circuit conduit system. Flexible connections shall not be daisy-chained between light fixtures.

# 3.2 INSTALLATION

- A. Wire and cable shall be installed in conduit, duct, wireway, surface raceway or other raceway specified. No conductors or cables shall be installed in conduits, ducts or raceways until the raceway system has been completed and free of any dirt or water. When installing conductors, exercise due care to prevent damage to conductors and insulation.
- B. Wire 10 gauge or smaller shall be spliced, tapped or joined in outlet or junction boxes with solderless spring-type connectors. Bakelite insulated wire nuts are not approved.
- C. Conductors 8 gauge and larger shall be terminated using bolted pressure or compression type connectors. They shall be specifically designed for use with the type conductors being installed in compliance with manufacturer's recommendations.
- D. Uninsulated splices, joints and free ends of conductors shall be covered with rubber and friction tape or high dielectric polyvinylchloride Scotch No. 33 Plus electrical tape.
- E. Feeder cables shall be continuous from origin to panel or equipment termination without splices in intermediate pull or splice boxes or raceway runs. Where taps and splices are necessary, they shall be made in approved splice boxes with suitable compression type connectors.
- F. Fixture and branch circuit wiring joints in exterior junction and outlet boxes shall be made with waterproof connectors rated at 600 volts maximum (1,000 volts when enclosed in fixture or sign).
- G. Exterior branch circuit conductor splices below grade shall occur only in gasketed weatherproof junction boxes. Use split bolt connector with Okonite self-fusing tape #35, wrapped by Scotch #33 Plus tape and sealed with 3M High Gel Re-enterable Encapsulant #8882.
- H. Coordinate wire installation with other work. Support cables in accordance with Section 260529.

- I. Pull conductors simultaneously where more than one is being installed in same raceway. Use UL listed pulling compound or lubricant, where necessary. Perform pull tension calculations and do not exceed those values.
- J. Use pulling means including fish tape, cable, rope and basket weave wire/cable grips which will not damage cable or raceways. Do not use rope hitches for pulling attachment to wire or cable. Do not exceed manufacturer's recommended maximum pulling tensions and sidewall pressure values.
- K. Keep conductor splices to a minimum. Provide splice and tap connectors which possess better mechanical strength and insulation rating than conductors being spliced. Use splice and tap connectors which are compatible with conductor materials.
- L. Provide adequate length of conductors within electrical enclosures and train the conductors to terminal points with no excess. Make terminations so there is no bare conductor at the terminal. Bundle conductor sizes #12 and #10 together. Bundle individual circuits larger than #10 separately.
- M. Tighten electrical connectors and terminals, including screws and bolts, in accordance with manufacturer's published torque tightening values. Where manufacturer's torquing requirements are not indicated, tighten connectors and terminals to comply with tightening torques specified in UL 486A and UL 486B.
- N. Connect wiring devices, light fixtures, panelboard devices and other electrical equipment to the wiring systems as indicated and in accordance with manufacturer's instructions.
- O. Leave a minimum of 12" pigtail at each outlet for termination purposes.
- P. Home runs shall be in conduit. Not more than three (3) branch circuits may be grouped in one
   (1) homerun to a branch circuit panelboard. Derate conductors per NEC requirements and count the neutral as a current carrying conductor.
- Q. Through-wiring is not permitted for recessed lighting fixtures. Each recessed lighting fixture shall be connected by flexible metal-clad cable to a separate junction box mounted above ceiling, which may serve no more than four fixtures. This flexible cable shall be Type MC or AC cable, with ground.
- R. Through-wiring is permitted for continuous rows of surface-mounted or pendant-mounted light fixtures. Fixture rows, pendant or surface-mounted, shall be fed through a flush ceiling-mounted outlet box. Branch circuit conductors for through-wiring shall have a minimum temperature rating of 90°C, or higher, if required by lighting fixture manufacturer.
- S. Install conductors with compression type motor pigtail connectors of sizes as required for motors and mechanical equipment. Connectors shall be rated for 600V, 90 degrees C, and tin plated copper with the thermoplastic elastomer insulator.
- T. Where lugs or termination points are not sized to accommodate the wire size specified or are not listed for the conductor material, provide pin connectors rated for use with copper/aluminum conductors and terminate with a tool and die size recommended by the connector manufacturer.

U. Keep emergency conductors independent and separate from all other conductors. Do not route emergency conductors and normal conductors in the same raceway.

# 3.3 FIELD QUALITY CONTROL

- A. Prior to energizing, check installed wires and cables with megohm meter to determine insulation resistance levels to assure requirements are fulfilled. Visually inspect all terminations are tight and proper and materials installed are in accordance with the specification section.
- B. Prior to energizing test wires and cables for electrical continuity and for short-circuits and proper phase relationship. Verify conductors are appropriately color coded.
- C. Subsequent to wire and cable hook-ups, energize circuits and demonstrate proper functioning. Correct malfunctioning units, and retest to demonstrate compliance.
- D. Torque all connections/lugs in accordance with manufacturer instructions. Where torque valves are absent, follow ANSI/NETA Standard for acceptance testing specifications.

# 3.4 COLOR CODING FOR PHASE IDENTIFICATION

A. Color code secondary service, feeder and branch circuit conductors with factory applied color as follows:

208Y/120 Volts	<u>Phase</u>
Black	А
Red	В
Blue	С
White	Neutral
Green	Ground

- B. Phase conductors with special marking, such as in type MC cable assemblies, shall be acceptable.
- C. Conductors manufactured in only one color shall be marked with colored tape at each termination to identify each conductor as phase, neutral or ground.
- D. Ungrounded conductors supplied from more than one nominal voltage system shall be separately identified where accessible.

# THIS PAGE INTENTIONALLY LEFT BLANK

### SECTION 26 0526 – GROUNDING SYSTEMS

#### PART 1 - GENERAL

#### 1.1 RELATED DOCUMENTS

A. Applicable provisions of the General Conditions, Supplementary General Conditions and Special Conditions shall govern work performed under this section.

#### 1.2 SUMMARY

A. This Section includes grounding of electrical systems and equipment and basic requirements for grounding for protection of life, equipment, circuits and systems. Grounding requirements specified in this section may be supplemented in other sections of these specifications.

### 1.3 SUBMITTALS

- A. General: Submit each item in this Article according to the Conditions of the Contract and Division 1 Specification Sections.
- B. Product Data for connectors and connection materials, and grounding fittings.

### 1.4 QUALITY ASSURANCE

- A. Comply with NFPA 70.
- B. Comply with UL 467.
- C. Listing and Labeling: Provide products specified in this section that are UL listed and labeled.
- D. Comply with NECA 331, "Standard for Building and Service Entrance Grounding and Bonding."

#### PART 2 - PRODUCTS

#### 2.1 MANUFACTURERS

- A. Manufacturers: Subject to compliance with requirements, provide products by one of the following:
  - 1. Chance: A. B. Chance Co
  - 2. Erico, Inc.; Electrical Products Group
  - 3. Ideal Industries, Inc
  - 4. ILSCO
  - 5. O-Z/Gedney Co
  - 6. Raco, Inc
  - 7. Thomas & Betts

# 2.2 GROUNDING AND BONDING PRODUCTS

- A. Governing Requirements: Where types, sizes, ratings and quantities indicated are in excess of National Electrical Code requirements, the more stringent requirements and the greater size, rating and quantity indications govern.
- B. Grounding Bus: Rectangular bar of annealed copper with tapped holes and insulated spacers.

# 2.3 WIRE AND CABLE GROUNDING CONDUCTORS

- A. Comply with Division 26 Section 260519, Low Voltage Power Conductors and Cables. Conform to NEC Article 250, except as otherwise indicated, for conductor properties, including stranding.
- B. Material: Use only copper wire for both insulated and bare grounding conductors and similar materials.
- C. Equipment Grounding Conductors: Insulated with green color insulation, Type THW, THHN or THWN insulation.

# 2.4 MISCELLANEOUS CONDUCTORS

- A. Braided Bonding Jumpers: Copper tape, braided No. 3/0 AWG bare copper wire, terminated with copper ferrules.
- B. Bonding Straps: Soft copper, 0.05 inch (1 mm) thick and 2 inches (50 mm) wide, except as indicated.

# 2.5 CONNECTOR PRODUCTS

- A. Pressure Connectors: High conductivity plated units.
- B. Bolted Clamps: Heavy duty type or Hammer Lock. Acorn clamps are not acceptable.

### **PART 3 - EXECUTION**

# 3.1 GENERAL

- A. The entire electrical system and all electrical equipment shall be grounded in strict accordance with the National Electrical Code and as shown on the drawings.
- B. The grounding system shall be continuous throughout the electrical system. Route along shortest and straightest paths possible. Avoid obstructing access or installing where they may be subjected to strain, impact or damage.
- C. Neutral conductors shall be continuous throughout the electrical system and shall be grounded only at the service neutral at the service switch, or at the center point of a transformer secondary winding for a separately derived system as indicated on the drawings.
- D. When a steel conduit contains only ground wires, the ground wires shall be bonded to the conduit at both ends of the conduit run.
- E. Cabinets, panels, boxes, appliance frames, conduits and other non-current carrying metallic objects shall be grounded as required by the National Electrical Code.
- F. Install metallic raceways mechanically and electrically secure at all joints and at all boxes, cabinets, fittings and equipment.

# 3.2 APPLICATION

- A. Equipment Grounding Conductors: Comply with NEC Article 250 for types, sizes and quantities of equipment grounding conductors, except where specific types, larger sizes or more conductors than required by NEC are indicated.
  - 1. Install equipment grounding conductor with circuit conductors for the items below in addition to those required by Code:
    - a. Feeders and branch circuits.
    - b. Receptacle circuits.
    - c. Single-phase motor or appliance branch circuits.
    - d. Three-phase motor or appliance branch circuits.
    - e. Flexible raceway runs.
    - f. Armored and metal-clad cable runs.
- B. Nonmetallic Raceways: Install an equipment grounding conductor in nonmetallic raceways unless they are designated for telephone or data cables.

# **3.3 EQUIPMENT GROUNDING**

- A. Provide an equipment bonding jumper from the grounding terminals of switches and receptacles to the grounded box that they are mounted in, unless the device is listed for self-grounding.
- B. At motors, connect the ground conductor to the conduit with an approved grounding bushing, and to the metal frame with a bolted solderless lug. Bolts, screws and washers shall be bronze or cadmium plated steel.
- C. Provide a flexible bonding strap, No. 6 AWG equivalent, across each flexible duct connection at each air handler, exhaust fan, supply fan, etc., and install to preclude vibration.
- D. Exposed non-current-carrying metal parts of transformer installations shall be connected to the equipment grounding system.
- E. Provide a flexible bonding strap, #6 AWG or equivalent, to each water heater and other piece of plumbing equipment that may become energized.

# THIS PAGE INTENTIONALLY LEFT BLANK

### SECTION 26 0529 – HANGERS AND SUPPORTS FOR ELECTRICAL SYSTEMS

#### PART 1 - GENERAL

#### 1.1 SECTION INCLUDES

- A. Conduit and equipment supports.
- B. Anchors and fasteners.

### **1.2 RELATED DOCUMENTS**

A. Applicable provisions of the General Conditions, Supplementary General Conditions and Special Conditions shall govern work performed under this section.

### 1.3 REFERENCES

- A. NECA 101- "Standard for Installing Steel Conduit (Rigid, IMC, EMT)."
- B. ANSI/NFPA 70 National Electrical Code.

### PART 2 - PRODUCTS

- **2.1** Materials and Finishes: Provide adequate corrosion resistance.
- 2.2 Provide material, sizes and types of anchors, fasteners and supports to carry the loads of equipment and conduit. Consider weight of wire in conduit when selecting products. System shall be adequate in tension, shear and pullout forces to resist maximum loads calculated or imposed with a minimum structural safety factor of four times the applied force. Minimum static design load shall be the weight of supported components, plus 200 lbs.
- 2.3 Anchors and Fasteners:
  - A. Concrete structural elements: Use expansion anchors.
  - B. Steel structural elements: Use beam clamps, spring steel clips, steel ramset fasteners, or welded fasteners.
  - C. Concrete surfaces: Use self-drilling anchors and expansion anchors.
  - D. Hollow Masonry, plaster, and gypsum board partitions: Use toggle bolts and hollow wall fasteners.
  - E. Solid masonry walls: Use expansion anchors.
  - F. Sheet Metal: use sheet metal screws.
  - G. Wood elements: Use wood screws.
- **2.4** Steel strut framework: Provide 12 gauge minimum size framework similar and equivalent to Bline or Unistrut for supporting electrical enclosures where noted or where necessary for a rigid installation.

#### **PART 3 - EXECUTION**

#### 3.1 INSTALLATION

- A. Install products in accordance with manufacturer's instructions.
- B. Provide anchors, fasteners, and supports in accordance with NECA "Standard of Installation", NECA 1 and 101. Space supports as scheduled in NECA 1 where Table 1 lists maximum spacings less than those listed in NFPA 70.
- C. Do not fasten supports to pipes, ducts, mechanical equipment, and conduit.
- D. Do not drill or cut structural members.
- E. Fabricate supports from structural steel or steel channel. Rigidly weld members or use hexagon head bolts to present neat appearance with adequate strength and rigidity. Use spring lock washers under all nuts.
- F. Install surface-mounted equipment enclosures with minimum of four anchors.
- G. In wet and damp locations use steel channel supports to stand equipment enclosures one inch off wall.
- H. Use sheet metal channel to bridge studs above and below cabinets and panelboards recessed in hollow partitions.
- I. Install trapeze-type supports fabricated with steel slotted support system. Secure raceways to supports with two-bolt conduit clamps.

### SECTION 26 0533 - RACEWAYS AND BOXES FOR ELECTRICAL SYSTEMS

#### PART 1 - GENERAL

### 1.1 RELATED DOCUMENTS

A. Applicable provisions of the General Conditions, Supplementary General Conditions and Special Conditions shall govern work performed under this section.

#### 1.2 SUMMARY

A. This section includes raceways, fittings and outlet boxes.

# 1.3 QUALITY ASSURANCE

- A. Comply with NFPA 70 "National Electrical Code" for components and installation.
- B. Comply with NECA 101, "Standard for Installing Steel Conduit (Rigid, IMC, EMT)."
- C. Comply with NECA 111, "Standard for Installing Non Metallic Raceways (RNC, ENT and LFNC)."
- D. Comply with NECA 120, "Standard for Installing Armored Cable (Type AC) and Metal Clad Cable (Type MC)."
- E. Comply with NECA 605, "Recommended Practice for Installing Underground Nonmetallic Utility Duct."

### PART 2 - PRODUCTS

- 2.1 **RACEWAYS** (Raceways shall be new and shall bear the UL label)
  - A. Electrical Metallic Tubing: Shall be cold rolled welded steel conduit, galvanized on both the outside and inside. Connectors and couplings shall be steel alloy. Setscrew connectors and couplings are approved for indoor exposed or concealed (but not encased in concrete or masonry) work only. Gland compression connectors and couplings are approved for all locations. Indenter type connectors and couplings are not approved. Connectors up to and including size 1-1/2" shall be with insulated throat. Connectors shall be terminated with a bonding type locknut and for conduit sizes 2" and larger, a plastic insulated bushing. Threaded steel insulated grounding bushings having solderless lugs shall be used where required. Comply with ANSI C80.3. Connectors shall be steel; die-cast is not acceptable.
  - B. Intermediate Metal Conduit (IMC): Shall be zinc-coated steel tubing. Comply with ANSI C80.6.
  - C. Rigid Steel Conduit: Shall be zinc-coated rigid steel conduit and conduit fittings. Comply with ANSI C80.1 and UL 6. Couplings and fittings shall be of the threaded type. Threadless fittings shall be used only when specific approval is given by the engineer.
  - D. Use Anaconda "Sealtite" flexible, liquid-tight conduit in damp or wet locations.
  - E. Surface Metal Raceways: Shall be a two-piece steel raceway with removable covers, complete with bushings, connectors, fittings and all components necessary for a complete installation. Surface Metal Raceway shall be equal to Wiremold V500/V700 series.

F. Wireway: Shall be constructed of code gauge steel and shall be in accordance with Underwriters Laboratories Standard UL-870 for Wireways, Auxiliary Gutters and Associated Fittings. Wireway shall be lay-in type (no cross bars or straps) with hinged cover. Indoor wireway shall be constructed with knockouts. Outdoor wireway shall be raintight with no knockouts. Sheet metal parts shall be coated with a rust-inhibiting primer and a gray baked enamel finish. Hardware shall be plated to prevent corrosion. Wireway shall be of standard dimensions and sized in accordance with the National Electrical Code for the particular installation. Wireway shall be Square D "SQUARE-DUCT" or approved equivalent.

# 2.2 OUTLET BOXES

- A. Provide outlet boxes; pull boxes, and conduit fittings as described below. Catalog numbers shown are those of Appleton Electric Company. Steel City, National Electric Products Corp., and Raco are equally acceptable. Comply with NEMA OS-1 and FB
  - 1. Lighting Boxes (concealed) No. 40-3/4
  - 2. Lighting Boxes (concrete) No. OCR Series
  - 3. Lighting Boxes (exposed) No. 4S-3/4 or 40-3/4
  - 4. Switch, Receptacle, Telephone and Junction Boxes (flush) No. 4S-3/4 or No. 225 where separate extension or plaster ring cannot be used.
  - 5. Switch, Receptacle, Telephone and Junction Boxes (exposed) FS Series
  - 6. Switch and Telephone Boxes (concealed in narrow mullions) Bell Electric Co. No. 447, 448, or 449.
  - 7. Weatherproof or Exterior Boxes FS Series with weatherproof while-in-use cast metal cover and neoprene gasket.
- B. Where space is limited, No. 4CS-3/4 handy boxes may be used for a switch, receptacle, telephone or other outlet.
- C. Provide extension and plaster rings as required.
- D. Size outlet boxes in accordance with the allowable fill permitted by the National Electrical Code.

# 2.3 PULLBOXES

A. Provide code gauge galvanized sheet metal pull boxes sized as per the National Electrical Code or as shown on the drawings. Provide a removable cover on the largest access side of the box unless otherwise detailed. Where cast boxes are specified, provide conduit entrances with threaded hubs. Provide stainless steel screws in all exterior locations and in wet or damp locations.

# 2.4 CONDUIT FITTINGS

- A. UL-514B, NEMA FB-1 and NEMA TC-3 listed. Steel material, die-cast is not acceptable.
- B. EMT Couplings:
  - 1. Series 95T gland compression (all locations).
  - 2. Steel material, die-cast is not acceptable.

- C. EMT Connectors:
  - 1. Series 86T gland compression (all locations)
  - 2. Steel material, die-cast is not acceptable.
- D. Insulating Bushings (1-1/4 inch rigid conduit and larger) Series BBU
- E. Straight Box Connectors (flexible conduit) Series 728 9V
- F. Angle Box Connectors (flexible conduit) Series 738 2V
- G. Sealing Gland Assembly OZ, Type FSK
- H. Expansion Joints OZ, Type AX or TX with bonding jumpers and clamps.
- I. Expansion and Deflection Fittings OZ, Type DX
- J. Cast Metal Conduit Fittings Crouse-Hinds, Condulets form 7 with wedge nut cover.

# PART 3 - EXECUTION

# 3.1 RACEWAYS - GENERAL

- A. Install all wiring, including telephone, low voltage, etc., in raceways as indicated on the plans and in this specification.
- B. Install raceways concealed, except in mechanical equipment rooms or where indicated on the plans.
- C. Minimum raceway size shall be 3/4" for interior applications and 1" for exterior applications.

# 3.2 APPLICATION

- A. Conduits located exposed in unfinished spaces, concealed inside shafts or column enclosure and all homeruns shall be EMT. PVC shall be used in concrete slabs, but last elbow out of floor slab shall be IMC. Minimum 2" concrete above conduit.
- B. Surface Metal Raceway shall only be utilized in finished spaces with hard ceilings and/or walls where concealment is not feasible. Use of surface metal raceway shall be minimized and approved by the owner.
- C. Conduits run horizontally between boxes containing wiring devices in walls shall be either EMT or metallic flexible conduit. The maximum length of metallic flexible conduit between wiring devices in furred walls shall be ten (10) feet. All other horizontal conduit in furred walls shall be EMT.
- D. Exterior conduits exposed above grade shall be galvanized rigid steel, 1" minimum.
- E. PVC Conduit will be used for underground electric circuits less than 600 volts that are:
  - 1. Under paved areas and areas scheduled to be paved.

- 2. Next to permanent buildings, under formal planting beds and in extremely high traffic (vehicular and pedestrian) areas that would be difficult to excavate due to regular heavy use.
- 3. All other applications 277 volt or less may be direct buried if approved by the project manager.
- 4. If direct buried, 24 inches of cover is required unless approved by the Project Manager.
- 5. A red plastic tracer tape is to be buried 12" above the conduit in all installations.
- F. PVC conduit shall be a Schedule 40 minimum weight unless otherwise indicated, and shall be designed for the electric application, with all connections solvent welded.
- G. Elbows for rigid metal conduit, 3 inches and larger, shall be either plastic coated or tape coated (for corrosion control) rigid metal conduit to prevent damage from pulling ropes. Rigid metal conduit shall be used for at least the first 5 feet of horizontal run out from the building.
- H. Conduits for connection to vibrating equipment such as motors, transformers, etc., shall be weatherproof Sealtite shall be used in damp or wet locations.
- I. Any type of conduit or raceway that is specifically labeled on the plans shall take precedence over the specification. Obtain written permission from the Engineer for any other deviation from the specification requirements.
- J. Non-metallic conduit or boxes will not be used on the interior of a building unless approved in writing by the Project Manager prior to construction. In cases where they are used, conduit 2" and smaller will be a minimum of Schedule 80.
- K. Keep emergency and normal raceways separate and follow separate routes. Normal and emergency conductors shall not be installed in the same raceway.

# 3.3 INSTALLATION

- A. Continuity. Provide metallic raceways continuous from outlet to outlet, and from outlets to cabinets, junction or pull boxes. Enter and secure conduit to all boxes to provide electrical continuity from the point of service to outlets. Provide double locknut and bushing on terminations of metallic conduits.
- B. Raceways Exposed. Run exposed raceways in straight lines at right angles or parallel with walls, beams or columns.
- C. Sleeves: Sleeves through floors and walls shall be not less than three diameters on centers. Conduit sleeves in floors shall be steel and shall extend 3 inches above the finished floor and flush with the underside of the floor slab. Size sleeves to provide ¼" annular clear space (interior sleeves) or 1" (exterior sleeves) between sleeve and raceway.
- D. Sealing of Sleeves: Openings and sleeves through which a conduit passes in non-fire rated walls, floors, and ceilings shall be properly sealed after the conduit is installed to prevent transmission or leakage of liquids, smoke, and sound. Conduit passing through drywall construction or sleeves shall be sealed both sides of the opening shall be caulked with a

resilient non-hardening caulking such as U.S.G. Acoustical Sealant, Tremco, or approved equal. Coordinate closely with Division 7 contractor.

- E. Sealing of Sleeves: Conduit passing through fire rated floors, walls and ceilings shall be sealed by a UL Listed System with hourly rating equivalent to fire rated floors, walls or ceilings. Fire proofing materials by S.T.I., U.S.G., Tremco, 3M and Hilti must be installed according to UL Listed Systems. Coordinate closely with Division 7 contractor.
- F. Sleeves for fire rated walls and ceilings where data, voice, etc., cables penetrate fire walls or fire rated ceilings provide a fire stopping system as manufactured by STI EZ-Path, Wiremold FlameStopper or equal. Fire stopping system shall be UL classified and FM approved in accordance with ASTM E814 (UL1479).
- G. Raceways through Exposed Roof: Where raceways penetrate the roof seal, provide suitable pitch pockets of lead flashing or flexible boot-type flashing units applied in coordination with roofing work.
- H. Raceways Entering the Building Below Grade: Provide raceways with stainless steel plates and hardware with EDPM or NBR links and wall entrance mechanical sleeve seals having a water tight sealing gland assembly where the raceways enter into a dry area. Use OZ Gedney type FSK or equivalent seal. Following installation of conductors, cables and pull tapes in raceways, provide water stop inside conduits equivalent to Tyco RDSS and RDSS-Clip inflatable sealing bladders.
- I. Bends. Where more than one conduit in a bank of exposed conduit changes direction, all bends shall be concentric. Conduit bends shall not be less than standard radius. Conduit bends for power feeders over 600 volts and for telephone feeders shall be long radius.
- J. Threads. Clean all threads of rigid conduit. Coat all male threads of all steel conduit installed underground or in or under concrete slabs with teflon immediately before being coupled together.
- K. Running Threads. Use "Erickson" type couplings in lieu of running threads.
- L. Protection. Cap raceways stubbed up, including those in cabinets, immediately upon their installation. The use of paper or rag wads will not be permitted.
- M. Conductors carrying more than 150V to ground will not be installed in conduits with conductors carrying less than 150V to ground
- N. Expansion Joints. Provide raceways crossing expansion joints with Type BJ bonding jumper for bonding conduit or tubing together. Where differential settlement may occur, use deflection fittings.
- O. General Location Requirements. Raceway runs shown are diagrammatic. Determine exact locations in the field except where otherwise noted or where dimensions are specified on the drawings. Conduits shall not run within 12 inches of pipes carrying hot liquids, steam, or gases.
- P. Pull Tapes. Empty conduits shall be provided with a pull tape.

- Q. Provide accessories as required for a complete installation, including insulated bushings and inserts where required by the manufacturer or NEC. Provide insulated bushings on all conduit stub-ups, where conduit does not terminate in a box or enclosure, and on all enclosure openings where cables enter or exit unprotected by conduit.
- R. Install no more than the equivalent of three 90-degree bends in any conduit run except for communication conduits. Communications conduits shall have a maximum of two 90-degree equivalent bends for each raceway. Communication conduits 3/4" and less shall be installed in maximum lengths of 50 feet and 1" and larger at 75 feet maximum lengths.

# 3.4 RACEWAYS SUPPORTS

A. Refer to Section 260529 – Hangers and Supports for Electrical Systems.

# 3.5 JOINTS AND CONNECTIONS

- A. Metal Conduits. Make watertight all couplings and threaded connections in threaded conduit. Cut all joints square, ream smooth, and properly thread. Fit all box connections with a minimum of two approved locknuts and one steel, plastic or fiber bushing forming an approved tight bond with box. Provide locknuts both inside and outside of the enclosure to which the conduit is attached. Use rain tight compression type fittings for electrical metallic tubing systems and use at least one locknut on the inside of each enclosure entry. Provide grounding locknuts or bushings where required in Section 260526 Grounding Systems.
- B. PVC Conduits. Make watertight all couplings and connectors in conduit runs. Utilize solvent cement joints of a type approved by the manufacturer for all couplings and fittings. Provide adapters and locknuts where conduit is attached to metal junction boxes, panels, etc.
- C. Join raceways with fittings designed and approved for the purpose and make joints tight. Make raceway terminations tight. Use bonding bushings or wedges at connections subject to vibration. Use bonding jumpers where joints cannot be made tight. Use insulating bushings to protect conductors.
- D. Terminations: Where raceways are terminated with locknuts and bushings, align the raceway to enter squarely, and install the locknuts with dished part against the box.
- E. Where terminating in threaded hubs, screw the raceway or fitting tight into the hub so the end bears against the wire protection shoulder. Where chase nipples are used, align the raceway so the coupling is square to the box, and tighten the chase nipple so no threads are exposed.
- F. Flexible Connections: Use maximum of 6 feet (1830 mm) of flexible conduit for; for equipment subject to vibration, noise transmission, or movement; and for all motors. Use liquidtight flexible conduit in wet or damp locations. Install separate ground conductor in flexible connections.

# 3.6 BOX APPLICATIONS

A. Outlet Boxes and Fittings: Install outlet and device boxes and associated covers and fittings of materials and NEMA types suitable for each location and in conformance with the following requirements:

- 1. Interior Dry Locations: Sheet steel, NEMA type 1.
- 2. Locations Exposed to Weather or Dampness: Cast metal, NEMA type 3R.
- 3. Wet Locations: NEMA type 4X enclosures.
- B. Through-wall boxes are not permitted. Offset back-to-back boxes in the same wall not less than 3". If boxes are in fire rated partitions, offset boxes a minimum of 24" or provide fire rated "putty pads" on boxes. If boxes are in the same stud cavity and open to opposite sides of the wall, provide "putty pads" on boxes.
- C. Pull and Junction Boxes: Install pull and junction boxes of materials and NEMA types suitable for each location, except as otherwise indicated.

# 3.7 OUTLET BOX INSTALLATION

- A. Install items where indicated and where required to suit code requirements and installation conditions.
- B. Cap unused knockout holes where blanks have been removed and plug unused conduit hubs.
- C. Support and fasten items securely in accordance with Division 26 Section "Supporting Devices."
- D. Sizes shall be adequate to meet NEC volume requirements, but in no case smaller than sizes indicated.
- E. Remove sharp edges where they may come in contact with wiring or personnel.
- F. Mounting: Mount outlet boxes for switches with the long axis vertical. Mount boxes for receptacles vertically. Three or more gang boxes shall be mounted with the long axis horizontal. Locate box covers or device plates so they will not cover different types of building finishes either vertically or horizontally. Locate boxes for switches near doors on the side opposite the hinges.
- G. Ceiling Outlets: For fixtures, where wiring is concealed, use outlet boxes 4-inches square by 1-1/2 inches deep, minimum.
- H. Protect outlet boxes to prevent entrance of plaster and debris. Thoroughly clean foreign material from boxes before conductors are installed.

# THIS PAGE INTENTIONALLY LEFT BLANK

### SECTION 26 0721 - FIRE ALARM SYSTEMS - ADDRESSABLE

#### PART 1 - GENERAL

#### 1.1 SUMMARY

A. This section modifications to an existing addressable fire alarm system with an emergency voice/alarm communication.

### **1.2 RELATED DOCUMENTS**

- A. Applicable provisions of the General Conditions, Supplementary General Conditions and Special Conditions shall govern work performed under this section.
- B. Section 260000 Basic Electrical Requirements
- C. Section 260010 Basic Electrical Materials and Methods

### 1.3 QUALITY ASSURANCE

- A. The equipment and installation shall comply with the current applicable provisions of the following standards:
  - 1. National Electrical Code, NFPA 70
  - 2. National Fire Alarm Code, NFPA 72
  - 3. International Building Code, IBC
  - 4. Life Safety Code, NFPA 101
  - 5. UL 864, 9th Edition Listed and Factory Mutual Approved
  - 6. ADA and ANSI A117.1
  - 7. NECA 305, "Standard for Fire Alarm System Job Practices."
  - 8. Applicable Local and State Building codes
  - 9. Requirements of the Local Authority Having Jurisdiction
- B. Equipment Supplier Qualifications
  - 1. The fire alarm equipment supplier shall have a NICET level 4 certified individual on staff responsible for overseeing the technical design and engineering functions related to the fire alarm system. The current NICET level 4 certificate number must be submitted to the engineer with shop drawings submittals.
  - 2. The fire alarm equipment supplier shall have on staff NICET level 2 technicians supervising the final connections and programming of the system.
  - 3. The equipment supplier must be an authorized distributor/dealer of the equipment being provided. The supplier must be factory authorized to service under warranty the components furnished. Two stepping of equipment from a "box house" or out of area distributor is not allowed.
  - 4. Fire Alarm Contractor shall be capable of providing signed and sealed shop drawings for the fire alarm system, by a Professional Engineer of the State in which the project is located.

# 1.4 SUBMITTALS

- A. Shop Drawings
  - 1. The Contractor shall provide complete fire alarm system documents signed and sealed documents by a Professional Engineer of the State in which the project is located as follows:
    - a. Power Calculations:
      - Battery Capacity Calculations: Battery size shall be a minimum of 125% of the calculated requirement. Batteries must be capable of operating the panel in normal mode for 24 hours with sufficient capacity to operate the panel in alarm mode for 15 minutes at the end of that 24 hour period.
      - 2) Supervisory power requirements for all equipment.
      - 3) Alarm power requirements for all equipment.
      - 4) Power supply rating justification showing power requirements for each of the system power supplies. Power supplies shall be sized to furnish the total connected load in a worst case condition.
    - b. Voltage Drop Calculations
    - c. Wiring details and diagrams including types and sizes
    - d. Location of FACP, power supplies, DACT, annunciators, power connections, etc
    - e. Floor plan indicating use of each room, ceiling heights and construction
    - f. Fire alarm matrix and interface of the fire safety controls functions
    - g. Complete manufacturer's catalog, cutsheets and technical details for all equipment, devices, modules, bases, ets. including but not limited to the model number, listing info, type, rating, size, style, for all items.
    - h. Complete list of deviations, exceptions and variations from the Contract Documents related to the fire alarm system and associated equipment and systems.
  - 2. If any deficiencies or conflicts exist in the Contract Documents, the Contractor shall immediately notify the Engineer prior to submitting a bid.
  - 3. Submittal shall state that vendor is able to respond to requests for service within 24 hours and the ability to supply replacement parts for the system within 48 hours relative to the site where the system is to be installed.
  - 4. The fire alarm shop drawings will be returned incomplete if signed and sealed documents as outlined above are not submitted. Engineer will provide a preliminary shop drawing review for general conformance prior to submitting final signed and sealed drawings upon request.
  - 5. In the event a separate fire alarm permit/review is required, the signed and sealed Contractor shop drawings are to be submitted to SSC Engineering for review prior to SSC Engineering providing any signed and sealed fire alarm Contract Documents.
  - 6. It is the responsibility of the Contractor to provide the above information in a timely fashion to accommodate the construction schedule.
  - 7. Provide proof of authorization from equipment manufacturer for being a dealer and NICET certificates. The current NICET level 4 certificate number must be submitted to

the engineer with shop drawings submittals. Shop drawing will be rejected if a current NICET certificate is not submitted.

- 8. Provide proof of authorization from equipment manufacturer for being a true authorized distributor/dealer for service and warranty as well as NICET certificates.
- B. Record Drawings
  - 1. Provide one complete set of as-built record drawings following project completion. The drawings shall include:
    - a. Routing of conduit and all wiring from each device, i.e. smoke detector, signaling appliance, etc. to the control panel, or remote power supply.
    - b. Clearly identify each indicating appliance circuit, initiating or SLC circuit, control circuit, etc. and quantity of conductors.
    - c. Device location and identification number, control panel, circuit breaker and endof-line resistor locations.
  - 2. Provide one complete set of Operations and Maintenance Manuals, including completed Initial Acceptance Test form, Record of Completion form, and final submittal documents.

# PART 2 - PRODUCTS

# 2.1 MANUFACTURERS

- A. The existing FACP is a Notifier 640 Intelligent, Networked, Multi-Channel Fire Alarm Control and Emergency Voice Evacuation System with digital amplifiers.
- B. All devices, equipment and installation are to be provided by a single source who assumes responsibility for the entire system per NFPA 72. Non addressable devices do not have to be of the same brand as the main system, but must meet the manufacturer's requirements and UL ratings for the system installed.
- C. FIELD DEVICES
- D. Speaker Strobes
  - 1. General
    - Speaker and speaker strobes shall mount to a 4x4x2-1/8 inch back box. A universal mounting plate shall be used for mounting ceiling and wall products. The notification appliance circuit and amplifier wiring shall terminate at the universal mounting plate. Speaker strobes shall have field-selectable candela settings including 15, 15/75, 30, 75, 95, 110, 115, 135, 150, 177, 185.
  - 2. Speaker
    - a. The speaker shall be a System Sensor SpectrAlert Advance SPCW dual-voltage transformer speaker capable of operating at 25.0 or 70.7 nominal Vms. It should be listed to UL 1480 and shall be approved for fire protective service. The speaker shall have a frequency range of 400 to 4,000 Hz and shall have an

operating temperature between 32°F and 120°F. The speaker shall have power taps and voltage that are selected by rotary switches.

- 3. Speaker Strobe Combination
  - a. The speaker strobe shall be a System Sensor SpectrAlert Advance model SPSCW listed to UL 1480 and UL 1971 and be approved for fire protective signaling systems. The speaker shall be capable of operating at 25.0 or 70.7 nominal Vrms selected via rotary switch, and shall have a frequency range of 400 to 4,000 Hz. The speaker shall have power taps that are selected by rotary switch. The strobe shall comply with the NFPA 72 requirements for visible signaling appliances, flashing at 1 Hz over the strobe's entire operating voltage range. The strobe light shall consist of a xenon flash tube and associated lens/reflector system.
- 4. Synchronization Module
  - a. The module shall be a System Sensor Sync Circuit model MDL listed to UL 464 and shall be approved for fire protective services. The module shall synchronize SpectrAlert strobes at 1 Hz.
  - b. The module shall be listed to UL 464 and shall be approved for fire protective services. The module shall synchronize strobes at 1 Hz. The module shall mount to a 411/16x411/16x21/8 inch back box. The module shall also control two Style Y (class B) circuits or one Style Z (class A) circuit. The module shall synchronize multiple zones. Daisy chaining two or more synchronization modules together will synchronize all the zones they control. The module shall not operate on a coded power supply.
- E. Surge Protection Devices
  - 1. Where metallic fire alarm cabling leaves the building above or below grade to an exterior device, provide surge protection where the cabling exits the building. Where devices are mounted to an exterior wall and cabling does not extend past the building façade, surge protection may be omitted. Surge device shall be equivalent to Ditek.
- F. Central Monitoring Equipment is existing.

# PART 3 - EXECUTION

# 3.1 WIRING

- A. Existing building fire alarm wiring system is a Class A system. All new wiring shall be installed to maintain existing Class A wiring system. Return wiring to the control panel shall be routed in a separate dedicated conduit. Tee taps of notification device wiring is not acceptable.
- B. Wiring shall be U.L. listed as fire alarm protection signaling circuit cable per NEC. Wire for analog loops will be a minimum of #18 AWG, twisted pair, shielded type FPL, FPLP, FPLR. Wire for notification circuits will be a minimum #14 AWG, type KF-2 or KFF-2. Alarm speaker wire will be a minimum #14 AWG, shielded type CM. Cable type may vary if recommended by the system manufacturer for compatibility with system warranty or design. When a "network"

cable is installed between multiple fire alarm control units, verify the manufacturer's wiring requirements, and install it in a dedicated conduit. No other wires can be installed in that conduit.

- C. Wire color coding and the color shall remain the same throughout the system. In general, all initiating devices such as manual stations, thermal detectors and smoke detectors shall be installed across a common #18 AWG twisted shielded pair. All system wiring shall be plenum rated wire. The ground will be minimum one #6 AWG insulated copper. Provide conduit with insulated bushing in wall from device up to accessible ceiling.
- D. All fire alarm system wiring will be installed in a dedicated raceway. Raceway may be EMT, RMC, FMC, LFMC (flexible type limited by NEC), and surface raceway (only in areas where not subject to damage).
- E. Provide surge protection devices on all circuits that enter the building from the exterior. Surge suppression shall be equivalent to Ditek.
- F. Ground fire alarm equipment, conductors, and cable shields per NFPA and manufacturer.

# 3.2 TESTING

- A. The operation of the Fire Alarm System shall be checked by a representative of the equipment supplier. At the final inspection, a factory-trained representative of the manufacturer of the equipment shall demonstrate that the system functions properly in every respect. A report describing the test results shall be submitted to the Engineer.
- B. The system will not be accepted until final testing and receipt of the NFPA 72 Inspection and Testing Form has been obtained and approved.
- C. System shall be tested and installed to maintain the UL "UUFX" listing as required by the AHJ, Fire Protection District or Fire Department.

# 3.3 INSTALLATION

- A. In order to assure compliance with the NFPA Standards and manufacturers requirements the fire alarm equipment supplier to the electrical contractor must perform the following functions:
  - 1. Install and connect each and every detector, signaling appliance, pull station, control/monitor module, annunciator etc., excluding the rough-in and cabling between the devices.
  - 2. The electrical contractor shall install rough-in and cable between rough-in points as required by the fire alarm system supplier. Rough-in includes control panel back boxes, remote power supply back boxes and digital communicator back boxes.
  - 3. The electrical contractor shall provide any conduit, all required back boxes, 120 volt power and connections of the 120 volt power as required by the fire alarm equipment supplier to meet the functionality specified herein.

- 4. Subcontracting by the fire alarm equipment provider to perform the field device installation and connections is not acceptable.
- 5. Open conductors and conduits shall be supported in a manner and at intervals compliant with NEC requirements. Conductors and conduits installed above lay-in ceilings shall be supported from the building structure and shall not be permitted less than 9-inches above or behind removable panels or ceiling tiles.
- 6. All wires shall be tagged at all junction points and shall test free from grounds or crosses between conductors.
- 7. No other conductors shall be installed in conduits with conductors for the fire alarm system.
- 8. Smoke detectors shall be protected from construction dust until after the construction clean-up of all trades is complete and final. Detectors that have not been protected prior to final clean-up by all trades shall be cleaned or replaced.
- 9. A UL Certificate or FM Placard, per NFPA 72, shall be issued by the UL Listed or FM Approved contractor for all newly installed, required fire alarm systems.
- 10. The existing fire alarm system shall be maintained fully operational until the new equipment has been tested and accepted. If an outage in the fire alarm system is absolutely needed, the contractor shall provide a fire watch.
- 11. Contractor shall include a Fire Watch any time the fire alarm system is taken out of service and must remain out of service. Fire watch shall provide a minimum of one person provided by the contractor to make an hourly tour of the area out of service and provide weekly documentation to the Owner's representative the person or persons assigned to the Fire Watch as well as reporting when rounds were conducted. During the Fire Watch any possible fire conditions shall immediately be reported to the Campus Police and to the Owner's representative. The contractor must notify the owner's representative including any other parties as specified by the campus. (MU Fire Shop, MU Health Care, local fire department, campus police, building coordinator, and others as required.)
- B. Equipment Mounting
  - 1.
  - 2. Wall mounted audible/visual, audible and/or visual devices shall be mounted with their bottoms at 80-inches above the finished floor or 6-inches below the ceiling, whichever is lower.
  - 3. Ceiling mounted audible/visual, audible and/or visual devices shall be mounted where shown on the drawings with their visual lenses having an unobstructed line of site in all directions. Exact locations of devices shall be sufficiently distant from vertical surfaces and hanging items to permit maximum viewing from all directions.

- 4. Weatherproof audible/visual notification device shall be mounted as indicated on drawings and at the fire department connection on the building exterior and with the final location as acceptable to the AHJ.
- 5. Devices shall not be supported by ceiling tiles. Devices must be attached to a back-box supported by the ceiling grid.
- C. Painting and Patching
  - 1. All fire alarm conduit shall be thoroughly cleaned, removing all dirt, oil, etc. and made ready to receive paint.
  - 2. Holes in walls or floors cut during the performance of this work shall be patched or covered with standard escutcheon plates so as to completely conceal the cuts where they would otherwise be exposed to view.
  - 3. Firestop all penetrations of fire rated assemblies.

# THIS PAGE INTENTIONALLY LEFT BLANK